



**Canadian Securities
Administrators**

**Autorités canadiennes
en valeurs mobilières**

System for Electronic Document Analysis and Retrieval (SEDAR®)

**SEDAR FILING TYPES, FILING SUBTYPES AND
DOCUMENT TYPES**

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Document Access Levels:

- Ⓟ = Auto-public
public immediately, displays on SEDAR.com within fifteen minutes
- Ⓟ = Private
initially private, if/when the Principal Regulator marks it public, it will display on SEDAR.com within fifteen minutes
- Ⓟ = Private-Non Public
will remain private, never displays to SEDAR.com

List of document types with Private –Non Public access:

- Letter concerning recipient agency
- Auditors' comfort letter regarding audited financial statements
- Auditors' negative assurance letter
- All "Blacklined" documents
- Personal information form and authorization
- Draft statement of net assets
- Draft order(s)
- Draft decision document(s)
- Draft escrow agreement
- Green sheet (QC)
- Confidential marketing materials
- Schedule 1 of report of exempt distribution (45-106F1)
- Schedule 1 of report of exempt distribution (45-106F1) (amended)
- Schedule 1 of report of exempt distribution Form 5
- Schedule 1 of report of exempt distribution Form 5 (amended)
- Schedule 2 of report of exempt distribution (45-106F1)
- Schedule 2 of report of exempt distribution (45-106F1) (amended)

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public

Refer to list of documents with Private-nonpublic access on page 5



Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

Folder for Filing Type: General

Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81-101)

Filing Subtype: Preliminary

Document Types:

Cover letter

Preliminary simplified prospectus – English

Preliminary simplified prospectus – French

Blacklined preliminary simplified prospectus – English

Blacklined preliminary simplified prospectus – French

Preliminary annual information form – English

Preliminary annual information form – French

Blacklined preliminary annual information form – English

Blacklined preliminary annual information form – French

Preliminary fund facts – English

Preliminary fund facts – French

Preliminary ETF facts – English

Preliminary ETF facts – French

Draft statement of financial position – English

Draft statement of financial position – French

Audited statement of financial position – English

Audited statement of financial position – French

Audited annual financial statements – English

Audited annual financial statements – French

Interim financial statements/report – English

Interim financial statements/report – French

Auditors' comfort letter regarding audited financial statements

Application for exemption from NI 81-101

Non-issuer's submission to jurisdiction and appointment of agent

Documents affecting the rights of securityholders (or amendment thereto)

Declaration of trust (or amendment thereto)

Management agreement (or amendment thereto)

Portfolio advisory agreement (or amendment thereto)

Custodian agreement (or amendment thereto)

Sub-custodian agreement (or amendment thereto)

Other material contracts (or amendment thereto)

Confirmation re preliminary materials

Personal information form and authorization

Letter of withdrawal

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public



Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101)

Filing Subtype: Pro Forma

Document Types:

Cover letter

Pro forma simplified prospectus – English

Pro forma simplified prospectus – French

Blacklined pro forma simplified prospectus – English

Blacklined pro forma simplified prospectus – French

Pro forma annual information form – English

Pro forma annual information form – French

Blacklined pro forma annual information form – English

Blacklined pro forma annual information form – French

Pro forma fund facts – English

Pro forma fund facts – French

Blacklined pro forma fund facts – English

Blacklined pro forma fund facts – French

Pro forma ETF facts – English

Pro forma ETF facts – French

Blacklined pro forma ETF facts – English

Blacklined pro forma ETF facts – French

Application for exemption from NI 81–101

NI 81–102 section 19.2(3) letter

Non-issuer's submission to jurisdiction and appointment of agent

Documents affecting the rights of securityholders (or amendment thereto)

Declaration of trust (or amendment thereto)

Management agreement (or amendment thereto)

Portfolio advisory agreement (or amendment thereto)

Custodian agreement (or amendment thereto)

Sub-custodian agreement (or amendment thereto)

Other material contracts (or amendment thereto)

Personal information form and authorization

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public



Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101)

Filing Subtype: Combined Preliminary and Pro Forma

Document Types:

Cover letter

Preliminary and pro forma simplified prospectus – English

Preliminary and pro forma simplified prospectus – French

Blacklined preliminary and pro forma simplified prospectus – English

Blacklined preliminary and pro forma simplified prospectus – French

Preliminary and pro forma annual information form – English

Preliminary and pro forma annual information form – French

Blacklined preliminary and pro forma annual information form – English

Blacklined preliminary and pro forma annual information form – French

Preliminary fund facts – English

Preliminary fund facts – French

Pro forma fund facts – English

Pro forma fund facts – French

Blacklined preliminary and pro forma fund facts – English

Blacklined preliminary and pro forma fund facts – French

Preliminary ETF facts – English

Preliminary ETF facts – French

Pro forma ETF facts – English

Pro forma ETF facts – French

Blacklined preliminary and pro forma ETF facts – English

Blacklined preliminary and pro forma ETF facts – French

NI 81–102 section 19.2 (3) letter

Draft statement of financial position – English

Draft statement of financial position – French

Audited statement of financial position – English

Audited statement of financial position – French

Audited annual financial statements – English

Audited annual financial statements – French

Interim financial statements/report – English

Interim financial statements/report – French

Auditors' comfort letter regarding audited financial statements

Application for exemption from NI 81–101

Non-issuer's submission to jurisdiction and appointment of agent

Documents affecting the rights of securityholders (or amendment thereto)

Declaration of trust (or amendment thereto)

Management agreement (or amendment thereto)

Portfolio advisory agreement (or amendment thereto)

Custodian agreement (or amendment thereto)

Sub-custodian agreement (or amendment thereto)

Other material contracts (or amendment thereto)

Personal information form and authorization

Confirmation re preliminary materials

Letter of withdrawal

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101)

Filing Subtype: Amendment to Preliminary or Combined Preliminary and Pro Forma

Document Types:

Cover letter

Amendment to (or amended) preliminary simplified prospectus – English

Amendment to (or amended) preliminary simplified prospectus – French

Blacklined amended preliminary simplified prospectus – English

Blacklined amended preliminary simplified prospectus – French

Amendment to (or amended) preliminary annual information form – English

Amendment to (or amended) preliminary annual information form – French

Blacklined amended preliminary annual information form – English

Blacklined amended preliminary annual information form – French

Preliminary fund facts – English

Preliminary fund facts – French

Blacklined amended preliminary fund facts – English

Blacklined amended preliminary fund facts – French

Preliminary ETF facts – English

Preliminary ETF facts – French

Blacklined amended preliminary ETF facts – English

Blacklined amended preliminary ETF facts – French

Auditors' comfort letter regarding audited financial statements

Application for exemption from NI 81–101

Personal information form and authorization

Confirmation re preliminary prospectus amendment materials

Letter of withdrawal

Other

Filing Subtype: Final

Document Types:

Cover letter

Final simplified prospectus – English

Final simplified prospectus – French

Blacklined final simplified prospectus – English

Blacklined final simplified prospectus – French

Final annual information form – English

Final annual information form – French

Blacklined final annual information form – English

Blacklined final annual formation form – French

Final fund facts – English

Final fund facts – French

Blacklined final fund facts – English

Blacklined final fund facts – French

Final ETF facts – English

Final ETF facts – French

Blacklined final ETF facts – English

Blacklined final ETF facts – French

Audited statement of financial position – English

Audited statement of financial position – French

Auditors' comfort letter regarding audited financial statements

Auditors' consent letter

Consent letter(s) of legal counsel

Consent letter(s) of other expert(s)

Application for exemption from NI 81–101

Declaration of trust (or amendment thereto)

Management agreement (or amendment thereto)

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public

Refer to list of documents with Private-nonpublic access on page 5



Portfolio advisory agreement (or amendment thereto)
Custodian agreement (or amendment thereto)
Sub-custodian agreement (or amendment thereto)
Other material contracts (or amendment thereto)
Report/valuation for which consent is required
Non-issuer's submission to jurisdiction and appointment of agent
Certificate/notice(s) re proceeds of distribution
Confirmation re final materials
Personal information form and authorization
Other undertakings
Other

Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101)

Filing Subtype: Amendment to Final

Document Types:

Cover letter
Amendment to (or amended) final simplified prospectus –English
Amendment to (or amended) final simplified prospectus – French
Blacklined amended final simplified prospectus – English
Blacklined amended final simplified prospectus – French
Amendment to (or amended) final annual information form – English
Amendment to (or amended) final annual information form – French
Blacklined amended final annual information form – English
Blacklined amended final annual information form – French
Amended and restated final fund facts – English
Amended and restated final fund facts – French
Blacklined amended final fund facts – English
Blacklined amended final fund facts – French
Preliminary fund facts – English
Preliminary fund facts – French
Final fund facts – English
Final fund facts – French
Blacklined final fund facts – English
Blacklined final fund facts – French
Amended and restated final ETF facts – English
Amended and restated final ETF facts – French
Blacklined amended and restated final ETF facts – English
Blacklined amended and restated final ETF facts – French
Preliminary ETF facts – English
Preliminary ETF facts – French
Final ETF facts – English
Final ETF facts – French
Blacklined final ETF facts – English
Blacklined final ETF facts – French
Auditors' comfort letter regarding audited financial statements
Auditors' consent letter
Consent letter(s) of legal counsel
Consent letter(s) of other expert(s)
Application for exemption from NI 81–101
Declaration of trust (or amendment thereto)
Management agreement (or amendment thereto)
Portfolio advisory agreement (or amendment thereto)
Custodian agreement (or amendment thereto)
Sub-custodian agreement (or amendment thereto)

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public

Refer to list of documents with Private-nonpublic access on page 5



Other material contracts (or amendment thereto)
Personal information form and authorization
Confirmation re prospectus amendment materials
Other

Filing Type: Long Form Prospectus

Filing Subtype: Preliminary

Document Types:

Cover letter
Preliminary long form prospectus – English
Preliminary long form prospectus – French
Preliminary ETF facts – English
Preliminary ETF facts – French
Auditors' comfort letter regarding audited financial statements
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub-custodianship agreement
Other material contracts
Appraisal reports and certificates of qualification
Confirmation re preliminary materials
Personal information form and authorization
Letter of withdrawal
Other

Filing Subtype: Pro Forma

Document Types:

Cover letter
Pro forma long form prospectus – English
Pro forma long form prospectus – French
Blacklined pro forma long form prospectus – English
Blacklined pro forma long form prospectus – French
Pro forma ETF facts – English
Pro forma ETF facts – French
Blacklined pro forma ETF facts – English
Blacklined pro forma ETF facts – French
Seasoned prospectus certificate
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub-custodianship agreement
Other material contracts
Appraisal reports and certificates of qualification
Certificate/notice(s) re proceeds of distribution
Personal information form and authorization
Other

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public

Refer to list of documents with Private-nonpublic access on page 5



Filing Type: Long Form Prospectus

Filing Subtype: Combined Preliminary and Pro Forma

Document Types:

Cover letter
Preliminary and pro forma long form prospectus – English
Preliminary and pro forma long form prospectus – French
Blacklined preliminary and pro forma long form prospectus – English
Blacklined preliminary and pro forma long form prospectus – French
Preliminary ETF facts – English
Preliminary ETF facts – French
Pro forma ETF facts – English
Pro forma ETF facts – French
Blacklined preliminary and pro forma ETF facts – English
Blacklined preliminary and pro forma ETF facts – French
Statement of investment restrictions and practices – English
Statement of investment restrictions and practices – French
Seasoned prospectus certificate
Auditors' comfort letter regarding audited financial statements
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub-custodianship agreement
Other material contracts
Appraisal reports and certificates of qualification
Confirmation re preliminary materials
Certificate/notice(s) re proceeds of distribution
Personal information form and authorization
Letter of withdrawal
Other

Filing Subtype: Amendment to Preliminary or Combined Preliminary and Pro Forma

Document Types:

Cover letter
Amendment to (or amended) preliminary long form prospectus – English
Amendment to (or amended) preliminary long form prospectus – French
Blacklined amended preliminary long form prospectus – English
Blacklined amended preliminary long form prospectus – French
Preliminary ETF facts – English
Preliminary ETF facts – French
Blacklined amended preliminary ETF facts – English
Blacklined amended preliminary ETF facts – French
Auditors' comfort letter regarding audited financial statements
Auditors' consent letter
Consent letter(s) of legal counsel
Consent letter(s) of other expert(s)
Confirmation re preliminary prospectus amendment materials
Letter of withdrawal
Other

Filing Subtype: Final

Document Types:

Cover letter
Final long form prospectus – English
Final long form prospectus – French

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public

Refer to list of documents with Private-nonpublic access on page 5



Blacklined final long form prospectus – English
Blacklined final long form prospectus – French
Final ETF facts – English
Final ETF facts – French
Blacklined final ETF facts – English
Blacklined final ETF facts – French
Issuer’s submission to jurisdiction and appointment of agent
Non-issuer’s submission to jurisdiction and appointment of agent
Auditors’ comfort letter regarding audited financial statements
Auditors’ consent letter
Consent letter(s) of legal counsel
Consent letter(s) of other expert(s)
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub-custodianship agreement
Underwriting or agency agreement
Other material contracts
Certificate/notice(s) re proceeds of distribution
Confirmation re final materials
Personal information form and authorization

Other undertakings
Other

Filing Type: Long Form Prospectus

Filing Subtype: Amendment to Final

Document Types:

Cover letter
Amendment to (or amended) final long form prospectus – English
Amendment to (or amended) final long form prospectus – French
Blacklined amended final long form prospectus – English
Blacklined amended final long form prospectus – French
Amended and restated final ETF facts – English
Amended and restated final ETF facts – French
Blacklined amended and restated final ETF facts – English
Blacklined amended and restated final ETF facts – French
Preliminary ETF facts – English
Preliminary ETF facts – French
Final ETF facts – English
Final ETF facts – French
Blacklined final ETF facts – English
Blacklined final ETF facts – French
Auditors’ comfort letter regarding audited financial statements
Auditors’ consent letter
Consent letter(s) of legal counsel
Consent letter(s) of other expert(s)
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub-custodianship agreement
Other material contracts

Category of Filer: Investment Fund Issuers

Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public



Refer to list of documents with Private-nonpublic access on page 5

Confirmation re prospectus amendment materials

Personal information form and authorization

Other

Filing Type: Other Filings

Document Types:

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

Folder for Filing Type: General

Filing Type: Material Change Report

Document Types:

