System for Electronic Document Analysis and Retrieval (SEDAR®)

SEDAR FILING TYPES, FILING SUBTYPES AND DOCUMENT TYPES

January 2nd, 2018
Release 008.060.000
# Table of Contents

Category of Filer: Investment Fund Issuers ........................................................................................................ 8

Category of Filing: Securities Offerings .................................................................................................................. 8
  Folder for Filing Type: General .............................................................................................................................. 8
  Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101) ........................................ 8
  Filing Type: Long Form Prospectus ....................................................................................................................... 13
  Filing Type: Other Filings ..................................................................................................................................... 15

Category of Filer: Investment Fund Issuers ........................................................................................................... 16

Category of Filing: Continuous Disclosure ............................................................................................................ 16
  Folder for Filing Type: General .............................................................................................................................. 16
  Filing Type: Material Change Report ................................................................................................................... 16
  Filing Type: News Releases .................................................................................................................................. 16
  Filing Type: Interim Financial Statements/Report ................................................................................................. 16
  Filing Type: Interim Financial Statements/Report – Non–Reporting Issuers ............................................................ 17
  Filing Type: Interim Financial Statements/Report – XBRL ................................................................................... 17
  Filing Type: Interim Management Report of Fund Performance ........................................................................... 17
  Filing Type: Annual Financial Statements ........................................................................................................... 18
  Filing Type: Annual Financial Statements – Non–Reporting Issuers ................................................................. 18
  Filing Type: Annual Financial Statements – XBRL ............................................................................................. 18
  Filing Type: Annual Management Report of Fund Performance .......................................................................... 19
  Filing Type: Annual Report .................................................................................................................................. 19
  Filing Type: Annual Information Form (NI 81–106) ............................................................................................ 19
  Filing Type: Notice of the Meeting and Record Date ............................................................................................ 20
  Filing Type: Management Proxy Materials ........................................................................................................... 20
  Filing Type: Compliance Reports (NI 41–101) ....................................................................................................... 21
  Filing Type: Compliance Reports (NI 81–102) ....................................................................................................... 21
  Filing Type: Change of Auditor Filings .................................................................................................................. 21
  Filing Type: Change In Year End Filings ................................................................................................................ 21
  Filing Type: Change in Legal Structure Filings ...................................................................................................... 21
  Filing Type: Material Documents .......................................................................................................................... 22
  Filing Type: Report of Management Company ..................................................................................................... 22
  Filing Type: Proxy Voting Record of an Investment Fund .................................................................................... 22
  Filing Type: Reports under NI 81–107 ................................................................................................................ 23
  Filing Type: Other Filings ..................................................................................................................................... 23

Category of Filer: Investment Fund Issuers ........................................................................................................... 24

Category of Filing: Exemptions and Other Applications ........................................................................................ 24
  Folder for Filing Type: General .............................................................................................................................. 24
  Filing Type: Applications (NI 81–102) .................................................................................................................. 24
  Filing Type: Exemptions and Other Applications – In Connection With A Prospectus Filing ............................... 24

Category of Filer: Investment Fund Issuers ........................................................................................................... 25

