

SEDAR® Subscriber Update

November 28, 2016

Code Update (Version 008.058.000)

A SEDAR code update is scheduled for Monday, December 5, 2016.

You are encouraged to obtain the code update as soon as possible on or after December 5, 2016 by following these procedures:

1. Start the SEDAR program;
2. Select File menu; and
3. From the File menu, select Receive items from SEDAR server.

This code update will bring the SEDAR client application up to version 008.058.000. The update will ensure that the application is ready for use before you actually have to perform any business functions.

Once the code update has been downloaded, close the SEDAR program and follow the instructions below to execute the code update:

1. Click the Start button located at the bottom left corner of the screen;
2. Select Programs; and
3. From the Program menu, select SEDAR and then select Code Update.

TMP Filings

All filings that have been saved but not submitted (shown as TMP on your Filing Management screen) should be either submitted by Friday, December 2, 2016 or deleted. To delete any TMP filings, highlight the project and select File/ Remove Unsubmitted Filing(s).

Summary of Code Update Changes

Filing Types

Start-Up Business – Offering Document

The filing type for “Start-Up Business – Offering Document” has been added to the Exempt Market Offerings and Disclosure / General folder for Other Issuers. Currently, the Alberta Securities Commission (**ASC**) is the only recipient agency for this filing type but additional jurisdictions may be added at a later date.

The ASC has been added as a recipient agency to the “Crowdfunding – Offering Document (MI 45-108)” filing type.

Document Types

Alberta Securities Commission (ASC) Participation Fees

Additional SEDAR document types have been added to facilitate the filing of the various types of participation fees for the ASC, along with the related filing fee.

New document types have been added to the Other Issuers / Continuous Disclosure / General “Annual Financial Statements” filing type:

- AB Form 13-501F1 (Class 1 and 3B Reporting Issuers – Participation Fee)
- AB Form 13-501F2 (Class 2 Reporting Issuers – Participation Fee)
- AB Form 13-501F3 (Adjustment of Fee for Class 2 Reporting Issuers)
- AB Form 13-501F4 (Class 3A Reporting Issuers – Participation Fee)
- AB Form 13-501F6 Subsidiary Exemption Notice

New document type has been added to the Investment Funds / Continuous Disclosure / General “Annual Financial Statements” filing type:

- AB Form 13-501F5 (Investment Fund – Participation Fee)

Fee Changes

Alberta Securities Commission (ASC)

The Alberta Securities Commission (**ASC**) is adopting ASC’s Rule 13-501 *Fees (Fee Rule)*. This Fee Rule will replace sections 8 and 9 and the Fee Schedule in the Securities Regulation (Alta. Reg. 115/95).

The text of the Fee Rule is contained in Annex A of this [notice](#). The ASC fee table has been updated to reflect these changes.

Government of Northwest Territories

The following fee descriptions will be updated to reflect the new filing fee:

“In the case of an issuer other than an investment fund issuer, filing a preliminary or pro forma prospectus, for each class of security (**\$375**)”

“In the case of an investment fund issuer, filing a preliminary or pro forma prospectus, for each fund (**\$375**)”

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About SEDAR

As of Monday, December 5, 2016 the following updated documentation will be available:

- [List of SEDAR Filing types, Filing Subtypes and Document Types](#)
- [Recipient agencies fees list](#)

For more information, please contact the CSA Service Desk at 1-800-219-5381 or sedar@csa-acvm.ca.