Cover letter

Letter concerning recipient agency

Material change report – English

Material change report – French

Material change report (amended) – English

Material change report (amended) – French

Material document(s)

Material document(s) (amended)

Other

Filing Type: News Releases

Document Types:

Cover letter

Letter concerning recipient agency

News release – English

News release – French

Other

Filing Type: Interim Financial Statements/Report

Filing Subtype: Interim Financial Statements/Report

Document Types:

Cover letter

Letter concerning recipient agency

Interim financial statements/report – English

Interim financial statements/report – French

Certified statement of portfolio transactions – English

Certified statement of portfolio transactions – French

Certificate regarding statement of portfolio transactions

Other

Filing Subtype: Amended Interim Financial Statements/Report

Document Types:

Cover letter

Letter concerning recipient agency

Interim financial statements/report (amended) – English

Interim financial statements/report (amended) – French

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Interim Financial Statements/Report – Non-Reporting Issuers (PV)

Filing Subtype: Interim Financial Statements/Report – Non-Reporting Issuers

Document Types:

Cover letter

Letter concerning recipient agency

Interim financial statements/report – English

Interim financial statements/report – French

Other

Filing Subtype: Amended Interim Financial Statements/Report – Non-Reporting Issuers

Document Types:

Cover letter

Letter concerning recipient agency

Interim financial statements/report (amended) – English

Interim financial statements/report (amended) – French

Other

Filing Type: Interim Financial Statements/Report – XBRL (PV)

Document Types:

Cover letter

Letter concerning recipient agency

Interim Financial Statements/report – XBRL – Instance document

Interim Financial Statements/report – XBRL – Calculation linkbase

Interim Financial Statements/report – XBRL – Presentation linkbase

Interim Financial Statements/report – XBRL – Label linkbase

Interim Financial Statements/report – XBRL – Taxonomy extension

Interim Financial Statements/report – XBRL – Reference linkbase

Interim Financial Statements/report – XBRL – Definition linkbase

Other

Filing Type: Interim Management Report of Fund Performance

Filing Subtype: Interim Management Report of Fund Performance

Document Types:

Cover letter

Letter concerning recipient agency

Management report of fund performance – English

Management report of fund performance – French

Other

Filing Subtype: Amended Interim Management Report of Fund Performance

Document Types:

Cover letter

Letter concerning recipient agency

Management report of fund performance (amended) – English

Management report of fund performance (amended) – French

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Financial Statements

Filing Subtype: Annual Financial Statements

Document Types:

Cover letter
Letter concerning recipient agency
Audited annual financial statements – English
Audited annual financial statements – French
Certified annual statement of portfolio transactions – English
Certified annual statement of portfolio transactions – French
Certificate regarding statement of portfolio transactions
Valuation report(s) for labour sponsored funds
AB Form 13–501F5 (Investment Fund – Participation Fee)
Other

Filing Subtype: Amended Annual Financial Statements

Document Types:

Cover letter
Letter concerning recipient agency
Audited annual financial statements (amended) – English
Audited annual financial statements (amended) – French
Valuation report(s) for labour sponsored funds (amended)
Other

Filing Type: Annual Financial Statements – Non-Reporting Issuers (PV)

Filing Subtype: Annual Financial Statement – Non-Reporting Issuers

Document Types:

Cover letter
Letter concerning recipient agency
Annual financial statements – English
Annual financial statements – French
Other

Filing Subtype: Amended Annual Financial Statements – Non-Reporting Issuers

Document Types:

Cover letter
Letter concerning recipient agency
Annual financial statements (amended) – English
Annual financial statements (amended) – French
Other

Filing Type: Annual Financial Statements – XBRL (PV)

Document Types:

Cover letter
Letter concerning recipient agency
Annual Financial Statements – XBRL – Instance document
Annual Financial Statements – XBRL – Calculation linkbase
Annual Financial Statements – XBRL – Presentation linkbase
Annual Financial Statements – XBRL – Label linkbase
Annual Financial Statements – XBRL – Taxonomy extension
Annual Financial Statements – XBRL – Reference linkbase
Annual Financial Statements – XBRL – Definition linkbase
Other

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Management Report of Fund Performance

Filing Subtype: Annual Management Report of Fund Performance

Document Types:

Cover letter

Letter concerning recipient agency

Management report of fund performance – English

Management report of fund performance – French

Other

Filing Subtype: Amended Annual Management Report of Fund Performance

Document Types:

Cover letter

Letter concerning recipient agency

Management report of fund performance (amended) – English

Management report of fund performance (amended) – French

Other

Filing Type: Annual Report

Document Types:

Cover letter

Letter concerning recipient agency

Annual report – English

Annual report – French

Annual report (amended) – English

Annual report (amended) – French

Other

Filing Type: Annual Information Form (NI 81–106)

Filing Subtype: Annual Information Form

Document Types:

Cover letter

Letter concerning recipient agency

Annual Information Form – English

Annual Information Form – French

Material documents

Documents incorporated by reference not previously filed

Other

Filing Subtype: Revised Annual Information Form

Document Types:

Cover letter

Letter concerning recipient agency

Annual Information Form (revised) – English

Annual Information Form (revised) – French

Material documents

Documents incorporated by reference not previously filed

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Notice of the Meeting and Record Date

Document Types:

Cover letter

Letter concerning recipient agency

Notice of the meeting and record date – English

Notice of the meeting and record date – French

Notice of the meeting and record date (amended) – English

Notice of the meeting and record date (amended) – French

Other

Filing Type: Management Proxy Materials

Document Types:

Cover letter

Letter concerning recipient agency

Notice of meeting – English

Notice of meeting – French

Notice of meeting (amended) – English

Notice of meeting (amended) – French

Management information circular – English

Management information circular – French

Management information circular (amended) – English

Management information circular (amended) – French

Form of proxy – English

Form of proxy – French

Certificate re dissemination

Report of voting results

Report of voting results (amended)

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Compliance Reports (NI 41–101) (PV)

Document Types:

Cover letter

Letter concerning recipient agency

Compliance report(s) – section 14.6

Other

Filing Type: Compliance Reports (NI 81–102)

Document Types:

Cover letter

Compliance report(s) – section 12.1

Auditors' report(s) – section 12.1

Custodian report(s) – section 6.7

Other

Filing Type: Change of Auditor Filings

Document Types:

Cover letter

Letter concerning recipient agency

Notice

Letter from former auditor

Letter from successor auditor

Other

Filing Type: Change In Year End Filings

Document Types:

Cover letter

Letter concerning recipient agency

Notice

Notice (amended)

Supplement to the notice

Supplement to the notice (amended)

Other

Filing Type: Change in Legal Structure Filings

Document Types:

Cover letter

Letter concerning recipient agency

Notice

Notice (amended)

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Material Documents

Document Types:

Cover letter

Letter concerning recipient agency

Material document – English

Material document – French

Material document (amended) – English

Material document (amended) – French

Other

Filing Type: Report of Management Company

Document Types:

Cover letter

Letter concerning recipient agency

Form (AB, ON–Form 38, BC–Form 81–903F, SK–Form 36, NS–Form 39, NF–Form 37)

Form (amended) (AB,ON–Form38,BC–Form81–903F,SK–Form36,NS–Form39,NF–Form37)

Other

Filing Type: Proxy Voting Record of an Investment Fund

Document Types:

Cover letter

Letter concerning recipient agency

Proxy voting record of an investment fund

Proxy voting record of an investment fund (amended)

Other

Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Reports under NI 81–107

Document Types:

Cover letter

Letter concerning recipient agency

Report by independent review committee – English

Report by independent review committee – French

Report by independent review committee (amended) – English

Report by independent review committee (amended) – French

Manager – transactions in securities of related issuers – English

Manager – transactions in securities of related issuers – French

Manager – transactions in securities of related issuers (amended) – English

Manager – transactions in securities of related issuers (amended) – French

Manager – transactions under part 4 of NI 81–102 – English

Manager – transactions under part 4 of NI 81–102 – French

Manager – transactions under part 4 of NI 81–102 (amended) – English

Manager – transactions under part 4 of NI 81–102 (amended) – French

Manager – notification under part 5 of NI 81–107 – English

Manager – notification under part 5 of NI 81–107 – French

Manager – notification under part 5 of NI 81–107 (amended) – English

Manager – notification under part 5 of NI 81–107 (amended) – French

Other

Filing Type: Other Filings (PV)

Document Types:

Other

Category of Filer: Investment Fund Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Investment Fund Issuers

Category of Filing: Exemptions and Other Applications

Folder for Filing Type: General

Filing Type: Applications (NI 81–102)

Document Types:

Cover letter
Letter concerning recipient agency
Application letter
Exhibits and other supporting material
Statement of verification
Draft decision document(s) – English
Draft decision document(s) – French
Draft order(s)
Other

Filing Type: Exemptions and Other Applications – In Connection With A Prospectus Filing

Document Types:

Cover letter
Application letter
Exhibits and other supporting material
Statement of verification
Draft order(s)
Other

Category of Filer: Investment Fund Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Investment Fund Issuers

Category of Filing: Exempt Market Offerings and Disclosure

Folder for Filing Type: General

Filing Type: Report of Exempt Distribution (NI 45-106)

Filing Subtype: Report of Exempt Distribution (45-106F1)

Document Types:

Cover letter
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of 45-106F1 (P)
Schedule 1 of report of exempt distribution (45-106F1) (PNP)
Other

Filing Subtype: Amended Report of Exempt Distribution (45-106F1)

Document Types:

Cover letter
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of 45-106F1 (amended) (P)
Schedule 1 of report of exempt distribution (45-106F1) (amended) (PNP)
Other

Filing Type: Offering Memorandum (2.9 of NI 45-106) (P)

Filing Subtype: Offering Memorandum (2.9 of NI 45-106)

Document Types:

Cover letter
Letter concerning recipient agency
Offering memorandum (2.9 of NI 45-106) – English
Offering memorandum (2.9 of NI 45-106) – French
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Consent letter(s)
Other (PV)

Filing Subtype: Amended Offering Memorandum (2.9 of NI 45-106)

Document Types:

Cover letter
Letter concerning recipient agency
Offering memorandum (2.9 of NI 45-106) (amended) – English
Offering memorandum (2.9 of NI 45-106) (amended) – French
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French

Category of Filer: Investment Fund Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Offering Memorandum (2.9 of NI 45-106) (P)

Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Consent letter(s)
Other (PV)

Filing Type: Marketing Materials (2.9 of NI 45-106)

Filing Subtype: Filing Subtype: Marketing Materials (2.9 of NI 45-106)

Document Types:

Cover letter
Letter concerning recipient agency
Marketing materials related to offering memorandum – English (P)
Marketing materials related to offering memorandum – French (P)
Other

Filing Subtype: Filing Subtype: Amended Marketing Materials (2.9 of NI 45-106)

Document Types:

Cover letter
Letter concerning recipient agency
Marketing materials related to offering memorandum (amended) – English (P)
Marketing materials related to offering memorandum (amended) – French (P)
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

Folder for Filing Type: General

Filing Type: Short Form Prospectus (NI 44-101)

Filing Subtype: Marketing Materials for Bought Deal

Document Types:

Cover letter

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Other

Filing Subtype: Preliminary

Document Types:

Cover letter

Confirmation re preliminary materials

Underwriters undertaking

Preliminary short form prospectus – English

Preliminary short form prospectus – French

Qualification certificate

Documents incorporated by reference not previously filed – English

Documents incorporated by reference not previously filed – French

Auditors' comfort letter regarding audited financial statements

Documents affecting rights of securityholders – Trust indentures re debt

Documents affecting rights of securityholders (or amendment thereto)

Underwriting or agency agreement

Material contracts – Credit agreements

Other material contract(s)

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Oil and gas reports

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Consent letter(s) of other expert(s)

Report/valuation (other than tech. reports)

Letter (opting out of the PREP procedures)

Letter (opting into the PREP procedures)

Letter (opting into the shelf procedures)

Personal information form and authorization

Letter of withdrawal

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Short Form Prospectus (NI 44-101)

Filing Subtype: Amendment to Preliminary

Document Types:

Cover letter

Confirmation re preliminary prospectus amendment materials

Underwriters undertaking

Amendment to (or amended) preliminary short form prospectus – English

Amendment to (or amended) preliminary short form prospectus – French

Blacklined amended preliminary short form prospectus – English

Blacklined amended preliminary short form prospectus – French

Auditors' comfort letter regarding audited financial statements

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Consent letter(s) of other expert(s)

Documents affecting rights of securityholders – Trust indentures re debt

Documents affecting rights of securityholders (or amendment thereto)

Underwriting or agency agreement

Personal information form and authorization

Material contracts – Credit agreements

Other supporting documents

Letter (opting out of the PREP procedures)

Letter (opting into the PREP procedures)

Letter (opting into the shelf procedures)

Letter of withdrawal

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Short Form Prospectus (NI 44-101)

Filing Subtype: Final

Document Types:

Cover letter
Confirmation re final materials
Final short form prospectus – English
Final short form prospectus – French
Blacklined final short form prospectus – English
Blacklined final short form prospectus – French
Documents incorporated by reference not previously filed – English
Documents incorporated by reference not previously filed – French
Issuer’s submission to jurisdiction and appointment of agent
Non-issuer’s submission to jurisdiction and appointment of agent
Documents affecting rights of securityholders – Trust indentures re debt
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Credit supporter’s consent
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Oil and gas reports
Other reports and valuations
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Certificate/notice(s) re proceeds of distribution
Form IV (NF)
Evidence of approvals from other regulatory authorities (ON)
Undertaking in respect of credit supporter disclosure
Undertaking in respect of continuous disclosure
Undertaking to file documents and material contracts
Undertaking in respect of restricted securities
Undertaking re breakdown of sales and payment of fees (BC)
Personal information form and authorization
Documents affecting rights of securityholders
Communication with Exchange
Letter (opting out of the PREP procedures)
Other undertakings
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Short Form Prospectus (NI 44-101)

Filing Subtype: Amendment to Final

Document Types:

Cover letter
Confirmation re prospectus amendment materials
Amendment to (or amended) final short form prospectus – English
Amendment to (or amended) final short form prospectus – French
Blacklined amended final short form prospectus – English
Blacklined amended final short form prospectus – French
Documents affecting rights of securityholders – Trust indentures re debt
Auditors' consent letter
Consent letter of issuer's legal counsel
Consent letter of underwriters' legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Credit supporter's consent
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Report/ valuation (other than tech. reports)
Oil and gas reports
Waiver and undertaking re amendment in Quebec
Other supporting documents
Personal information form and authorization
Letter (opting out of the PREP procedures)
Material contracts – Credit agreements
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Short Form Prospectus (NI 44-101)