Category of Filing: Exempt Market Offerings and Disclosure .............................................................................. 25
  Folder for Filing Type: General .............................................................................................................................. 25
  Filing Type: Report of Exempt Distribution (NI 45–106) ...................................................................................... 25
  Filing Type: Offering Memorandum (2.9 of NI 45–106) ...................................................................................... 25
  Filing Type: Marketing Materials (2.9 of NI 45–106) ............................................................................................ 26
<table>
<thead>
<tr>
<th>Category of Filing: Other Issuers</th>
<th>91</th>
</tr>
</thead>
<tbody>
<tr>
<td>Category of Filing: Securities Acquisitions</td>
<td>91</td>
</tr>
<tr>
<td>Folder for Filing Type: General</td>
<td>91</td>
</tr>
<tr>
<td>Filing Type: Formal Issuer Bid Filings</td>
<td>91</td>
</tr>
<tr>
<td>Filing Type: Exempt Issuer Bid Filings</td>
<td>92</td>
</tr>
<tr>
<td>Filing Type: Other Filings</td>
<td>92</td>
</tr>
<tr>
<td>Filing Type: Normal Course Issuer Bid</td>
<td>92</td>
</tr>
<tr>
<td>Filing Type: Small Shareholder Selling and Purchase Arrangement</td>
<td>93</td>
</tr>
<tr>
<td>Filing Type: Redemption or Retraction of Securities</td>
<td>93</td>
</tr>
<tr>
<td>Filing Type: Substantial Issuer Bid Filings (Stock Exchange or By Circular)</td>
<td>94</td>
</tr>
<tr>
<td>Category of Filing: Other Issuers</td>
<td>96</td>
</tr>
<tr>
<td>Category of Filing: Other Reviewable Transactions (Market Centres)</td>
<td>96</td>
</tr>
<tr>
<td>Folder for Filing Type: General</td>
<td>96</td>
</tr>
<tr>
<td>Filing Type: Material Change Policy Filings (TSX–V)</td>
<td>96</td>
</tr>
<tr>
<td>Filing Type: Stock Option / Share Purchase Arrangement</td>
<td>98</td>
</tr>
<tr>
<td>Filing Type: Listed Capital Reporting Filings (Monthly Issued Shares and Option Grants)</td>
<td>99</td>
</tr>
<tr>
<td>Filing Type: Dividend Reinvestment Plan/Stock Dividend</td>
<td>99</td>
</tr>
<tr>
<td>Filing Type: Stock Split</td>
<td>100</td>
</tr>
<tr>
<td>Filing Type: Consolidation</td>
<td>101</td>
</tr>
<tr>
<td>Filing Type: Change in Name</td>
<td>102</td>
</tr>
<tr>
<td>Filing Type: Reclassification or Redesignation of Shares</td>
<td>103</td>
</tr>
<tr>
<td>Filing Type: Restricted Shares and Coattails Policy Filings</td>
<td>104</td>
</tr>
<tr>
<td>Filing Type: Shareholder Rights Plan Filings</td>
<td>105</td>
</tr>
<tr>
<td>Filing Type: Change in Articles / Memorandum</td>
<td>106</td>
</tr>
<tr>
<td>Filing Type: Voluntary Delisting or Dequotation</td>
<td>107</td>
</tr>
<tr>
<td>Filing Type: Escrowed Shares – Release or Transfer</td>
<td>108</td>
</tr>
<tr>
<td>Filing Type: Transfer of Shares to Non–Residents</td>
<td>109</td>
</tr>
<tr>
<td>Filing Type: Change in Directors/Officers Filings</td>
<td>109</td>
</tr>
<tr>
<td>Filing Type: Sustaining Fee Payment</td>
<td>109</td>
</tr>
<tr>
<td>Filing Type: Change in Market Centre Status</td>
<td>110</td>
</tr>
<tr>
<td>Filing Type: Acquisition/Disposition of Assets – Non–Share Transactions (TSX–V)</td>
<td>110</td>
</tr>
<tr>
<td>Category of Filing: Other Issuers</td>
<td>112</td>
</tr>
<tr>
<td>Category of Filing: Other Reviewable Transactions (Market Centres)</td>
<td>112</td>
</tr>
<tr>
<td>Folder for Filing Type: British Columbia</td>
<td>112</td>
</tr>
<tr>
<td>Filing Type: Loans/Bonus (TSX–V)</td>
<td>112</td>
</tr>
<tr>
<td>Filing Type: Management Remuneration / Investor Relations Agreements (TSX–V)</td>
<td>112</td>
</tr>
<tr>
<td>Category of Filing: Exempt Market Offerings and Disclosure</td>
<td>113</td>
</tr>
<tr>
<td>Folder for Filing Type: General</td>
<td>113</td>
</tr>
<tr>
<td>Filing Type: Report of Exempt Distribution (NI 45–106)</td>
<td>113</td>
</tr>
<tr>
<td>Filing Type: Offering Memorandum (2.9 of NI 45–106)</td>
<td>113</td>
</tr>
<tr>
<td>Filing Type: Marketing Materials (2.9 of NI 45–106)</td>
<td>114</td>
</tr>
<tr>
<td>Filing Type: Real Estate Offering Document</td>
<td>114</td>
</tr>
<tr>
<td>Filing Type: Annual Financial Statements – Non–Reporting Issuers</td>
<td>115</td>
</tr>
<tr>
<td>Filing Type: Notice of Use of Proceeds</td>
<td>115</td>
</tr>
<tr>
<td>Filing Type: Change in Year End Filings – Non–Reporting Issuers</td>
<td>115</td>
</tr>
</tbody>
</table>
Filing Type: Crowdfunding – Offering Document (MI 45–108)......................................................................................... 116
Filing Type: Start–Up Crowdfunding – Offering Document............................................................................................. 116
Filing Type: Start–Up Crowdfunding – Report of Exempt Distribution (Form S)................................................................. 116
Filing Type: Start–Up Business – Offering Document......................................................................................................... 117

