

SEDAR® Subscriber Update March 31, 2014

Code Update (Version 008.050.002)

A SEDAR code update is scheduled for Monday, April 7, 2014.

You are encouraged to obtain the code update as soon as possible on or after April 7, 2014 by following these procedures:

1. Start the SEDAR program;
2. Select File menu; and
3. From the File menu, select Receive items from SEDAR server.

This method will help make sure that the application is updated and ready for your use before you actually have to perform any business functions.

This code update will bring the SEDAR client application up to Version 008.050.002.

Once the code update has been downloaded, close the SEDAR program and follow the instructions below to execute the code update:

1. Click the Start button located at the bottom left corner of the screen;
2. Select Programs; and
3. From the Program menu, select SEDAR and then select Code Update.

TMP Filings

All filings that have been saved but not submitted (shown as TMP on your Filing Management screen) should be either submitted by Friday, April 4, 2014 or deleted. To delete any TMP filings, highlight the project and select File/ Remove Unsubmitted Filing(s).

Summary of Code Update Changes

Investment Fund Issuers – Securities Offering Document Types

Investment funds transitioned to IFRS for financial years beginning on or after January 1, 2014, certain document types that previously referred to the “statement of net assets” are now changed to the “statement of financial position” to conform to IFRS terms, where applicable. The new document types have been created in the “Preliminary”, “Combined Preliminary and Pro Forma”, and “Final” filing subtype, under “Simplified Prospectus, Annual Information Form and Fund Facts (NI 81-101)” filing type to replace the previous document types.

For example, “Draft statement of net assets – English” is deleted and replaced by “Draft statement of financial position – English” and “Audited statement of net assets – French” is deleted and replaced by “Audited statement of financial position – French”

We also created document type “Application for exemption from NI 81-101” under “Final” and “Amendment to Final” filing type folders to facilitate the filing of the exemptive relief applications.

Under “Long Form Prospectus” filing type, we have created the following document types in the “Amendment to Final” subtype to facilitate the filing of the documents:

- “Declaration of trust”;
- “Management agreement”;
- “Portfolio advisory agreement”;
- “Custodianship agreement”;
- “Sub-custodianship agreement”; and
- “Other material contracts”.

Ontario (OSC) Fee Rule Changes

Changes to the OSC Fee amounts are effective April 7, 2014. Further details are available in OSC Fee Rule 13-502 found on the OSC website at:

http://www.osc.gov.on.ca/documents/en/Securities-Category1/rule_20130131_13-502_unofficial-consolidated.pdf

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As of Monday, April 7, 2014, the following updated documentation will be available on our web site www.sedar.com/sedar/sedar_en.htm.

- List of SEDAR Filing types, Filing Subtypes and Document Types
- Recipient agency fees list

For more information, please contact the CSA Service Desk at 1-800-219-5381.