Filing Subtype: Supplemented Short Form PREP Prospectus (NI 44-103)

Document Types:

Cover letter

Supplemented short form PREP prospectus – English

Supplemented short form PREP prospectus – French

Documents incorporated by reference not previously filed – English

Documents incorporated by reference not previously filed – French

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Documents affecting rights of securityholders – Trust indentures re debt

Auditors' consent letter

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Consent letter(s) of other expert(s)

Underwriting or agency agreement

Material contracts – Credit agreements

Other material contracts not previously filed

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shelf Prospectus (NI 44-102)

Filing Subtype: Preliminary

Document Types:

Cover letter
Confirmation re preliminary materials
Underwriters undertaking
Preliminary short form prospectus – English
Preliminary short form prospectus – French
Qualification certificate
Documents incorporated by reference not previously filed – English
Documents incorporated by reference not previously filed – French
Auditors' comfort letter regarding audited financial statements
Documents affecting rights of securityholders – Trust indentures re debt
Documents affecting rights of securityholders (or amendment thereto)
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Oil and gas reports
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Consent letter(s) of other expert(s)
Report/valuation (other than tech. reports)
Personal information form and authorization
Letter (opting out of the shelf procedures)
Letter of withdrawal
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shelf Prospectus (NI 44-102)

Filing Subtype: Amendment to Preliminary

Document Types:

Cover letter
Confirmation re preliminary prospectus amendment materials
Underwriters undertaking
Amendment to (or amended) preliminary short form prospectus – English
Amendment to (or amended) preliminary short form prospectus – French
Blacklined amended preliminary short form prospectus – English
Blacklined amended preliminary short form prospectus – French
Auditors' comfort letter regarding audited financial statements
Documents affecting rights of securityholders – Trust indentures re debt
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Report/valuation (other than tech. reports)
Oil and gas reports
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Consent letter(s) of other expert(s)
Other supporting documents
Personal information form and authorization
Letter (opting out of the shelf procedures)
Letter of withdrawal
Other

Filing Subtype: Final

Document Types:

Cover letter
Confirmation re final materials
Final short form prospectus – English
Final short form prospectus – French
Blacklined final short form prospectus – English
Blacklined final short form prospectus – French
Documents incorporated by reference not previously filed – English
Documents incorporated by reference not previously filed – French
Undertaking in respect of credit supporter disclosure
Undertaking to file documents and material contracts
Undertaking in respect of restricted securities
Undertaking re novel derivatives or asset-backed securities
Issuer's submission to jurisdiction and appointment of agent
Non-issuer's submission to jurisdiction and appointment of agent
Documents affecting rights of securityholders – Trust indentures re debt
Auditors' consent letter

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shelf Prospectus (NI 44-102)

Consent letter of issuer's legal counsel
Consent letter of underwriters' legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Credit supporter's consent
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Oil and gas reports
Report/valuation (other than tech. reports)
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Certificate/notice(s) re proceeds of distribution
Form IV (NF)
Evidence of approvals from other regulatory authorities (ON)
Undertaking re breakdown of sales and payment of fees (BC)
Communication with Exchange
Documents affecting rights of securityholders
Personal information form and authorization
Other undertakings
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shelf Prospectus (NI 44-102)

Filing Subtype: Amendment to Final

Document Types:

Cover letter

Confirmation re prospectus amendment materials

Amendment to (or amended) final short form prospectus – English

Amendment to (or amended) final short form prospectus – French

Blacklined amended final short form prospectus – English

Blacklined amended final short form prospectus – French

Documents affecting rights of securityholders – Trust indentures re debt

Auditors' consent letter

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Consent letter(s) of other expert(s)

Credit supporter's consent

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Report/ valuation (other than tech. reports)

Oil and gas reports

Waiver and undertaking re amendment in Quebec

Material contracts – Credit agreements

Other supporting documents

Personal information form and authorization

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shelf Prospectus (NI 44–102)

Filing Subtype: Prospectus Supplement

Document Types:

Cover letter

Prospectus (non pricing) supplement – English

Prospectus (non pricing) supplement – French

Amendment to prospectus (non pricing) supplement – English

Amendment to prospectus (non pricing) supplement – French

Non-offering prospectus product supplement – English

Non-offering prospectus product supplement – French

Amendment to non-offering prospectus product supplement – English

Amendment to non-offering prospectus product supplement – French

Pricing supplement (other than specified derivative) – English

Pricing supplement (other than specified derivative) – French

Amendment to pricing supplement (other than specified derivative) – English

Amendment to pricing supplement (other than specified derivative) – French

Pricing supplement (specified derivative) – English

Pricing supplement (specified derivative) – French

Amendment to pricing supplement (specified derivative) – English

Amendment to pricing supplement (specified derivative) – French

Novel (per NI 44–102) pricing supplement – English

Novel (per NI 44–102) pricing supplement – French

Draft shelf prospectus supplement – English

Draft shelf prospectus supplement – French

Documents incorporated by reference not previously filed – English

Documents incorporated by reference not previously filed – French

Documents affecting rights of securityholders – Trust indentures re debt

Auditors' consent letter

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Consent letter(s) of other expert(s)

Credit supporter's consent

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Report/valuation (other than tech. reports)

Oil and gas reports

Certificate/notice(s) re proceeds of distribution

Underwriting or agency agreements (or amendment thereto)

Material contracts – Credit agreements

Other material contract(s)

Undertaking re breakdown of sales and payment of fees (BC)

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Preliminary

Document Types:

Cover letter

Preliminary prospectus – English

Preliminary prospectus – French

Documents incorporated by reference

Eligibility certificate

U.S. registration statement and exhibits

Documents affecting rights of securityholders – Trust indentures re debt

Consent letter(s) of expert(s)

Powers of attorney

Confirmation re preliminary materials

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Report/valuation (other than tech. reports)

Oil and gas reports

Consent letter(s) of other experts

“Green sheet” (ON, QC)

Letter of withdrawal

Material contracts – Credit agreements

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Amendment to Preliminary

Document Types:

Cover letter

Amendment to (or amended) preliminary prospectus – English

Amendment to (or amended) preliminary prospectus – French

Auditors' comfort letter regarding audited financial statements

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Consent letter(s) of other expert(s)

Documents affecting rights of securityholders – Trust indentures re debt

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Report/valuation (other than tech. reports)

Oil and gas reports

Powers of attorney

Confirmation re preliminary prospectus amendment materials

Letter of withdrawal

Material contracts – Credit agreements

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Final

Document Types:

Cover letter
Final prospectus – English
Final prospectus – French
Blacklined final prospectus – English
Blacklined final prospectus – French
Auditors' comfort letter regarding audited financial statements
Documents affecting rights of securityholders – Trust indentures re debt
Auditors' consent letter
Consent letter of issuer's legal counsel
Consent letter of underwriters' legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports)
Oil and gas reports
Powers of attorney
Issuer's submission to jurisdiction and appointment of agent
Underwriters' certificate
Certificate re effective use of U.S. registration statement
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Certificate/notice(s) re proceeds of distribution
Confirmation re final materials
Undertaking re breakdown of sales and payment of fees (BC)
Undertaking to pay fee (NS)
Other undertakings
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Amendment to Final

Document Types:

Cover letter

Amendment to (or amended) final prospectus – English

Amendment to (or amended) final prospectus – French

Auditors' comfort letter regarding audited financial statements

Documents affecting rights of securityholders – Trust indentures re debt

Auditors' consent letter

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Consent letter(s) of other expert(s)

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Report/valuation (other than tech. reports)

Oil and gas reports

Powers of attorney

Confirmation re prospectus amendment materials

Waiver and undertaking re amendment in Quebec

Material contracts – Credit agreements

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Prospectus Supplement

Document Types:

Cover letter

Prospectus supplement – English

Prospectus supplement – French

Documents affecting rights of securityholders – Trust indentures re debt

Auditors' consent letter

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Consent letter(s) of other expert(s)

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Report/valuation (other than tech. reports)

Oil and gas reports

Underwriting or agency agreement

Other material contract(s)

Powers of attorney

Material contracts – Credit agreements

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Filing Subtype: Preliminary

Document Types:

Cover letter
Confirmation re preliminary materials
Preliminary long form prospectus – English
Preliminary long form prospectus – French
Preliminary plan summary scholarship plan – English
Preliminary plan summary scholarship plan – French
Preliminary detailed plan disclosure – scholarship plan – English
Preliminary detailed plan disclosure – scholarship plan – French
Auditors' comfort letter regarding audited financial statements
Draft escrow agreement
Documents affecting rights of securityholders – Trust indentures re debt
Documents affecting rights of securityholders (or amendment thereto)
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Oil and gas reports
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Consent letter(s) of other expert(s)
Report/valuation (other than tech. reports)
Personal information form and authorization
“Green sheet” (QC)
Letter (opting out of the PREP procedures)
Letter (opting into the PREP procedures)
Letter of withdrawal
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Filing Subtype: Pro Forma

Document Types:

Cover letter

Pro forma long form prospectus – English

Pro forma long form prospectus – French

Blacklined pro forma long form prospectus – English

Blacklined pro forma long form prospectus – French

Pro forma plan summary – scholarship plan – English

Pro forma plan summary – scholarship plan – French

Blacklined pro forma plan summary – scholarship plan – English

Blacklined pro forma plan summary – scholarship plan – French

Pro forma detailed plan disclosure – scholarship plan – English

Pro forma detailed plan disclosure – scholarship plan – French

Blacklined pro forma detailed plan disclosure – scholarship plan – English

Blacklined pro forma detailed plan disclosure – scholarship plan – French

Auditors' comfort letter regarding audited financial statements

Documents affecting rights of securityholders – Trust indentures re debt

Documents affecting rights of securityholders (or amendment thereto)

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Consent letter(s) of other expert(s)

Material contracts – Credit agreements

Other material contract(s)

Seasoned prospectus certificate

Report/valuation (other than tech. reports)

Certificate/notice(s) re proceeds of distribution

Personal information form and authorization

“Green sheet” (QC)

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Filing Subtype: Amendment to Preliminary

Document Types:

Cover letter

Amendment to (or amended) preliminary long form prospectus – English

Amendment to (or amended) preliminary long form prospectus – French

Blacklined amended preliminary long form prospectus – English

Blacklined amended preliminary long form prospectus – French

Amendment to (or amended) preliminary plan summary – scholarship plan – E

Amendment to (or amended) preliminary plan summary – scholarship plan – F

Blacklined amended preliminary plan summary – scholarship plan – E

Blacklined amended preliminary plan summary – scholarship plan – F

Amend. to (or amended) prelim. detailed plan disclosure – schol. plan – E

Amend. to (or amended) prelim. detailed plan disclosure – schol. plan – F

Blacklined amend. prelim. detailed plan disclosure – schol. plan – E

Blacklined amend. prelim. detailed plan disclosure – schol. plan – F

Auditors' comfort letter regarding audited financial statements

Documents affecting rights of securityholders – Trust indentures re debt

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Report/valuation (other than tech. reports)

Consent letter(s) of other expert(s)

Confirmation re preliminary prospectus amendment materials

Personal information form and authorization

Letter (opting out of the PREP procedures)

Letter (opting into the PREP procedures)

Letter of withdrawal

Material contracts – Credit agreements

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Filing Subtype: Final

Document Types:

Cover letter

Confirmation re final materials

Final long form prospectus – English

Final long form prospectus – French

Blacklined final long form prospectus – English

Blacklined final long form prospectus – French

Documents affecting rights of securityholders – Trust indentures re debt

Documents affecting rights of securityholders (or amendment thereto)

Final plan summary – scholarship plan – English

Final plan summary scholarship plan – French

Blacklined final plan summary – scholarship plan – English

Blacklined final plan summary – scholarship plan – French

Final detailed plan disclosure – scholarship plan – English

Final detailed plan disclosure – scholarship plan – French

Blacklined final detailed plan disclosure – scholarship plan – English

Blacklined final detailed plan disclosure – scholarship plan – French

Auditors' comfort letter regarding audited financial statements

Auditors' consent letter

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Credit supporter's consent

Consent letter(s) of other expert(s)

Underwriters' certificate

Underwriting or agency agreement

Escrow agreement

Material contracts – Credit agreements

Other material contract(s)

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Oil and gas reports

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Report/valuation (other than tech. reports) Certificate/notice(s) re proceeds of distribution

Issuer's submission to jurisdiction and appointment of agent

Non-issuer's submission to jurisdiction and appointment of agent

Ruling on QSSP eligibility (QC)

Undertaking re breakdown of sales and payment of fees (BC)

Undertaking in respect of credit supporter disclosure

Undertaking in respect of continuous disclosure

Undertaking to file documents and material contracts

Undertaking in respect of restricted securities

Communication with Exchange

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Personal information form and authorization

Letter (opting into the PREP procedures)

Letter (opting out of the PREP procedures)

Other undertakings

Other

Filing Subtype: Amendment to Final

Document Types:

Cover letter

Amendment to (or amended) final long form prospectus – English

Amendment to (or amended) final long form prospectus – French

Blacklined amended final long form prospectus – English

Blacklined amended final long form prospectus – French

Amended and restated final plan summary – scholarship plan – English

Amended and restated final plan summary – scholarship plan – French

Blacklined amended final plan summary – scholarship plan – English

Blacklined amended final plan summary – scholarship plan – French

Amend. to (or amended) final detailed plan disclosure – schol. plan – E

Amend. to (or amended) final detailed plan disclosure – schol. plan – F

Blacklined amend. final detailed plan disclosure – schol. plan – E

Blacklined amend. final detailed plan disclosure – schol. plan – F

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Report/valuation (other than tech. reports)

Oil and gas reports

Auditors' comfort letter regarding audited financial statements

Documents affecting rights of securityholders – Trust indentures re debt

Auditors' consent letter

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Credit supporter's consent

Consent letter(s) of other expert(s)

Confirmation re prospectus amendment materials

Waiver and undertaking re amendment in Quebec

Personal information form and authorization

Letter (opting out of the PREP procedures)