Category of Filer: Third Party Filers ................................................................................................................................. 118
Category of Filing: Third Party Filings ......................................................................................................................... 118
Folder for Filing Type: General........................................................................................................................................ 118
Filing Type: Take–Over Bid Filings .................................................................................................................................. 118
Filing Type: Exempt Take–Over Bid Filings..................................................................................................................... 120
Filing Type: Securities Acquisition Filings (Early Warning)............................................................................................ 120
Filing Type: Securities Acquisition Filings (Alternative Monthly Report)....................................................................... 120
Filing Type: Proxy Solicitation Materials..................................................................................................................... 121
Filing Type: Take–Over Bid Filings (Stock Exchange or By Circular)............................................................................ 121
Filing Type: Sale from Control Block ............................................................................................................................. 130

Category of Filer: Third Party Filers ................................................................................................................................. 131
Document Access Levels:

- **P** = Auto-public
  public immediately, displays on SEDAR.com within fifteen minutes

- **PV** = Private
  initially private, if/when the Principal Regulator marks it public, it will display on SEDAR.com within fifteen minutes

- **PNP** = Private–Non Public
  will remain private, never displays to SEDAR.com

List of document types with Private –Non Public access:

- Letter concerning recipient agency
- Auditors' comfort letter regarding audited financial statements
- Auditors' negative assurance letter
- All “Blacklined” documents
- Personal information form and authorization
- Draft statement of net assets
- Draft order(s)
- Draft decision document(s)
- Draft escrow agreement
- Green sheet (QC)
- Confidential marketing materials
- Schedule 1 of report of exempt distribution (45–106F1)
- Schedule 1 of report of exempt distribution (45–106F1) (amended)
- Schedule 1 of report of exempt distribution Form 5
- Schedule 1 of report of exempt distribution Form 5 (amended)
- Schedule 2 of report of exempt distribution (45–106F1)
- Schedule 2 of report of exempt distribution (45–106F1) (amended)
Category of Filer: Investment Fund Issuers
Category of Filing: Securities Offerings

All filing types / document types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Investment Fund Issuers

Folder for Filing Type: General

Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101)

Filing Subtype: Preliminary

Document Types:
- Cover letter
- Preliminary simplified prospectus – English
- Preliminary simplified prospectus – French
- Blacklined preliminary simplified prospectus – English
- Blacklined preliminary simplified prospectus – French
- Preliminary annual information form – English
- Preliminary annual information form – French
- Blacklined preliminary annual information form – English
- Blacklined preliminary annual information form – French
- Preliminary fund facts – English
- Preliminary fund facts – French
- Preliminary summary disclosure document – English
- Preliminary summary disclosure document – French
- Draft statement of financial position – English
- Draft statement of financial position – French
- Audited statement of financial position – English
- Audited statement of financial position – French
- Audited annual financial statements – English
- Audited annual financial statements – French
- Interim financial statements/report – English
- Interim financial statements/report – French
- Auditors’ comfort letter regarding audited financial statements
- Application for exemption from NI 81–101
- Non–issuer’s submission to jurisdiction and appointment of agent
- Documents affecting the rights of securityholders (or amendment thereto)
- Declaration of trust (or amendment thereto)
- Management agreement (or amendment thereto)
- Portfolio advisory agreement (or amendment thereto)
- Custodian agreement (or amendment thereto)
- Sub–custodian agreement (or amendment thereto)
- Other material contracts (or amendment thereto)
- Confirmation re preliminary materials
- Personal information form and authorization
- Letter of withdrawal
- Other
Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101)

Filing Subtype: Pro Forma

Document Types:
Cover letter
Pro forma simplified prospectus – English
Pro forma simplified prospectus – French
Blacklined pro forma simplified prospectus – English
Blacklined pro forma simplified prospectus – French
Pro forma annual information form – English
Pro forma annual information form – French
Blacklined pro forma annual information form – English
Blacklined pro forma annual information form – French
Pro forma fund facts – English
Pro forma fund facts – French
Blacklined pro forma fund facts – English
Blacklined pro forma fund facts – French
Pro forma summary disclosure document – English
Pro forma summary disclosure document – French
Application for exemption from NI 81–101
NI 81–102 section 19.2(3) letter
Non–issuer’s submission to jurisdiction and appointment of agent
Documents affecting the rights of securityholders (or amendment thereto)
Declaration of trust (or amendment thereto)
Management agreement (or amendment thereto)
Portfolio advisory agreement (or amendment thereto)
Custodian agreement (or amendment thereto)
Sub–custodian agreement (or amendment thereto)
Other material contracts (or amendment thereto)
Personal information form and authorization
Other
Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81-101)