Material contracts – Credit agreements

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Filing Subtype: Supplemented Long Form PREP Prospectus (NI 44-103)

Document Types:

Cover letter

Supplemented long form PREP prospectus – English

Supplemented long form PREP prospectus – French

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Documents affecting rights of securityholders – Trust indentures re debt

Auditors' consent letter

Consent letter of issuer's legal counsel

Consent letter of underwriters' legal counsel

Consent letter(s) of other legal counsel

Consent letter(s) of other expert(s)

Underwriters' certificate

Underwriting or agency agreement

Undertaking re breakdown of sales and payment of fees (BC)

"Green sheet" (QC)

Material contracts – Credit agreements

Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: NI 44-101 Notice of Intent (P)

Filing Subtype: NI 44-101 Notice of Intent to Qualify

Document Types:

Cover letter

Letter concerning recipient agency

NI 44-101 Notice of intent to qualify – English

NI 44-101 Notice of intent to qualify – French

NI 44-101 Notice of intent to qualify (amended) – English

NI 44-101 Notice of intent to qualify (amended) – French

Other

Filing Subtype: NI 44-101 Withdrawal Notice

Document Types:

Cover letter

Letter concerning recipient agency

NI 44-101 Withdrawal notice – English

NI 44-101 Withdrawal notice – French

NI 44-101 Withdrawal notice (amended) – English

NI 44-101 Withdrawal notice (amended) – French

Other

Filing Type: Rights Offering Material (P)

Filing Subtype: Initial Filing

Document Types:

Cover letter (PV)

Letter concerning recipient agency

Notice of Rights Offering (Form 45-106F14) – English

Notice of Rights Offering (Form 45-106F14) – French

Rights Offering Circular (Form 45-106F15) – English

Rights Offering Circular (Form 45-106F15) – French

News Release – English

News Release – French

Managing Dealer Agreement

Standby Commitment Agreement

Other (PV)

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Rights Offering Material (P)

Filing Subtype: Amended Initial Filing

Document Types:

Cover letter (PV)
Letter concerning recipient agency
Notice of Rights Offering (Form 45-106F14) (amended) – English
Notice of Rights Offering (Form 45-106F14) (amended) – French
Rights Offering Circular (Form 45-106F15) (amended) – English
Rights Offering Circular (Form 45-106F15) (amended) – French
News Release (amended) – English
News Release (amended) – French
Managing Dealer Agreement (or amendment thereto)
Standby Commitment Agreement (or amendment thereto)
Other (PV)

Filing Subtype: Closing Filing

Document Types:

Cover letter (PV)
Letter concerning recipient agency
Closing News Release – English
Closing News Release – French
Other (PV)

Filing Subtype: Amended Closing Filing

Document Types:

Cover letter (PV)
Letter concerning recipient agency
Closing News Release (amended) – English
Closing News Release (amended) – French
Other (PV)

Filing Type: Rights Offering – Minimal Connection (P)

Filing Subtype: Rights Offering – Minimal Connection

Document Types:

Cover letter (PV)
Letter concerning recipient agency
Notice of Minimal Connection – English
Notice of Minimal Connection – French
Certificate of Issuer – English
Certificate of Issuer – French
Materials Sent to Securityholders – English
Materials Sent to Securityholders – French
Other (PV)

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Rights Offering – Minimal Connection

Filing Subtype: Amended Rights Offering – Minimal Connection

Document Types:

Cover letter (PV)
Letter concerning recipient agency
Notice of Minimal Connection (amended) – English
Notice of Minimal Connection (amended) – French
Certificate of Issuer (amended) – English
Certificate of Issuer (amended) – French
Materials Sent to Securityholders (amended) – English
Materials Sent to Securityholders (amended) – French
Other (PV)

Filing Type: Other Filings

Document Types:

Other

Filing Type: New Company Listing or Quotation

Document Types:

Cover letter

Filing Subtype: Prospectus and Offerings

Document Types:

Preliminary prospectus – English
Preliminary prospectus – French
Asset and earnings coverage calculations
Dilution calculation
Amendment to (or amended) prospectus – English
Amendment to (or amended) prospectus – French
Auditors' negative assurance letter
Auditors' consent letter
Final prospectus – English
Final prospectus – French
Offering memorandum
"Green sheet"
Other – Prospectus and offerings

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: New Company Listing or Quotation

Filing Subtype: Material Contracts and Reports

Document Types:

Bonus plan
Business plan
Coattail agreement
Dividend reinvestment plan
Escrow agreement
Geological or engineering report
Independent evaluation or appraisal
Investor relations agreement
Management agreement
Pooling agreement
Property acquisition agreement
Purchase agreement.
Share option plan
Share option subscription agreement
Share purchase plan
Shareholder agreement
Sponsorship agreement
Trust agreement
Trust indenture
Underwriting or agency agreement
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:

Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Audited financial forecast
Form of proxy – English
Form of proxy – French
Interim financial statements – English
Interim financial statements – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Pro forma financial statements
Other – Financial statements and information documents

Filing Subtype: Resolutions and Articles

Document Types:

Banking resolution
Board resolution
Certificate and Articles of Amendment
Certificate and Articles of Incorporation
Company By-Laws
Shareholders resolution

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: New Company Listing or Quotation

Special resolution

Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Listing agreement

Listing statement/listing application

Personal information forms

Share distribution form

Statutory declaration

Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions

Other – Commission documents and forms

Filing Subtype: General

Document Types:

Certificate of good standing

Fairness opinion

Letter from CDS confirming CUSIP number

Letter from company (confirmation, undertaking, etc.)

Letter from registrar

Letter from transfer agent

Letter from trustee confirming shares held in escrow

Letter from underwriter (undertaking, minimum distribution, etc.)

List of shareholders from registrar (Trust company)

Opinion of legal counsel

Sponsorship acknowledgment letter

Other – General

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: New Security Issue (Supplemental Listing)

Document Types:

Cover letter

Filing Subtype: Prospectus and Offerings

Document Types:

Preliminary prospectus – English

Preliminary prospectus – French

Asset and earnings coverage calculations

Dilution calculation

Amendment to (or amended) prospectus – English

Amendment to (or amended) prospectus – French

Auditors' negative assurance letter

Auditors' consent letter

Final prospectus – English

Final prospectus – French

Rights offering circular – English

Rights offering circular – French

“Green sheet”

Other – Prospectus and offerings

Filing Subtype: Material Contracts and Reports

Document Types:

Coattail agreement

Escrow agreement

Geological or engineering report

Shareholder agreement

Sponsorship agreement

Trust agreement

Trust indenture

Underwriting or agency agreement

Voting trust agreement

Warrant indenture

Other – Material contracts and reports.

Filing Subtype: Resolutions and Articles

Document Types:

Banking resolution

Board resolution

Certificate and Articles of Amendment

Shareholders resolution

Special resolution

Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Personal information forms

Share distribution form

Other–Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions

Other – Commission documents and forms

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: New Security Issue (Supplemental Listing)

Filing Subtype: General

Document Types:

Letter from CDS confirming CUSIP number
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
Letter from underwriter (undertaking, minimum distribution, etc.)
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Sponsorship acknowledgment letter
Other – General

Filing Type: Additional Listing by Prospectus

Document Types:

Cover letter

Filing Subtype: Prospectus and Offerings

Document Types:

Preliminary prospectus – English
Preliminary prospectus – French
Amendment to (or amended) prospectus – English
Amendment to (or amended) prospectus – French
Auditors' negative assurance letter
Auditors' consent letter
Asset and earnings coverage calculations
Dilution calculation
Final prospectus – English
Final prospectus – French
“Green sheet”
Other – Prospectus and offerings

Filing Subtype: Material Contracts and Reports

Document Types:

Coattail agreement
Escrow agreement
Geological or engineering report
Pooling agreement
Property acquisition agreement
Purchase agreement
Shareholder agreement
Sponsorship agreement
Trust agreement
Trust indenture
Underwriting or agency agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Resolutions

Document Types:

Board resolution
Shareholders resolution
Special resolution
Other – Resolutions

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Additional Listing by Prospectus

Filing Subtype: Market Centre Documents and Forms

Document Types:

Personal information forms

Share distribution form

Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions

Other – Commission documents and forms

Filing Subtype: General

Document Types:

Letter from company (confirmation, undertaking, etc.)

Letter from transfer agent

Letter from underwriter (undertaking, minimum distribution, etc.)

Opinion of legal counsel

Other – General

Filing Type: Private Placement

Document Types:

Cover letter

Filing Subtype: Prospectus and Offerings

Document Types:

Preliminary prospectus – English

Preliminary prospectus – French

Asset and earnings coverage calculations

Dilution calculation

Amendment to (or amended) prospectus – English

Amendment to (or amended) prospectus – French

Auditors' negative assurance letter

Auditors' consent letter

Final prospectus – English

Final prospectus – French

Offering memorandum

Term sheet

Other – Prospectus and offerings

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Private Placement

Filing Subtype: Material Contracts and Reports

Document Types:

Coattail agreement
Escrow agreement
Finders fee agreement
Geological or engineering report
Management agreement
Pooling agreement
Property acquisition agreement
Purchase agreement
Shareholder agreement
Special warrant indenture
Sponsorship agreement
Subscription agreement
Trust agreement
Trust indenture
Underwriting or agency agreement
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:

Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Audited financial forecast
Form of proxy – English
Form of proxy – French
Interim financial statements – English
Interim financial statements – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Pro forma financial statements
Other – Financial statements and information documents

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution
Certificate and Articles of Amendment
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Private Placement

Filing Subtype: Market Centre Documents and Forms

Document Types:

Amendment of warrant terms (TSX-V)
Corporate placee registration form.
Declaration of certified filing (TSX-V)
Expedited private placement filing form
Notice of private placement
Personal information forms
Prior notice of private placement (Price protection)
Private placement questionnaire & undertaking
Private placement summary form (TSX-V)
Request to reset share limit for expedited filings
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions
Other – Commission documents and forms

Filing Subtype: General

Document Types:

Fairness opinion
Independent evaluation or appraisal
Letter from company (confirmation, undertaking, etc.)
Opinion of legal counsel
Other – General

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shares for Debt (TSX-V)

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Debt settlement agreement

Escrow agreement

Other – Material contracts and reports

Filing Subtype: Financial Statements

Document Types:

Annual report

Audited annual financial statements

Audited financial forecast

Current accounts payable list

Interim financial statements

Pro forma financial statements

Other – Financial statements

Filing Subtype: Market Centre Documents and Forms

Document Types:

Shares for debt filing form

Declaration of certified filing

Certification

Other – Market Centre documents and forms

Filing Subtype: General

Document Types:

Fairness opinion

Independent evaluation or appraisal

Other – General

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Exchange of Securities – Amalgamation or Reorganization

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Bonus plan

Business plan

Coattail agreement

Escrow agreement

Geological or engineering report

Independent evaluation or appraisal

Investor relations agreement

Management agreement

Pooling agreement

Property acquisition agreement

Purchase agreement

Share option plan

Share option subscription agreement

Share purchase plan

Shareholder agreement

Sponsorship agreement

Sponsor report

Trust agreement

Trust indenture

Underwriting or agency agreement

Voting trust agreement

Warrant indenture

Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:

Annual report – English

Annual report – French

Audited annual financial statements – English

Audited annual financial statements – French

Audited financial forecast

Filing statement

Form of proxy – English

Form of proxy – French

Interim financial statements – English

Interim financial statements – French

Letter of transmittal – English

Letter of transmittal – French

Management proxy/information circular – English

Management proxy/information circular – French

Notice of guaranteed delivery – English

Notice of guaranteed delivery – French

Notice of meeting – English

Notice of meeting – French

Plan of arrangement circular – English

Plan of arrangement circular – French

Other – Financial statements and information documents.

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Exchange of Securities – Amalgamation or Reorganization

Filing Subtype: Resolutions and Articles

Document Types:

Banking resolution

Board resolution

Certificate and Articles of Amalgamation

Certificate and Articles of Amendment

Certificate and Articles of Incorporation

Company By-Laws

Shareholders resolution

Special resolution

Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Listing agreement

Listing statement/listing application

Personal information forms

Share distribution form

Statutory declaration

Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions

Other – Commission documents and forms

Filing Subtype: General

Document Types:

Certificate of good standing

Fairness opinion

Letter from CDS confirming CUSIP number

Letter from company (confirmation, undertaking, etc.)

Letter from transfer agent

Letter from underwriter (undertaking, minimum distribution, etc.)