Filing Subtype: Combined Preliminary and Pro Forma

Document Types:
Cover letter
Preliminary and pro forma simplified prospectus – English
Preliminary and pro forma simplified prospectus – French
Blacklined preliminary and pro forma simplified prospectus – English
Blacklined preliminary and pro forma simplified prospectus – French
Preliminary and pro forma annual information form – English
Preliminary and pro forma annual information form – French
Blacklined preliminary and pro forma annual information form – English
Blacklined preliminary and pro forma annual information form – French
Preliminary fund facts – English
Preliminary fund facts – French
Pro forma fund facts – English
Pro forma fund facts – French
Blacklined preliminary and pro forma fund facts – English
Blacklined preliminary and pro forma fund facts – French
Preliminary summary disclosure document – English
Preliminary summary disclosure document – French
Pro forma summary disclosure document – English
Pro forma summary disclosure document – French
NI 81-102 section 19.2 (3) letter
Draft statement of financial position – English
Draft statement of financial position – French
Audited statement of financial position – English
Audited statement of financial position – French
Audited annual financial statements – English
Audited annual financial statements – French
Interim financial statements/report – English
Interim financial statements/report – French
Auditors’ comfort letter regarding audited financial statements
Application for exemption from NI 81-101
Non-issue’s submission to jurisdiction and appointment of agent
Documents affecting the rights of securityholders (or amendment thereto)
Declaration of trust (or amendment thereto)
Management agreement (or amendment thereto)
Portfolio advisory agreement (or amendment thereto)
Custodian agreement (or amendment thereto)
Sub-custodian agreement (or amendment thereto)
Other material contracts (or amendment thereto)
Personal information form and authorization
Confirmation re preliminary materials
Letter of withdrawal
Other
Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101)

Filing Subtype: Amendment to Preliminary or Combined Preliminary and Pro Forma

Document Types:
Cover letter
Amendment to (or amended) preliminary simplified prospectus – English
Amendment to (or amended) preliminary simplified prospectus – French
Blacklined amended preliminary simplified prospectus – English
Blacklined amended preliminary simplified prospectus – French
Amendment to (or amended) preliminary annual information form – English
Amendment to (or amended) preliminary annual information form – French
Blacklined amended preliminary annual information form – English
Blacklined amended preliminary annual information form – French
Preliminary fund facts – English
Preliminary fund facts – French
Preliminary summary disclosure document – English
Preliminary summary disclosure document – French
Auditors’ comfort letter regarding audited financial statements
Application for exemption from NI 81–101
Personal information form and authorization
Confirmation re preliminary prospectus amendment materials
Letter of withdrawal
Other

Filing Subtype: Final

Document Types:
Cover letter
Final simplified prospectus – English
Final simplified prospectus – French
Blacklined final simplified prospectus – English
Blacklined final simplified prospectus – French
Final annual information form – English
Final annual information form – French
Blacklined final annual information form – English
Blacklined final annual formation form – French
Final fund facts – English
Final fund facts – French
Blacklined final fund facts – English
Blacklined final fund facts – French
Final summary disclosure document – English
Final summary disclosure document – French
Audited statement of financial position – English
Audited statement of financial position – French
Auditors’ comfort letter regarding audited financial statements
Auditors’ consent letter
Consent letter(s) of legal counsel
Consent letter(s) of other expert(s)
Application for exemption from NI 81–101
Declaration of trust (or amendment thereto)
Management agreement (or amendment thereto)
Portfolio advisory agreement (or amendment thereto)
Custodian agreement (or amendment thereto)
Sub–custodian agreement (or amendment thereto)
Other material contracts (or amendment thereto)
Report/valuation for which consent is required
Non–issuer’s submission to jurisdiction and appointment of agent
Filing Type: Simplified Prospectus, Annual Information Form and Fund Facts (NI 81–101)

Filing Subtype: Amendment to Final

Document Types:

- Cover letter
- Amendment to (or amended) final simplified prospectus – English
- Amendment to (or amended) final simplified prospectus – French
- Blacklined amended final simplified prospectus – English
- Blacklined amended final simplified prospectus – French
- Amendment to (or amended) final annual information form – English
- Amendment to (or amended) final annual information form – French
- Blacklined amended final annual information form – English
- Blacklined amended final annual information form – French
- Amended and restated final fund facts – English
- Amended and restated final fund facts – French
- Blacklined amended final fund facts – English
- Blacklined amended final fund facts – French
- Preliminary fund facts – English
- Preliminary fund facts – French
- Final fund facts – English
- Final fund facts – French
- Blacklined final fund facts – English
- Blacklined final fund facts – French
- Amended and restated final summary disclosure document – English
- Amended and restated final summary disclosure document – French
- Preliminary summary disclosure document – English
- Preliminary summary disclosure document – French
- Final summary disclosure document – English
- Final summary disclosure document – French
- Auditors’ comfort letter regarding audited financial statements
- Auditors’ consent letter
- Consent letter(s) of legal counsel
- Consent letter(s) of other expert(s)
- Application for exemption from NI 81–101
- Declaration of trust (or amendment thereto)
- Management agreement (or amendment thereto)
- Portfolio advisory agreement (or amendment thereto)
- Custodian agreement (or amendment thereto)
- Sub-custodian agreement (or amendment thereto)
- Other material contracts (or amendment thereto)
- Personal information form and authorization
- Confirmation re prospectus amendment materials
- Other
Filing Type: Long Form Prospectus
Filing Subtype: Preliminary
Document Types:
Cover letter
Preliminary long form prospectus – English
Preliminary long form prospectus – French
Preliminary summary disclosure document – English
Preliminary summary disclosure document – French
Auditors’ comfort letter regarding audited financial statements
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub–custodianship agreement
Other material contracts
Appraisal reports and certificates of qualification
Confirmation re preliminary materials
Personal information form and authorization
Letter of withdrawal
Other

Filing Subtype: Pro Forma
Document Types:
Cover letter
Pro forma long form prospectus – English
Pro forma long form prospectus – French
Blacklined pro forma long form prospectus – English
Blacklined pro forma long form prospectus – French
Pro forma summary disclosure document – English
Pro forma summary disclosure document – French
Seasoned prospectus certificate
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub–custodianship agreement
Other material contracts
Appraisal reports and certificates of qualification
Certificate/notice(s) re proceeds of distribution
Personal information form and authorization
Other

Filing Type: Long Form Prospectus
Filing Subtype: Combined Preliminary and Pro Forma
Document Types:
Cover letter
Preliminary and pro forma long form prospectus – English
Preliminary and pro forma long form prospectus – French
Blacklined preliminary and pro forma long form prospectus – English
Blacklined preliminary and pro forma long form prospectus – French
Preliminary summary disclosure document – English
Preliminary summary disclosure document – French
Pro forma summary disclosure document – English
Pro forma summary disclosure document – French
Statement of investment restrictions and practices – English
Category of Filer: Investment Fund Issuers
Category of Filing: Securities Offerings
All filing types / document types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Statement of investment restrictions and practices – French
Seasoned prospectus certificate
Auditors’ comfort letter regarding audited financial statements
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub-custodianship agreement
Other material contracts
Appraisal reports and certificates of qualification
Confirmation re preliminary materials
Certificate/notice(s) re proceeds of distribution
Personal information form and authorization
Letter of withdrawal
Other

Filing Subtype: Amendment to Preliminary or Combined Preliminary and Pro Forma
Document Types:
Cover letter
Amendment to (or amended) preliminary long form prospectus – English
Amendment to (or amended) preliminary long form prospectus – French
Blacklined amended preliminary long form prospectus – English
Blacklined amended preliminary long form prospectus – French
Preliminary summary disclosure document – English
Preliminary summary disclosure document – French
Auditors’ comfort letter regarding audited financial statements
Auditors’ consent letter
Consent letter(s) of legal counsel
Consent letter(s) of other expert(s)
Confirmation re preliminary prospectus amendment materials
Letter of withdrawal
Other

Filing Subtype: Final
Document Types:
Cover letter
Final long form prospectus – English
Final long form prospectus – French
Blacklined final long form prospectus – English
Blacklined final long form prospectus – French
Final summary disclosure document – English
Final summary disclosure document – French
Issuer’s submission to jurisdiction and appointment of agent
Non-issuer’s submission to jurisdiction and appointment of agent
Auditors’ comfort letter regarding audited financial statements
Auditors’ consent letter
Consent letter(s) of legal counsel
Consent letter(s) of other expert(s)
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub-custodianship agreement
Underwriting or agency agreement
Other material contracts
Certificate/notice(s) re proceeds of distribution
Category of Filer: Investment Fund Issuers
Category of Filing: Securities Offerings
All filing types / document types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Confirmation re final materials
Personal information form and authorization
Other undertakings
Other