List of shareholders from registrar (Trust company)

Opinion of legal counsel

Sponsorship acknowledgment letter

Other – General

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Acquisition/Disposition of Assets – Share Transactions (TSX-V)

Filing Subtype: Major Acquisition/Disposition (TSX-V)

Document Types:

Cover letter
Annual report
Audited financial statements for acquisition target
Audited financial forecast
Auditors' consent letter
Board resolution
Consent letter from board of acquisition target
Consent letter(s) of other expert(s)
Escrow agreement
Fairness opinion
Filing statement
Financial plan
Finders fee agreement
Geological or engineering report
Independent evaluation or appraisal
Interim financial statements
Material contracts
Opinion of legal counsel
Pro forma financial statements
Property acquisition agreement
Purchase agreement
Scrutineer's report
Shareholders consents
Special resolution
Sponsor report
Title opinion
Transaction summary form
Other

Filing Subtype: Minor Acquisition/Disposition (TSX-V)

Document Types:

Cover letter
Board resolution
Directors' resolutions
Expedited acquisition filing form
Fairness opinion
Filing statement
Finders fee agreement
Geological or engineering report
Property acquisition agreement
Purchase agreement
Request to reset share limit for expedited filings
Special resolution
Transaction summary form
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Acquisition/Disposition of Assets – Share Transactions (TSX–V)

Filing Subtype: CPC Qualifying Transactions (TSX–V)

Document Types:

Cover letter
Financial statements for acquisition target
Auditors' consent letter
Business plan
Confirmation of mailing
Consent letter from board of acquisition target
Consent letter(s) of other expert(s)
Directors' resolutions
Escrow agreement
Fairness opinion
Information circular
Material contracts
Opinion of legal counsel
Pro forma balance sheet
Sponsorship acknowledgement form
Sponsor report
Technical reports and certifications of qualification
Other

Filing Type: CPC Prospectus (TSX–V)

Filing Subtype: Preliminary

Document Types:

Cover letter
Preliminary prospectus – English
Preliminary prospectus – French
Auditors' comfort letter regarding audited financial statements
Material contracts
Auditors' consent letter
Statutory declaration
Draft escrow agreement
Personal information form and authorization
Documents affecting rights of securityholders – Trust indentures re debt
Documents affecting rights of securityholders (or amendments thereto)
Underwriting or agency agreement
Confirmation re preliminary materials
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Letter of withdrawal
Material contracts – Credit agreements
Other

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: CPC Prospectus (TSX-V)

Filing Subtype: Amendment to Preliminary

Document Types:

Cover letter
Amendment to (or amended) preliminary prospectus – English
Amendment to (or amended) preliminary prospectus – French
Blacklined amended preliminary prospectus – English
Blacklined amended preliminary prospectus – French
Documents affecting rights of securityholders – Trust indentures re debt
Auditors' consent letter
Auditors' comfort letter regarding audited financial statements
Material contracts
Material contracts – Credit agreements
Statutory declaration
Consent letter(s) of issuer's legal counsel
Consent letter(s) of underwriters'/agents' legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Confirmation re preliminary prospectus amendment materials
Personal information form and authorization
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Letter of withdrawal
Other

Filing Subtype: Final

Document Types:

Cover letter
Final prospectus – English
Final prospectus – French
Blacklined final prospectus – English
Blacklined final prospectus – French
Auditors' consent letter
Consent letter(s) of issuer's legal counsel
Consent letter(s) of underwriters'/agents' legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Directors' resolutions
Underwriters' certificate
Documents affecting rights of securityholders – Trust indentures re debt
Documents affecting rights of securityholders (or amendments thereto)
Underwriting or agency agreement
Escrow agreement
Material contracts – Credit agreements
Other material contracts
Company's undertaking to provide material contracts on request
Undertaking to pay fees (BC)
Certificate/notice(s) re proceeds of distribution
Confirmation re final materials
Personal information form and authorization

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: CPC Prospectus (TSX-V)

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Other undertakings

Other

Filing Subtype: Amendment to Final

Document Types:

Cover letter

Amendment to (or amended) final prospectus – English

Amendment to (or amended) final prospectus – French

Blacklined amended final prospectus – English

Blacklined amended final prospectus – French

Documents affecting rights of securityholders – Trust indentures re debt

Auditors' consent letter

Consent letter(s) of issuer's legal counsel

Consent letter(s) of underwriters'/agents' legal counsel

Consent letter(s) of other legal counsel

Material contracts

Material contracts – Credit agreements

Statutory declaration

Confirmation re prospectus amendment materials

Personal information form and authorization

Marketing materials – English

Marketing materials – French

Confidential marketing materials – English

Confidential marketing materials – French

Other

Filing Subtype: Market Centre Documents (TSX-V)

Document Types:

Cover letter

Undertaking

Application for listing (TSX-V)

Confirmation of trustee holding shares under escrow agreement

Escrow undertaking (second tier escrow)

Letter from transfer agent

Listing agreement (TSX-V)

Certificate and Articles of Incorporation

Documents affecting rights of securityholders – Trust indentures re debt

Material contracts

Material contracts – Credit agreements

Opinion of legal counsel

Public distribution summary (TSX-V)

Sponsor report

Securityholders list

Stock option plan and specimen stock option agreement

Other

Category of Filer: Other Issuers
Category of Filing: Securities Offerings Folder for Filing Type: Québec
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

Folder for Filing Type: Québec

Filing Type: Prospectus – Distribution Outside Québec (QC SEC. 12 ACT)

Document Types:

Cover letter
Preliminary prospectus – English
Preliminary prospectus – French
Information document (QC sec. 115 Reg.)
Prospectus – English
Prospectus – French
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

Folder for Filing Type: General

Filing Type: Material Change Report

Document Types:

Cover letter

Letter concerning recipient agency

Material change report – letter from foreign issuer

Material change report – English

Material change report – French

Material change report – foreign language

Material change report (amended) – English

Material change report (amended) – French

Material change report (amended) – Foreign language

Translation certificate

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Qualification certificate(s)

Consent letter(s)

Material document(s)

Material documents(s) (amended)

Other

Filing Type: News Releases

Document Types:

Cover letter

Letter concerning recipient agency

News release – English

News release – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Qualification certificate(s)

Consent letter(s)

Offering material – English

Offering material – French

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Interim Financial Statements/Report

Filing Subtype: Interim Financial Statements/Report

Document Types:

Cover letter

Letter concerning recipient agency

Interim financial statements/report – letter from foreign issuer

Interim financial statements/report – English

Interim financial statements/report – French

Interim financial statements/report – foreign language

Translation certificate

Financial statements of operating entity

Financial statements of RTO acquirer

Calculation of earnings coverage

Other

Filing Subtype: Amended Interim Financial Statements/Report

Document Types:

Cover letter

Letter concerning recipient agency

Interim financial statements/report (amended) – English

Interim financial statements/report (amended) – French

Interim financial statements/report (amended) – foreign language

Translation certificate

Other

Filing Type: Interim Financial Statements/Report – XBRL (PV)

Document Types:

Cover letter

Letter concerning recipient agency

Interim Financial Statements/report – XBRL – Instance document

Interim Financial Statements/report – XBRL – Calculation linkbase

Interim Financial Statements/report – XBRL – Presentation linkbase

Interim Financial Statements/report – XBRL – Label linkbase

Interim Financial Statements/report – XBRL – Taxonomy extension

Interim Financial Statements/report – XBRL – Reference linkbase

Interim Financial Statements/report – XBRL – Definition linkbase

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Interim Certificates (NI 52-109)

Filing Subtype: Interim certificates (52-109F2)

Document Types:

Cover letter

Letter concerning recipient agency

52-109F2 – Certification of interim filings – CEO (E)

52-109F2 – Certification of interim filings – CFO (E)

52-109F2 – Certification of interim filings – CEO (F)

52-109F2 – Certification of interim filings – CFO (F)

Other

Filing Subtype: Interim certificates (52-109FV2)

Document Types:

Cover letter

Letter concerning recipient agency

52-109FV2 – Certification of interim filings – CEO (E)

52-109FV2 – Certification of interim filings – CFO (E)

52-109FV2 – Certification of interim filings – CEO (F)

52-109FV2 – Certification of interim filings – CFO (F)

Other

Filing Subtype: Refiled interim filings (52-109F2R)

Document Types:

Cover letter

Letter concerning recipient agency

52-109F2R – Certification of refiled interim filings – CEO (E)

52-109F2R – Certification of refiled interim filings – CFO (E)

52-109F2R – Certification of refiled interim filings – CEO (F)

52-109F2R – Certification of refiled interim filings – CFO (F)

Other

Filing Subtype: Interim certificates (52-109F2-IPO/RTO)

Document Types:

Cover letter

Letter concerning recipient agency

52-109F2-IPO/RTO –IPO/RTO/ Becoming Non-venture issuer – CEO (E)

52-109F2-IPO/RTO –IPO/RTO/ Becoming Non-venture issuer – CFO (E)

52-109F2-IPO/RTO –IPO/RTO/ Becoming Non-venture issuer – CEO (F)

52-109F2-IPO/RTO –IPO/RTO/ Becoming Non-venture issuer – CFO (F)

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Interim MD & A

Filing Subtype: Interim MD & A

Document Types:

Cover letter

Letter concerning recipient agency

MD&A – letter from foreign issuer

MD&A – English

MD&A – French

MD&A supplement – English

MD&A supplement – French

MD&A – foreign language

MD&A of an operating entity

Translation certificate

Other

Filing Subtype: Amended Interim MD & A

Cover letter

Letter concerning recipient agency

MD&A (amended) – letter from foreign issuer

MD&A (amended) – English

MD&A (amended) – French

MD&A supplement (amended) – English

MD&A supplement (amended) – French

MD&A (amended) – foreign language

Translation certificate

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Interim Management Report of Fund Performance

Filing Subtype: Interim Management Report of Fund Performance

Document Types:

Cover letter

Letter concerning recipient agency

Management report of fund performance – English

Management report of fund performance – French

Other

Filing Subtype: Amended Interim Management Report of Fund Performance

Document Types:

Cover letter

Letter concerning recipient agency

Management report of fund performance (amended) – English

Management report of fund performance (amended) – French

Other

Filing Type: Annual Financial Statements

Filing Subtype: Annual Financial Statements

Document Types:

Cover letter

Letter concerning recipient agency

Annual financial statements – letter from foreign issuer

Audited annual financial statements – English

Audited annual financial statements – French

Audited annual financial statements – foreign language

Translation certificate

AB Form 13-501F1 (Class 1 and 3B Reporting Issuers – Participation Fee)

AB Form 13-501F2 (Class 2 Reporting Issuers – Participation Fee)

AB Form 13-501F3 (Adjustment of Fee for Class 2 Reporting Issuers)

AB Form 13-501F4 (Class 3A Reporting Issuers – Participation Fee)

AB Form 13-501F5 (Investment Fund – Participation Fee)

AB Form 13-501F6 Subsidiary Exemption Notice

ON Form 13-502F1 (Class 1 and 3B Reporting Issuers – Participation Fee)

ON Form 13-502F2 (Class 2 Reporting Issuers – Participation Fee)

ON Form 13-502F2A (Adjustment of Fee for Class 2 Reporting Issuers)

ON Form 13-502F3A (Class 3A Reporting Issuers – Participation Fee)

ON Form 13-502F6 Subsidiary Entity Exemption Notice

Financial statements of operating entity

Financial statements of RTO acquirer

Valuation report(s) for labour sponsored funds

Calculation of earnings coverage

Other

Filing Subtype: Amended Annual Financial Statements

Document Types:

Cover letter

Letter concerning recipient agency

Audited annual financial statements (amended) – English

Audited annual financial statements (amended) – French

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Audited annual financial statements (amended) – foreign language

Translation certificate

Valuation report(s) for labour sponsored funds (amended)

Other

Filing Type: Annual Financial Statements – XBRL (PV)

Document Types:

Cover letter

Letter concerning recipient agency

Annual Financial Statements – XBRL – Instance document

Annual Financial Statements – XBRL – Calculation linkbase

Annual Financial Statements – XBRL – Presentation linkbase

Annual Financial Statements – XBRL – Label linkbase

Annual Financial Statements – XBRL – Taxonomy extension

Annual Financial Statements – XBRL – Reference linkbase

Annual Financial Statements – XBRL – Definition linkbase

Other

Filing Type: Annual Certificates (NI 52–109)

Filing Subtype: Annual certificates (52–109F1)

Document Types:

Cover letter

Letter concerning recipient agency

52–109F1 – Certification of annual filings – CEO (E)

52–109F1 – Certification of annual filings – CFO (E)

52–109F1 – Certification of annual filings – CEO (F)

52–109F1 – Certification of annual filings – CFO (F)

Other

Filing Subtype: Annual certificates (52–109FV1)

Document Types:

Cover letter

Letter concerning recipient agency

52–109FV1 – Certification of annual filings – CEO (E)

52–109FV1 – Certification of annual filings – CFO (E)

52–109FV1 – Certification of annual filings – CEO (F)

52–109FV1 – Certification of annual filings – CFO (F)

Other

Filing Subtype: Refiled annual filings (52–109F1R)

Document Types:

Cover letter

Letter concerning recipient agency

52–109F1R – Certification of refiled annual filings – CEO (E)

52–109F1R – Certification of refiled annual filings – CFO (E)

52–109F1R – Certification of refiled annual filings – CEO (F)

52–109F1R – Certification of refiled annual filings – CFO (F)

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Subtype: Annual certificates (52-109F1-AIF)

Document Types:

Cover letter

Letter concerning recipient agency

52-109F1-AIF – Certification of filings with voluntarily filed AIF – CEO (E)

52-109F1-AIF – Certification of filings with voluntarily filed AIF – CFO (E)

52-109F1-AIF – Certification of filings with voluntarily filed AIF – CEO (F)

52-109F1-AIF – Certification of filings with voluntarily filed AIF – CFO (F)

Other

Filing Subtype: Annual certificates (52-109F1-IPO/RTO)

Document Types:

Cover letter

Letter concerning recipient agency

52-109F1-IPO/RTO –IPO/RTO/ Becoming Non-venture issuer – CEO (E)

52-109F1-IPO/RTO –IPO/RTO/ Becoming Non-venture issuer – CFO (E)

52-109F1-IPO/RTO –IPO/RTO/ Becoming Non-venture issuer – CEO (F)

52-109F1-IPO/RTO –IPO/RTO/ Becoming Non-venture issuer – CFO (F)

Other

Filing Type: Annual MD & A

Filing Subtype: Annual MD & A

Document Types:

Cover letter

Letter concerning recipient agency

MD&A – letter from foreign issuer

MD&A – English

MD&A – French

MD&A supplement – English

MD&A supplement – French

MD&A – foreign language

MD&A of an operating entity

Translation certificate

Other

Filing Subtype: Amended Annual MD & A

Document Types:

Cover letter

Letter concerning recipient agency

MD&A (amended) – letter from foreign issuer

MD&A (amended) – English

MD&A (amended) – French

MD&A supplement (amended) – English

MD&A supplement (amended) – French

MD&A (amended) – foreign language

Translation certificate

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Management Report of Fund Performance

Filing Subtype: Annual Management Report of Fund Performance

Document Types:

Cover letter

Letter concerning recipient agency

Management report of fund performance – English

Management report of fund performance – French

Other

Filing Subtype: Amended Annual Management Report of Fund Performance

Document Types:

Cover letter

Letter concerning recipient agency

Management report of fund performance (amended) – English

Management report of fund performance (amended) – French

Other

Filing Type: Annual Report

Document Types:

Cover letter

Letter concerning recipient agency

Annual report – letter from foreign issuer

Annual report – English

Annual report – French

Annual report (amended) – English

Annual report (amended) – French

Annual report – foreign language

Annual report (amended) – foreign language

Translation certificate

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Qualification certificate(s)

Consent letter(s)

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Information Forms

Filing Subtype: Annual Information Forms

Document Types:

Cover letter
Letter concerning recipient agency
Annual information form – letter from foreign issuer
Annual information form – English
Annual information form – French
Annual report on Form 10–K – English
Annual report on Form 10–K – French
Annual report on Form 10–KSB – English
Annual report on Form 10–KSB – French
Annual report on Form 20–F – English
Annual report on Form 20–F – French
Annual information form – foreign language
Translation certificate
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s)
Material document(s)
Documents incorporated by reference not previously filed
Other

Filing Subtype: Revised Annual Information Forms

Document Types:

Cover letter
Letter concerning recipient agency
Revised annual information form – letter from foreign issuer
Revised annual information form – English
Revised annual information form – French
Revised annual report on Form 10–K – English
Revised annual report on Form 10–K – French
Revised annual report on Form 10–KSB – English
Revised annual report on Form 10–KSB – French
Revised annual report on Form 20–F – English
Revised annual report on Form 20–F – French
Revised annual information form – foreign language
Translation certificate
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Information Forms

Filing Subtype: Revised Annual Information Forms

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Qualification certificate(s)

Consent letter(s)

Material document(s)

Documents incorporated by reference not previously filed

Other

Filing Type: Annual Information Form (NI 81-106)

Filing Subtype: Annual Information Form

Document Types:

Cover letter

Letter concerning recipient agency

Annual information form – English

Annual information form – French

Material documents

Documents incorporated by reference not previously filed

Other

Filing Subtype: Revised Annual Information Form

Document Types:

Cover letter

Letter concerning recipient agency

Annual information form (revised) – English

Annual information form (revised) – French

Material documents

Documents incorporated by reference not previously filed

Other

Filing Type: Compliance Reports (NI 41-101) (PV)

Document Types:

Cover letter

Letter concerning recipient agency

Custodian report(s) – section 14.6

Other

Filing Type: Compliance Reports (NI 81-102)

Document Types:

Cover letter

Letter concerning recipient agency

Custodian report(s) – section 6.7

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Resale of Securities (MI 45-102)

Filing Subtype: Notice of Intention to Distribute Form 45-102F1

Document Types:

Cover letter

Letter concerning recipient agency

Notice Form 45-102F1

Other

Filing Type: Technical Report(s) (NI 43-101)

Filing Subtype: Technical Report

Document Types:

Cover letter

Letter concerning recipient agency

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Other

Filing Subtype: Amended & Restated Technical Report

Document Types:

Cover letter

Letter concerning recipient agency

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Oil and Gas Annual Disclosure (NI 51-101)

Filing Subtype: Oil and Gas Annual Disclosure Filing

Document Types:

Cover letter

Letter concerning recipient agency

Oil and gas annual disclosure filing (Forms 51-101 F1, F2 & F3)

Oil and gas annual disclosure filing (Form 51-101 F1)

Oil and gas annual disclosure filing (Form 51-101 F2)

Oil and gas annual disclosure filing (Form 51-101 F3)

Notice of filing of 51-101 F1 Information (Form 51-101 F4)

Notice of Ceasing to Engage in Oil and Gas Activities (Form 51-101F5)

Other

Filing Subtype: Revised Oil and Gas Annual Disclosure Filing

Document Types:

Cover letter

Letter concerning recipient agency

Revised oil and gas annual disclosure filing (Forms 51-101 F1, F2 & F3)

Revised oil and gas annual disclosure filing (Form 51-101 F1)

Revised oil and gas annual disclosure filing (Form 51-101 F2)

Revised oil and gas annual disclosure filing (Form 51-101 F3)

Other

Filing Subtype: Oil and Gas Interim Filing

Document Types:

Cover letter

Letter concerning recipient agency

Interim oil and gas disclosure filing

Other

Filing Subtype: Summary Report

Document Types:

Cover letter

Letter concerning recipient agency

Summary of oil and gas report

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Notice of the Meeting and Record Date

Document Types:

Cover letter

Letter concerning recipient agency

Notice of the meeting and record date – English

Notice of the meeting and record date – French

Notice of the meeting and record date (amended) – English

Notice of the meeting and record date (amended) – French

Other

Filing Type: Notice of Securities Granted to Insiders NI 55–101

Document Types:

Cover letter

Letter concerning recipient agency

Notice of securities granted to insiders – English

Notice of securities granted to insiders – French

Notice of securities granted to insiders (amended) – English

Notice of securities granted to insiders (amended) – French

Other

Filing Type: OTC Issuer Registration Statement MI 51–105

Document Types:

Cover letter

Letter concerning recipient agency

OTC issuer registration statement MI 51–105 – English

OTC issuer registration statement MI 51–105 – French

OTC issuer registration statement MI 51–105 (amended) – English

OTC issuer registration statement MI 51–105 (amended) – French

Other

Filing Type: Notice OTC Issuer Ceases to be an OTC Reporting Issuer 51–105F1

Document Types:

Cover letter

Letter concerning recipient agency

Notice OTC issuer ceases to be a reporting issuer 51–105F1 – English

Notice OTC issuer ceases to be a reporting issuer 51–105F1 – French

Notice OTC issuer ceases to be a reporting issuer 51–105F1 (amended) – E

Notice OTC issuer ceases to be a reporting issuer 51–105F1 (amended) – F

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Notice of Promotional Activities 51–105F2

Document Types:

Cover letter

Letter concerning recipient agency

Notice of promotional activities 51–105F2 – English

Notice of promotional activities 51–105F2 – French

Notice of promotional activities 51–105F2 (amended) – English

Notice of promotional activities 51–105F2 (amended) – French

Other

Filing Type: Personal Information Form and Authorization 51–105F3A

Document Types:

Cover letter

Letter concerning recipient agency

Personal information form and authorization 51–105F3A – English

Personal information form and authorization 51–105F3A – French

Personal information form and authorization 51–105F3A (amended) – English

Personal information form and authorization 51–105F3A (amended) – French

Other

Filing Type: Personal Information Form and Authorization 51–105F3B

Document Types:

Cover letter

Letter concerning recipient agency

Personal information form and authorization 51–105F3B – English

Personal information form and authorization 51–105F3B – French

Personal information form and authorization 51–105F3B (amended) – English

Personal information form and authorization 51–105F3B (amended) – French

Other

Filing Type: Notice Issuer Ceases to be an OTC Reporting Issuer 51–105F4

Document Types:

Cover letter

Letter concerning recipient agency

Notice issuer ceases to be an OTC reporting issuer 51–105F4 – English

Notice issuer ceases to be an OTC reporting issuer 51–105F4 – French

Notice issuer ceases to be an OTC reporting issuer 51–105F4 (amended) – E

Notice issuer ceases to be an OTC reporting issuer 51–105F4 (amended) – F

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Statement of Executive Compensation

Document Types:

Cover letter

Letter concerning recipient agency

Statement of Executive Compensation (Form 51-102F6) – English

Statement of Executive Compensation (Form 51-102F6) – French

Statement of Executive Compensation (Form 51-102F6V) – English

Statement of Executive Compensation (Form 51-102F6V) – French

Other

Filing Type: Management Proxy Materials

Document Types:

Cover letter

Letter concerning recipient agency

Management proxy materials – letter from foreign issuer

Notice of meeting – English

Notice of meeting – French

Notice of meeting (amended) – English

Notice of meeting (amended) – French

Management information circular – English

Management information circular – French

Management information circular (amended) – English

Management information circular (amended) – French

Form of proxy – English

Form of proxy – French

Management proxy materials – foreign language

Management proxy materials (amended) – foreign language

Translation certificate

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Qualification certificate(s)

Consent letter(s)

Certificate re dissemination to shareholders

Report of voting results

Report of voting results (amended)

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Change of Auditor Filings

Document Types:

Cover letter
Letter concerning recipient agency
Notice
Letter from former auditor
Letter from successor auditor
Other

Filing Type: Code of Conduct

Document Types:

Cover letter
Letter concerning recipient agency
Code of conduct
Other

Filing Type: Change in Year End Filings

Document Types:

Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Supplement to the notice
Supplement to the notice (amended)
Other

Filing Type: Change in Corporate Structure Filings

Document Types:

Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Change in Legal Structure Filings

Document Types:

Cover letter

Letter concerning recipient agency

Notice

Notice (amended)

Other

Filing Type: Change in Status Filings

Document Types:

Cover letter

Letter concerning recipient agency

Notice

Notice (amended)

Other

Filing Type: Business Acquisition Report

Document Types:

Cover letter

Letter concerning recipient agency

Business acquisition report – letter from foreign issuer

Business acquisition report – English

Business acquisition report – French

Business acquisition report – foreign language

Translation certificate

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Qualification certificate(s)

Consent letter(s)

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Documents Affecting the Rights of Security Holders /Material Contracts

Filing Subtype: Documents Affecting Rights of Security Holders

Document Types:

Cover letter
Letter concerning recipient agency
Documents affecting rights of securityholders – Trust indentures re debt
Other security holders documents – English
Other security holders documents – French
Other security holders documents – foreign language
Other

Filing Subtype: Material Contracts

Document Types:

Cover letter
Letter concerning recipient agency
Material contracts – Credit agreements
Other material contracts – English
Other material contracts – French
Other material contracts – foreign language
Other material contracts (amended) – English
Other material contracts (amended) – French
Other material contracts (amended) – foreign language
Translation certificate
Other

Filing Type: Short Form Offering Document

Document Types:

Cover letter
Letter concerning recipient agency
Short form offering document – English
Short form offering document – French
Amendment to (or amended) short form offering document – English
Amendment to (or amended) short form offering document – French
Underwriting or agency agreement
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Report of Management Company

Document Types:

Cover letter

Letter concerning recipient agency

Form (AB, ON–Form 38, BC–Form 81–903F, SK–Form 36, NS–Form 39, NF–Form 37)

Form (amended) (AB,ON–Form38,BC–Form81–903F,SK–Form36,NS–Form39,NF–Form37)

Other

Filing Type: Proxy Voting Record of an Investment Fund

Document Types:

Cover letter

Letter concerning recipient agency

Proxy voting record of an investment fund Proxy voting record of an investment fund

Proxy voting record of an investment fund Proxy voting record of an investment fund (amended)

Other

Filing Type: Reports under NI 81–107

Document Types:

Cover letter

Letter concerning recipient agency

Report by independent review committee – English

Report by independent review committee – French

Report by independent review committee (amended) – English

Report by independent review committee (amended) – French

Manager – transactions in securities of related issuers – English

Manager – transactions in securities of related issuers – French

Manager – transactions in securities of related issuers (amended) – English

Manager – transactions in securities of related issuers (amended) – French

Manager – transactions under part 4 of NI 81–102 – English

Manager – transactions under part 4 of NI 81–102 – French

Manager – transactions under part 4 of NI 81–102 (amended) – English

Manager – transactions under part 4 of NI 81–102 (amended) – French

Manager – notification under part 5 of NI 81–107 – English

Manager – notification under part 5 of NI 81–107 – French

Manager – notification under part 5 of NI 81–107 (amended) – English

Manager – notification under part 5 of NI 81–107 (amended) – French

Other

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: For Regulator's Use Only (PV)

Document Types:

Cover letter

Letter concerning recipient agency

Other

Filing Type: Other Filings (PV)

Document Types:

Other

Filing Type: Change in Transfer Agent Filings

Document Types:

Cover letter

Filing Subtype: General

Document Types:

Letter from transfer agent

Other – General

Filing Type: Change in Address Filings (Head Office, Executive Office, Internet)

Document Types:

Cover letter

Filing Subtype: General

Document Types:

Other – General

Filing Type: Change in Solicitor Filings

Document Types:

Cover letter

Filing Subtype: General

Document Types:

Other – General

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Dividend Declaration

Filing Subtype: Cash Dividend

Document Types:

Cover letter

Notice of declaration of cash dividend

Other

Filing Subtype: Stock Dividend

Document Types:

Cover letter

Notice of declaration of stock dividend

Other

Filing Type: Filing Statement

Document Types:

Cover letter

Filing statement – English

Filing statement – French

Other

Filing Type: Listing Application

Document Types:

Cover letter

Letter concerning recipient agency

Listing application – English

Listing application – French

Listing application (amended) – English

Listing application (amended) – French

Other

Filing Type: Promotional Material

Document Types:

Cover letter

Filing Subtype: Information Documents

Document Types:

Documents sent to shareholders – English

Documents sent to shareholders – French

Invitation – road show

Invitation – shareholders meeting

Other – Information documents

Filing Subtype: General

Document Types:

Other – General

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

All filing types are Auto-Public except where noted as Private (PV)

Refer to list of documents with Private-nonpublic access on page 5

Folder for Filing Type: Québec

Filing Type: Transparency Measures in the Mining, Oil and Gas Industries

Filing Subtype: Annual Statement of Payments

Document Types:

Cover letter

Annual statement of payments – English

Annual statement of payments – French

Other (PV)

Filing Subtype: Amended Annual Statement of Payments

Document Types:

Cover letter

Annual statement of payments (amended) – English

Annual statement of payments (amended) – French

Other (PV)

Category of Filer: Other Issuers
Category of Filing: Exemptions and Other Applications
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Exemptions and Other Applications

Folder for Filing Type: General

Filing Type: Applications (NI 81–102)

Document Types:

Cover letter
Letter concerning recipient agency
Application letter
Exhibits and other supporting material
Statement of verification
Draft decision document(s) – English
Draft decision document(s) – French
Draft order(s)
Other

Filing Type: Exemptions and Other Applications – In Connection with a Prospectus Filing

Document Types:

Cover letter
Application letter
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Exhibits and other supporting material
Statement of verification
Draft order(s)
Other

Category of Filer: Other Issuers

Category of Filing: Going Private/Related Party Transactions

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Going Private / Related Party Transactions

Folder for Filing Type: General

Filing Type: Going Private Transaction Filings

Document Types:

Cover letter

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Qualification certificate(s)

Consent letter(s) of other expert(s)

Formal valuation

Prior valuation

Report of going private transaction (NS-Form 33)

Letter outlining exemptions relied upon (ON, QC)

Other

Filing Type: Related Party Transaction Filings

Document Types:

Cover letter

Material change report – English

Material change report – French

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Qualification certificate(s)

Consent letter(s) of other expert(s)

Formal valuation

Prior valuation

Letter outlining exemptions relied upon (ON, QC)

Other

Filing Type: Other Filings (P)

Document Types:

Other

Category of Filer: Other Issuers

Category of Filing: Securities Acquisitions

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page

Category of Filer: Other Issuers

Category of Filing: Securities Acquisitions

Folder for Filing Type: General

Filing Type: Formal Issuer Bid Filings (P)

Filing Subtype: Issuer Bid Circular

Document Types:

Cover letter

Issuer bid circular – English

Issuer bid circular – French

Letter of transmittal – English

Letter of transmittal – French

Notice of guaranteed delivery – English

Notice of guaranteed delivery – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Qualification of certificate(s)

Consent letter(s) of other expert(s)

Formal valuation

Prior valuation

Letter outlining exemptions relied upon (ON, QC)

Other

Filing Subtype: Notice of Change or Variation

Document Types:

Cover letter

Notice of change or variation – English

Notice of change or variation – French

Technical report (NI 43–101) – English

Technical report (NI 43–101) – French

Certificate of qualified person (NI 43–101) – English

Certificate of qualified person (NI 43–101) – French

Consent of qualified person (NI 43–101) – English

Consent of qualified person (NI 43–101) – French

Amended & restated technical report (NI 43–101) – English

Amended & restated technical report (NI 43–101) – French

Qualification of certificate(s)

Consent letter(s) of other expert(s)

Formal valuation

Other

Category of Filer: Other Issuers

Category of Filing: Securities Acquisitions

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page

Filing Type: Exempt Issuer Bid Filings

(P)

Document Types:

Cover letter

Notice of intention

Exempt issuer bid material

News release (section 4.8 of MI 62-104)

Other

Filing Type: Other Filings

Document Types:

Other

Filing Type: Normal Course Issuer Bid

Document Types:

Cover letter

Filing Subtype: Financial Statements and Information Documents

Document Types:

Annual report – English

Annual report – French

Interim financial statements – English

Interim financial statements – French

Management proxy/information circular – English

Management proxy/information circular – French

Press release – English

Press release – French

Other – Financial statements and information documents

Filing Subtype: Market Centre Documents and Forms

Document Types:

Broker and registered representative name

Notice of intention to make a normal course issuer bid

Public float calculation

Other – Market Centre documents and forms

Filing Subtype: General

Document Types:

Undertaking of purchase and cancellation

Other – General

Category of Filer: Other Issuers

Category of Filing: Securities Acquisitions

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page

Filing Type: Small Shareholder Selling and Purchase Arrangement

Document Types:

Cover letter

Filing Subtype: Information Documents

Document Types:

Letter of transmittal – English

Letter of transmittal – French

Press release – English

Press release – French

Selling/Purchase arrangement circular – English

Selling/Purchase arrangement circular – French

Selling/Purchase arrangement circular – English (renewal)

Selling/Purchase arrangement circular – French (renewal)

Other – Information documents

Filing Subtype: Resolutions

Document Types:

Board resolution

Directors' resolutions

Other – Resolutions

Filing Subtype: General

Document Types:

Attestation from member firms (beneficial owner)

Other – General

Filing Type: Redemption or Retraction of Securities

Document Types:

Cover letter

Filing Subtype: Information Documents

Document Types:

Letter of transmittal – English

Letter of transmittal – French

Notice of redemption or retraction sent to shareholders – English

Notice of redemption or retraction sent to shareholders – French

Other – Information documents

Filing Subtype: General

Document Types:

Letter from transfer agent

List of shareholders from registrar (Trust company)

Other – General

Category of Filer: Other Issuers

Category of Filing: Securities Acquisitions

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page

Filing Type: Substantial Issuer Bid Filings (Stock Exchange or By Circular)

Document Types:

Cover letter

Filing Subtype: Offering Documents

Document Types:

Letter of transmittal – English

Letter of transmittal – French

Notice of guaranteed delivery – English

Notice of guaranteed delivery – French

Stock exchange take-over bid notice – English

Stock exchange take-over bid notice – French

Take-over bid circular – English

Take-over bid circular – French

Valuation (MI 61–101)

Other – Offering documents

Filing Subtype: Information Documents and Notices

Document Types:

Notice indicating result of take-over bid – English

Notice indicating result of take-over bid – French

Notice of change or variation – English

Notice of change or variation – French

Notice of compulsory acquisition – English

Notice of compulsory acquisition – French

Other – Information documents and notices

Filing Subtype: Material Contracts and Reports

Document Types:

Escrow agreement

Lock-up agreement

Management agreement

Pooling agreement

Purchase agreement

Shareholder agreement

Shareholder rights plan

Voting trust agreement

Other – Material contracts and reports.

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution

Special resolution

Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Notice of proof of financing (TSX–V)

Share distribution form

Other – Market Centre documents and forms

Category of Filer: Other Issuers

Category of Filing: Securities Acquisitions

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page

Filing Type: Substantial Issuer Bid Filings (Stock Exchange or By Circular)

Filing Subtype: Commission Documents and Forms

Document Types:

Valuation (ON 61–501, QC Q–27)

Other – Commission documents and forms

Filing Subtype: General

Document Types:

Certificate of good standing

Letter from company (confirmation, undertaking, etc.)

Letter from transfer agent

Liquidity opinion

List of shareholders from registrar (Trust company)

Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Other Reviewable Transactions (Market Centres)

Folder for Filing Type: General

Filing Type: Material Change Policy Filings (TSX-V)

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Business plan
Coattail agreement
Escrow agreement
Finders fee agreement
Geological or engineering report
Independent evaluation or appraisal
Management agreement
Pooling agreement
Property acquisition agreement
Purchase agreement
Shareholder agreement
Sponsorship agreement
Trust agreement
Trust indenture
Underwriting or agency agreement
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:

Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Audited financial forecast
Form of proxy – English
Form of proxy – French
Interim financial statements – English
Interim financial statements – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Pro forma financial statements
Other – Financial statements and information documents

Category of Filer: Other Issuers

Category of Filing: Other Reviewable Transactions (Market Centres)

All filings are Private

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Material Change Policy Filings (TSX-V)

Filing Subtype: Resolutions and Articles

Document Types:

Banking resolution

Board resolution

Certificate and Articles of Amendment

Certificate and Articles of Incorporation

Company By-Laws

Shareholder resolution

Special resolution

Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Personal information forms

Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions

Valuation (MI 61-101)

Other – Commission documents and forms

Filing Subtype: General

Document Types:

Certificate of good standing

Fairness opinion

Letter from company (confirmation, undertaking, etc.)

Letter from transfer agent

List of shareholders from registrar (Trust company)

Opinion of legal counsel

Sponsorship acknowledgment letter

Other – General

Category of Filer: Other Issuers

Category of Filing: Other Reviewable Transactions (Market Centres)

All filings are Private

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Stock Option / Share Purchase Arrangement

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Bonus plan

Employment contract

Escrow agreement

Management agreement

Pooling agreement

Share option plan

Share option subscription agreement

Share purchase plan

Shareholder agreement

Voting trust agreement

Other – Material contracts and reports

Filing Subtype: Information Documents

Document Types:

Form of proxy – English

Form of proxy – French

Management proxy/information circular – English

Management proxy/information circular – French

Notice of meeting – English

Notice of meeting – French

Other – Information documents

Filing Subtype: Resolutions

Document Types:

Board resolution

Shareholders resolution

Other – Resolutions

Filing Subtype: Market Centre Documents and Forms

Document Types:

Certificate and undertaking of corporate optionnee (TSX-V)

Declaration (TSX-V)

Notice of filing expedited stock option (TSX-V)

Notification of exercise of stock option (TSX-V)

Personal information forms

Summary form (TSX-V)

Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions

Other – Commission documents and forms

Filing Subtype: General

Document Types:

Letter from company (confirmation, undertaking, etc.)

Opinion of legal counsel

Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Listed Capital Reporting Filings (Monthly Issued Shares and Option Grants)

Document Types:

Cover letter

Filing Subtype: Market Centre Documents and Forms

Document Types:

Monthly issued capital report
Option grant, exercise and cancellation report
Share cancellation report (NCIB)
Other – Market Centre documents and forms

Filing Subtype: General

Document Types:

Other – General

Filing Type: Dividend Reinvestment Plan/Stock Dividend

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Dividend reinvestment plan – English
Dividend reinvestment plan – French
Other – Material contracts and reports

Filing Subtype: Information Documents

Document Types:

Form of proxy – English
Form of proxy – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions

Document Types:

Board resolution
Special resolution
Other – Resolutions

Filing Subtype: General

Document Types:

Letter from transfer agent
Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Stock Split

Document Types:

Cover letter

Filing Subtype: Information Documents

Document Types:

Form of proxy – English
Form of proxy – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles

Document Types:

Banking resolution
Board resolution
Certificate and Articles of Amendment
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: General

Document Types:

Letter from CDS confirming CUSIP number
Letter from transfer agent
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Consolidation

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Coattail agreement
Escrow agreement
Shareholder agreement
Trust agreement
Trust indenture
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:

Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Form of proxy – English
Form of proxy – French
Interim financial statements – English
Interim financial statements – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Pro forma financial statements
Other – Financial statements and information documents

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution
Certificate and Articles of Amendment
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Consolidation checklist (TSX-V)
Name reservation (TSX-V)
Share distribution form
Other – Market Centre documents and forms

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Consolidation

Filing Subtype: General

Document Types:

Letter from CDS confirming CUSIP number
Letter from intermediaries (TSX-V)
Letter from transfer agent (TSX-V)
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Proof of company name reservation with registrar (TSX-V)
Other – General

Filing Type: Change in Name

Document Types:

Cover letter

Filing Subtype: Information Documents

Document Types:

Form of proxy – English
Form of proxy – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution
Certificate and Articles of Amendment
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Name change checklist (TSX-V)
Name reservation (TSX-V)
Share distribution form
Other – Market Centre documents and forms

Filing Subtype: General

Document Types:

Letter from CDS confirming CUSIP number
Letter from company (confirmation, undertaking, etc.)
Letter from intermediaries (TSX-V)
Letter from transfer agent (TSX-V)
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Proof of company name reservation with registrar (TSX-V)
Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Reclassification or Redesignation of Shares

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Bonus plan
Coattail agreement
Escrow agreement
Management agreement
Pooling agreement
Share option plan
Share purchase plan
Shareholder agreement
Trust agreement
Trust indenture
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Information Documents

Document Types:

Form of proxy – English
Form of proxy – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution
Certificate and Articles of Amendment
Company By-Laws
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Share distribution form
Other – Market Centre documents and forms

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Subtype: General

Document Types:

Letter from CDS confirming CUSIP number
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Other – General

Filing Type: Restricted Shares and Coattails Policy Filings

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Coattail agreement
Escrow agreement
Management agreement
Shareholder agreement
Trust agreement
Voting trust agreement
Other – Material contracts and reports

Filing Subtype: Information Documents

Document Types:

Form of proxy – English
Form of proxy – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution
Certificate and Articles of Amendment
Certificate and Articles of Incorporation
Company By-Laws
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: General

Document Types:

Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shareholder Rights Plan Filings

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Shareholder rights plan

Other – Material contracts and reports

Filing Subtype: Information Documents

Document Types:

Form of proxy – English

Form of proxy – French

Letter of transmittal – English

Letter of transmittal – French

Management proxy/information circular – English

Management proxy/information circular – French

Notice of meeting – English

Notice of meeting – French

Other – Information documents

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution

Certificate and Articles of Amendment

Company By-Laws

Shareholders resolution

Special resolution

Other – Resolutions and Articles

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions

Other – Commission documents and forms

Filing Subtype: General

Document Types:

Letter from company (confirmation, undertaking, etc.)

Opinion of legal counsel

Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Change in Articles / Memorandum

Document Types:

Cover letter

Filing Subtype: Information Documents

Document Types:

Form of proxy – English

Form of proxy – French

Management proxy/information circular – English

Management proxy/information circular – French

Notice of meeting – English

Notice of meeting – French

Other – Information documents

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution

Certificate and Articles of Amendment

Certificate and Articles of Incorporation

Company By-Laws

Shareholders resolution

Special resolution

Other – Resolutions and Articles

Filing Subtype: General

Document Types:

Other– General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Voluntary Delisting or Dequotation

Document Types:

Cover letter

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution

Shareholders resolution

Special resolution

Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Share distribution form

Other – Market Centre documents and forms

Filing Subtype: General

Document Types:

Letter from transfer agent

List of shareholders from registrar (Trust company)

Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Escrowed Shares – Release or Transfer

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Escrow agreement

Pooling agreement

Shareholder agreement

Transfer agreement

Trust agreement

Voting trust agreement

Other – Material contracts and reports

Filing Subtype: Financial Statements

Document Types:

Annual report – English

Annual report – French

Audited annual financial statements – English

Audited annual financial statements – French

Interim financial statements – English

Interim financial statements – French

Other – Financial statements

Filing Subtype: Resolutions

Document Types:

Board resolution

Special resolution

Other – Resolutions

Filing Subtype: Market Centre Documents and Forms

Document Types:

Auditors' calculation (TSX-V)

Corporate undertaking (TSX-V)

Personal information forms

Registrar of company certificate (TSX-V)

Statutory declaration (TSX-V)

Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Receipt from Securities Commissions

Other – Commission documents and forms

Filing Subtype: General

Document Types:

Other – General

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Transfer of Shares to Non-Residents

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Escrow agreement

Non-resident agreement

Pooling agreement

Trust agreement

Voting trust agreement

Other – Material contracts and reports

Filing Subtype: General

Document Types:

Other – General

Filing Type: Change in Directors/Officers Filings

Document Types:

Cover letter

Filing Subtype: Market Centre Documents and Forms

Document Types:

Personal information forms

Other – Market Centre documents and forms

Filing Subtype: General

Document Types:

Other – General

Filing Type: Sustaining Fee Payment

Document Types:

Cover letter

Other

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Change in Market Centre Status

Filing Subtype: Application for Change in Tier Status (TSX-V)

Document Types:

Cover letter
Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Geological or engineering report
Independent evaluation or appraisal
Interim financial statements – English
Interim financial statements – French
Other

Filing Type: Acquisition/Disposition of Assets – Non-Share Transactions (TSX-V)

Filing Subtype: Major Acquisition/Disposition (TSX-V)

Document Types:

Cover letter
Annual report
Audited financial statements for acquisition target
Audited financial forecast
Auditors' consent letter
Board resolution
Consent letter from board of acquisition target
Consent letter(s) of other expert(s)
Escrow agreement
Fairness opinion
Filing statement
Financial plan
Finders fee agreement
Geological or engineering report
Independent evaluation or appraisal
Interim financial statements
Material contracts
Opinion of legal counsel
Pro forma financial statements
Property acquisition agreement
Purchase agreement
Scrutineer's report
Shareholders consents
Special resolution
Sponsor report
Title opinion
Transaction summary form
Other