Filing Type: Long Form Prospectus

Filing Subtype: Amendment to Final
Document Types:
Cover letter
Amendment to (or amended) final long form prospectus – English
Amendment to (or amended) final long form prospectus – French
Blacklined amended final long form prospectus – English
Blacklined amended final long form prospectus – French
Amended and restated final summary disclosure document – English
Amended and restated final summary disclosure document – French
Auditors’ comfort letter regarding audited financial statements
Auditors’ consent letter
Consent letter(s) of legal counsel
Consent letter(s) of other expert(s)
Declaration of trust
Management agreement
Portfolio advisory agreement
Custodianship agreement
Sub-custodianship agreement
Other material contracts
Confirmation re prospectus amendment materials
Personal information form and authorization
Other

Filing Type: Other Filings

Document Types:
Other
Category of Filer: Investment Fund Issuers

Category of Filing: Continuous Disclosure

Folder for Filing Type: General

Filing Type: Material Change Report

Document Types:
Cover letter
Letter concerning recipient agency
Material change report – English
Material change report – French
Material change report (amended) – English
Material change report (amended) – French
Material document(s)
Material document(s) (amended)
Other

Filing Type: News Releases

Document Types:
Cover letter
Letter concerning recipient agency
News release – English
News release – French
Other

Filing Type: Interim Financial Statements/Report

Filing Subtype: Interim Financial Statements/Report

Document Types:
Cover letter
Letter concerning recipient agency
Interim financial statements/report – English
Interim financial statements/report – French
Certified statement of portfolio transactions – English
Certified statement of portfolio transactions – French
Certificate regarding statement of portfolio transactions
Other

Filing Subtype: Amended Interim Financial Statements/Report

Document Types:
Cover letter
Letter concerning recipient agency
Interim financial statements/report (amended) – English
Interim financial statements/report (amended) – French
Other
**Category of Filer: Investment Fund Issuers**

**Category of Filing: Continuous Disclosure**

All filing types are Auto-Public except where noted as Private

Refer to list of documents with Private-nonpublic access on page 5

---

**Filing Type: Interim Financial Statements/Report – Non–Reporting Issuers**

**Filing Subtype: Interim Financial Statements/Report – Non–Reporting Issuers**

**Document Types:**

- Cover letter
- Letter concerning recipient agency
- Interim financial statements/report – English
- Interim financial statements/report – French
- Other

**Filing Subtype: Amended Interim Financial Statements/Report – Non–Reporting Issuers**

**Document Types:**

- Cover letter
- Letter concerning recipient agency
- Interim financial statements/report (amended) – English
- Interim financial statements/report (amended) – French
- Other

**Filing Type: Interim Financial Statements/Report – XBRL**

**Document Types:**

- Cover letter
- Letter concerning recipient agency
- Interim Financial Statements/report – XBRL – Instance document
- Interim Financial Statements/report – XBRL – Calculation linkbase
- Interim Financial Statements/report – XBRL – Presentation linkbase
- Interim Financial Statements/report – XBRL – Label linkbase
- Interim Financial Statements/report – XBRL – Taxonomy extension
- Interim Financial Statements/report – XBRL – Reference linkbase
- Interim Financial Statements/report – XBRL – Definition linkbase
- Other

**Filing Type: Interim Management Report of Fund Performance**

**Filing Subtype: Interim Management Report of Fund Performance**

**Document Types:**

- Cover letter
- Letter concerning recipient agency
- Management report of fund performance – English
- Management report of fund performance – French
- Other

**Filing Subtype: Amended Interim Management Report of Fund Performance**

**Document Types:**

- Cover letter
- Letter concerning recipient agency
- Management report of fund performance (amended) – English
- Management report of fund performance (amended) – French
- Other
Category of Filer: Investment Fund Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Financial Statements

Filing Subtype: Annual Financial Statements

Document Types:
Cover letter
Letter concerning recipient agency
Audited annual financial statements – English
Audited annual financial statements – French
Certified annual statement of portfolio transactions – English
Certified annual statement of portfolio transactions – French
Certificate regarding statement of portfolio transactions
Valuation report(s) for labour sponsored funds
AB Form 13–501F5 (Investment Fund – Participation Fee)
Other

Filing Subtype: Amended Annual Financial Statements

Document Types:
Cover letter
Letter concerning recipient agency
Audited annual financial statements (amended) – English
Audited annual financial statements (amended) – French
Valuation report(s) for labour sponsored funds (amended)
Other

Filing Type: Annual Financial Statements – Non–Reporting Issuers

Filing Subtype: Annual Financial Statement – Non–Reporting Issuers

Document Types:
Cover letter
Letter concerning recipient agency
Annual financial statements – English
Annual financial statements – French
Other