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Acquisition/Disposition of Assets – Non–Share Transactions (TSX–V)

Filing Subtype: Minor Acquisition/Disposition (TSX–V)

Document Types:

Cover letter
Board resolution
Directors' resolutions
Expedited acquisition Filing Form
Fairness opinion
Filing statement
Finders fee agreement.
Geological or engineering report
Property acquisition agreement
Purchase agreement
Special resolution
Transaction summary form
Other

Filing Subtype: CPC Qualifying Transactions (TSX–V)

Document Types:

Cover letter
Financial statements for acquisition target
Auditors' consent letter
Business plan
Confirmation of mailing
Consent letter from board of acquisition target
Consent letter(s) of other expert(s)
Directors' resolution
Escrow agreement
Fairness opinion
Information circular
Material contracts
Opinion of legal counsel
Pro forma balance sheet
Sponsorship acknowledgement form
Sponsor report
Technical reports and certificates of qualification
Other

Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
Folder for Filing Type: British Columbia
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Other Reviewable Transactions (Market Centres)

Folder for Filing Type: British Columbia

Filing Type: Loans/Bonus (TSX-V)

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Bonus agreement

Escrow agreement

Loan agreement

Other – Material contracts and reports

Filing Subtype: General

Document Types:

Other – General

Filing Type: Management Remuneration / Investor Relations Agreements (TSX-V)

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Investor relations document

Management remuneration contracts

Other – Material contracts and reports

Filing Subtype: Information Documents

Document Types:

Form of proxy

Management proxy/information circular – English

Notice of meeting

Other – Information documents

Filing Subtype: General

Document Types:

Other – General

Category of Filer: Other Issuers

Category of Filing: Exempt Market Offerings and Disclosure

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Category of Filing: Exempt Market Offerings and Disclosure

Folder for Filing Type: General

Filing Type: Report of Exempt Distribution (NI 45–106)

Filing Subtype: Report of Exempt Distribution (45–106F1)

Document Types:

Cover letter (P)
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of 45–106F1 (P)
Schedule 1 of report of exempt distribution (45–106F1) (PNP)
Schedule 2 of report of exempt distribution (45–106F1) (PNP)
Other

Filing Subtype: Amended Report of Exempt Distribution (45–106F1)

Document Types:

Cover letter (P)
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of 45–106F1 (amended) (P)
Schedule 1 of report of exempt distribution (45–106F1) (amended) (PNP)
Schedule 2 of report of exempt distribution (45–106F1) (amended) (PNP)
Other

Filing Type: Offering Memorandum (2.9 of NI 45–106) (P)

Filing Subtype: Offering Memorandum (2.9 of NI 45–106)

Document Types:

Cover letter
Letter concerning recipient agency
Offering memorandum (2.9 of NI 45–106) – English
Offering memorandum (2.9 of NI 45–106) – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Consent letter(s)
Other (PV)

Filing Subtype: Amended Offering Memorandum (2.9 of NI 45–106)

Document Types:

Cover letter
Letter concerning recipient agency
Offering memorandum (2.9 of NI 45–106) (amended) – English
Offering memorandum (2.9 of NI 45–106) (amended) – French

Category of Filer: Other Issuers

Category of Filing: Exempt Market Offerings and Disclosure

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Filing Type: Offering Memorandum (2.9 of NI 45-106) (P)

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Consent letter(s)

Other (PV)

Filing Type: Marketing Materials (2.9 of NI 45-106)

Filing Subtype: Marketing Materials (2.9 of NI 45-106)

Document Types:

Cover letter

Letter concerning recipient agency

Marketing materials related to offering memorandum – English (P)

Marketing materials related to offering memorandum – French (P)

Other

Filing Subtype: Amended Marketing Materials (2.9 of NI 45-106)

Document Types:

Cover letter

Letter concerning recipient agency

Marketing materials related to offering memorandum (amended) – English (P)

Marketing materials related to offering memorandum (amended) – French (P)

Other

Filing Type: Real Estate Offering Document

Filing Subtype: Real Estate Offering Document

Document Types:

Cover letter

Letter concerning recipient agency

Real estate offering document – English (P)

Real estate offering document – French (P)

Other

Filing Subtype: Amended Marketing Materials (2.9 of NI 45-106)

Document Types:

Cover letter

Letter concerning recipient agency


Real estate offering document (amended) – English (P)

Real estate offering document (amended) – French (P)

Other

Category of Filer: Other Issuers

Category of Filing: Exempt Market Offerings and Disclosure

All filing types are Private except where noted as Public 

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Financial Statements – Non-Reporting Issuers

Filing Subtype: Annual Financial Statements– Non-Reporting Issuers

Document Types:

Cover letter

Letter concerning recipient agency

Annual financial statements – English

Annual financial statements – French

Other

Filing Subtype: Amended Annual Financial Statements– Non-Reporting Issuers

Document Types:

Cover letter

Letter concerning recipient agency

Annual financial statements (amended) – English

Annual financial statements (amended) – French

Other

Filing Type: Notice of Use of Proceeds

Filing Subtype: Notice of Use of Proceeds

Document Types:

Cover letter

Letter concerning recipient agency

Notice of use of proceeds – English

Notice of use of proceeds – French

Other

Filing Subtype: Amended Notice of Use of Proceeds

Document Types:

Cover letter

Letter concerning recipient agency

Notice of use of proceeds (amended) – English

Notice of use of proceeds (amended) – French

Other

Filing Type: Change in Year End Filings – Non-Reporting Issuers

Filing Subtype: Change in Year End Filings – Non-Reporting Issuers

Document Types:

Cover letter

Letter concerning recipient agency

Notice

Notice (amended)

Supplement to the notice

Supplement to the notice (amended)

Other

Category of Filer: Other Issuers

Category of Filing: Exempt Market Offerings and Disclosure

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Crowdfunding – Offering Document (MI 45–108) (P)

Filing Subtype: Crowdfunding – Offering Document (MI 45–108)

Document Types:

Cover letter
Letter concerning recipient agency
Crowdfunding Offering Document (MI 45–108) – English
Crowdfunding Offering Document (MI 45–108) – French
Other distribution materials (MI 45–108) – English
Other distribution materials (MI 45–108) – French
Other (PV)

Filing Subtype: Amended Crowdfunding – Offering Document (MI 45–108)

Document Types:

Cover letter
Letter concerning recipient agency
Crowdfunding Offering Document (MI 45–108) (amended) – English
Crowdfunding Offering Document (MI 45–108) (amended) – French
Other distribution materials (MI 45–108) (amended) – English
Other distribution materials (MI 45–108) (amended) – French
Other (PV)

Filing Type: Start-Up Crowdfunding – Offering Document

Filing Subtype: Start-Up Crowdfunding – Offering Document

Document Types:

Cover letter
Letter concerning recipient agency
Offering document – English (P)
Offering document – French (P)
Other

Filing Subtype: Amended Start-Up Crowdfunding – Offering Document

Document Types:

Cover letter
Letter concerning recipient agency
Offering document (amended) – English (P)
Offering document (amended) – French (P)
Other

Filing Type: Start-Up Crowdfunding – Report of Exempt Distribution (Form 5)

Filing Subtype: Start-Up Crowdfunding – Report of Exempt Distribution (Form 5)

Document Types:

Cover letter
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of Form 5 (P)
Schedule 1 of report of exempt distribution Form 5 (PNP)
Other

Category of Filer: Other Issuers

Category of Filing: Exempt Market Offerings and Disclosure

All filing types are Private except where noted as Public (P)

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Start-Up Crowdfunding – Report of Exempt Distribution (Form 5)

Filing Subtype: Amended Start-Up Crowdfunding – Report of Exempt Distribution (Form 5)

Document Types:

Cover letter

Letter concerning recipient agency

Report of exempt distribution excluding Schedule 1 of Form 5 (amended) (P)

Schedule 1 of report of exempt distribution Form 5 (amended) (PNP)

Other

Filing Type: Start-Up Business – Offering Document

(P)

Filing Subtype: Start-Up Business – Offering Document

Document Types:

Cover letter

Letter concerning recipient agency

Offering document – English

Offering document – French

Other (PV)

Filing Subtype: Amended Start-Up Business – Offering Document

Document Types:

Cover letter

Letter concerning recipient agency

Offering document (amended) – English

Offering document (amended) – French

Other (PV)

Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
All filing types are Auto Public 
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Third Party Filers

Category of Filing: Third Party Filings

Folder for Filing Type: General

Filing Type: Take-Over Bid Filings

Filing Subtype: Take-over Bid Circular

Document Types:

Cover letter
Advertisement – English
Advertisement – French
Take-over bid circular – English
Take-over bid circular – French
Letter of transmittal – English
Letter of transmittal – French
Notice of guaranteed delivery – English
Notice of guaranteed delivery – French
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Formal valuation
Prior valuation
Agreements (MI 62-104)
Letter outlining exemptions relied upon (ON, QC)
Other


Filing Subtype: Directors' Circular

Document Types:

Cover letter
Directors' circular – English
Directors' circular – French
Notice of change – Directors' circular – English
Notice of change – Directors' circular – French
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Valuation material
Directors' recommendation – English
Directors' recommendation – French
Other

Category of Filer: Third Party Filers

Category of Filing: Third Party Filings

All filing types are Auto Public 

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Take-Over Bid Filings

Filing Subtype: Director's or Officer's Circular (Individual)

Document Types:

Cover letter

Director's or officer's circular – English

Director's or officer's circular – French

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Qualification certificate(s)

Consent letter(s) of other expert(s)

Other

Filing Subtype: Notice of Change or Variation

Document Types:

Cover letter

Notice of change or variation – English

Notice of change or variation – French

Technical report (NI 43-101) – English

Technical report (NI 43-101) – French

Certificate of qualified person (NI 43-101) – English

Certificate of qualified person (NI 43-101) – French

Consent of qualified person (NI 43-101) – English

Consent of qualified person (NI 43-101) – French

Amended & restated technical report (NI 43-101) – English

Amended & restated technical report (NI 43-101) – French

Qualification certificate(s)

Consent letter(s) of other expert(s)

Formal valuation

Other

Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
All filing types are Auto Public 
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Exempt Take-Over Bid Filings

Document Types:

Cover letter
Exempt take-over bid material
Technical report (NI 43-101) – English
Technical report (NI 43-101) – French
Certificate of qualified person (NI 43-101) – English
Certificate of qualified person (NI 43-101) – French
Consent of qualified person (NI 43-101) – English
Consent of qualified person (NI 43-101) – French
Amended & restated technical report (NI 43-101) – English
Amended & restated technical report (NI 43-101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Report of exempt take-over bid (QC-s.189.1.2 Reg.)
Other

Filing Type: Securities Acquisition Filings (Early Warning)

Filing Subtype: Press Release

Document Types:

Cover letter
Press release – English
Press release – French
Other

Filing Subtype: Early Warning Report

Document Types:

Cover letter
Early warning report
Other

Filing Subtype: Disqualification Report

Document Types:

Cover letter
Disqualification report
Other

Filing Type: Securities Acquisition Filings (Alternative Monthly Report)

Document Types:

Cover letter
Alternative monthly report
Other

Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
All filing types are Auto Public 
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Proxy Solicitation Materials

Document Types:

Cover letter
Information circular – English
Information circular – French
Form of proxy – English
Form of proxy – French
Proxy solicitation – information – English
Proxy solicitation – information – French
Proxy solicitation – proposed transaction – English
Proxy solicitation – proposed transaction – French
Proxy solicitation – nominee for election – English
Proxy solicitation – nominee for election – French
Other

Filing Type: Take-Over Bid Filings (Stock Exchange or By Circular)

Document Types:

Cover letter

Filing Subtype: Offering Documents

Document Types:

Director's or officer's circular – English
Director's or officer's circular – French
Directors' circular – English
Directors' circular – French
Directors' recommendation – English
Directors' recommendation – French
Letter of transmittal – English
Letter of transmittal – French
Notice of guaranteed delivery – English
Notice of guaranteed delivery – French
Stock exchange take-over bid notice – English
Stock exchange take-over bid notice – French
Take-over bid circular – English
Take-over bid circular – French
Valuation or appraisal opinion/report
Other – Offering documents


Filing Subtype: Information Documents and Notices

Document Types:

Notice indicating result of take-over bid – English
Notice indicating result of take-over bid – French
Notice of change or variation – English
Notice of change or variation – French
Notice of compulsory acquisition – English
Notice of compulsory acquisition – French
Other – Information documents and notices

Category of Filer: Third Party Filers

Category of Filing: Third Party Filings

All filing types are Auto Public 

Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Take-Over Bid Filings (Stock Exchange or By Circular)

Filing Subtype: Material Contracts and Reports

Document Types:

Coattail agreement
Escrow agreement
Lock-up agreement
Management agreement
Pooling agreement
Purchase agreement
Shareholder agreement
Shareholder rights plan
Voting Trust agreement
Other – Material contracts and reports

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Notice of proof of financing (TSX-V)
Share distribution form
Other – Market Centre documents and forms.

Filing Subtype: Commission Documents and Forms

Document Types:

Valuation (MI 61-101)
Other – Commission documents and forms

Filing Subtype: General

Document Types:

Certificate of good standing
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
List of shareholders from registrar (Trust company)
Other – General

Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
All filing types are Auto Public 
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Sale from Control Block

Document Types:

Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:

Coattail agreement
Escrow agreement
Pooling agreement
Purchase agreement
Trust agreement
Voting trust agreement
Other – Material contracts and reports

Filing Subtype: Resolutions

Document Types:

Board resolutions
Directors' resolutions
Special resolution
Other – Resolutions

Filing Subtype: Market Centre Documents and Forms

Document Types:

Personal information forms
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:

Notice of intention to distribute securities (QC-Q-12; ON-Form 23)
Other – Commission documents and forms

Filing Subtype: General

Document Types:

Letter from member firm (regarding distribution)
Other – General

Category of Filer: Third Party Filers

Category of Filing: Third Party Filings

Folder for Filing Type: British Columbia

All filing types are Auto-Public.

Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Third Party Filers

Category of Filing: Third Party Filings

Folder for Filing Type: British Columbia

Filing Type: Acquisition of Shares (TSX-V)

Document Types:

Cover letter

Filing Subtype: Resolutions and Articles

Document Types:

Board resolution

Directors' resolutions

Special resolution

Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:

Declaration

Other – Market Centre documents and forms

Filing Subtype: General

Document Types:

Other – General