Filing Subtype: Amended Annual Financial Statements – Non–Reporting Issuers

Document Types:
Cover letter
Letter concerning recipient agency
Annual financial statements (amended) – English
Annual financial statements (amended) – French
Other

Filing Type: Annual Financial Statements – XBRL

Document Types:
Cover letter
Letter concerning recipient agency
Annual Financial Statements – XBRL – Instance document
Annual Financial Statements – XBRL – Calculation linkbase
Annual Financial Statements – XBRL – Presentation linkbase
Annual Financial Statements – XBRL – Label linkbase
Annual Financial Statements – XBRL – Taxonomy extension
Annual Financial Statements – XBRL – Reference linkbase
Annual Financial Statements – XBRL – Definition linkbase
Other
Category of Filer: Investment Fund Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Management Report of Fund Performance

Filing Subtype: Annual Management Report of Fund Performance
Document Types:
- Cover letter
- Letter concerning recipient agency
- Management report of fund performance – English
- Management report of fund performance – French
- Other

Filing Subtype: Amended Annual Management Report of Fund Performance
Document Types:
- Cover letter
- Letter concerning recipient agency
- Management report of fund performance (amended) – English
- Management report of fund performance (amended) – French
- Other

Filing Type: Annual Report

Document Types:
- Cover letter
- Letter concerning recipient agency
- Annual report – English
- Annual report – French
- Annual report (amended) – English
- Annual report (amended) – French
- Other

Filing Type: Annual Information Form (NI 81–106)

Filing Subtype: Annual Information Form
Document Types:
- Cover letter
- Letter concerning recipient agency
- Annual Information Form – English
- Annual Information Form – French
- Material documents
- Documents incorporated by reference not previously filed
- Other

Filing Subtype: Revised Annual Information Form
Document Types:
- Cover letter
- Letter concerning recipient agency
- Annual Information Form (revised) – English
- Annual Information Form (revised) – French
- Material documents
- Documents incorporated by reference not previously filed
- Other
Category of Filer: Investment Fund Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Notice of the Meeting and Record Date

Document Types:
Cover letter
Letter concerning recipient agency
Notice of the meeting and record date – English
Notice of the meeting and record date – French
Notice of the meeting and record date (amended) – English
Notice of the meeting and record date (amended) – French
Other

Filing Type: Management Proxy Materials
Document Types:
Cover letter
Letter concerning recipient agency
Notice of meeting – English
Notice of meeting – French
Notice of meeting (amended) – English
Notice of meeting (amended) – French
Management information circular – English
Management information circular – French
Management information circular (amended) – English
Management information circular (amended) – French
Form of proxy – English
Form of proxy – French
Certificate re dissemination
Report of voting results
Report of voting results (amended)
Other
Category of Filer: Investment Fund Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

**Filing Type: Compliance Reports (NI 41–101)**

**Document Types:**
Cover letter
Letter concerning recipient agency
Compliance report(s) – section 14.6
Other

**Filing Type: Compliance Reports (NI 81–102)**

**Document Types:**
Cover letter
Compliance report(s) – section 12.1
Auditors’ report(s) – section 12.1
Custodian report(s) – section 6.7
Other

**Filing Type: Change of Auditor Filings**

**Document Types:**
Cover letter
Letter concerning recipient agency
Notice
Letter from former auditor
Letter from successor auditor
Other

**Filing Type: Change In Year End Filings**

**Document Types:**
Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Supplement to the notice
Supplement to the notice (amended)
Other

**Filing Type: Change in Legal Structure Filings**

**Document Types:**
Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Other
Category of Filer: Investment Fund Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Material Documents

Document Types:
Cover letter
Letter concerning recipient agency
Material document – English
Material document – French
Material document (amended) – English
Material document (amended) – French
Other

Filing Type: Report of Management Company

Document Types:
Cover letter
Letter concerning recipient agency
Form (AB, ON–Form 38, BC–Form 81–903F, SK–Form 36, NS–Form 39, NF–Form 37)
Form (amended) (AB,ON–Form38,BC–Form81–903F,SK–Form36,NS–Form39,NF–Form37)
Other

Filing Type: Proxy Voting Record of an Investment Fund

Document Types:
Cover letter
Letter concerning recipient agency
Proxy voting record of an investment fund
Proxy voting record of an investment fund (amended)
Other
Filing Type: Reports under NI 81–107

Document Types:
Cover letter
Letter concerning recipient agency
Report by independent review committee – English
Report by independent review committee – French
Report by independent review committee (amended) – English
Report by independent review committee (amended) – French
Manager – transactions in securities of related issuers – English
Manager – transactions in securities of related issuers – French
Manager – transactions in securities of related issuers (amended) – English
Manager – transactions in securities of related issuers (amended) – French
Manager – transactions under part 4 of NI 81–102 – English
Manager – transactions under part 4 of NI 81–102 – French
Manager – transactions under part 4 of NI 81–102 (amended) – English
Manager – transactions under part 4 of NI 81–102 (amended) – French
Manager – notification under part 5 of NI 81–107 – English
Manager – notification under part 5 of NI 81–107 – French
Manager – notification under part 5 of NI 81–107 (amended) – English
Manager – notification under part 5 of NI 81–107 (amended) – French
Other

Filing Type: Other Filings

Document Types:
Other
Category of Filer: Investment Fund Issuers

Category of Filing: Exempt Market Offerings and Disclosure

All filing types are Private

Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Investment Fund Issuers

Category of Filing: Exemptions and Other Applications

Folder for Filing Type: General

Filing Type: Applications (NI 81–102)

Document Types:
Cover letter
Letter concerning recipient agency
Application letter
Exhibits and other supporting material
Statement of verification
Draft decision document(s) – English
Draft decision document(s) – French
Draft order(s)
Other

Filing Type: Exemptions and Other Applications – In Connection With A Prospectus Filing

Document Types:
Cover letter
Application letter
Exhibits and other supporting material
Statement of verification
Draft order(s)
Other
Category of Filer: Investment Fund Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Investment Fund Issuers

Category of Filing: Exempt Market Offerings and Disclosure

Folder for Filing Type: General

Filing Type: Report of Exempt Distribution (NI 45–106)

Filing Subtype: Report of Exempt Distribution (45–106F1)
Document Types:
Cover letter
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of 45–106F1
Schedule 1 of report of exempt distribution (45–106F1)
Other

Filing Subtype: Amended Report of Exempt Distribution (45–106F1)
Document Types:
Cover letter
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of 45–106F1 (amended)
Schedule 1 of report of exempt distribution (45–106F1) (amended)
Other

Filing Type: Offering Memorandum (2.9 of NI 45–106)

Filing Subtype: Offering Memorandum (2.9 of NI 45–106)
Document Types:
Cover letter
Letter concerning recipient agency
Offering memorandum (2.9 of NI 45–106) – English
Offering memorandum (2.9 of NI 45–106) – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Consent letter(s)
Other

Filing Subtype: Amended Offering Memorandum (2.9 of NI 45–106)
Document Types:
Cover letter
Letter concerning recipient agency
Offering memorandum (2.9 of NI 45–106) (amended) – English
Offering memorandum (2.9 of NI 45–106) (amended) – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Category of Filer: Investment Fund Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

**Filing Type: Offering Memorandum (2.9 of NI 45–106)**

Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Consent letter(s)
Other

**Filing Type: Marketing Materials (2.9 of NI 45–106)**

**Filing Subtype: Filing Subtype: Marketing Materials (2.9 of NI 45–106)**

**Document Types:**
Cover letter
Letter concerning recipient agency
Marketing materials related to offering memorandum – English
Marketing materials related to offering memorandum – French
Other

**Filing Subtype: Filing Subtype: Amended Marketing Materials (2.9 of NI 45–106)**

**Document Types:**
Cover letter
Letter concerning recipient agency
Marketing materials related to offering memorandum (amended) – English
Marketing materials related to offering memorandum (amended) – French
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Securities Offerings

Folder for Filing Type: General

Filing Type: Short Form Prospectus (NI 44–101)

Filing Subtype: Marketing Materials for Bought Deal

Document Types:
Cover letter
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Other

Filing Subtype: Preliminary

Document Types:
Cover letter
Confirmation re preliminary materials
Underwriters undertaking
Preliminary short form prospectus – English
Preliminary short form prospectus – French
Qualification certificate
Documents incorporated by reference not previously filed – English
Documents incorporated by reference not previously filed – French
Auditors’ comfort letter regarding audited financial statements
Documents affecting rights of securityholders – Trust indentures re debt
Documents affecting rights of securityholders (or amendment thereto)
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Oil and gas reports
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Consent letter(s) of other expert(s)
Report/valuation (other than tech. reports)
Letter (opting out of the PREP procedures)
Letter (opting into the PREP procedures)
Letter (opting into the shelf procedures)
Personal information form and authorization
Letter of withdrawal
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Short Form Prospectus (NI 44–101)

Filing Subtype: Amendment to Preliminary

Document Types:
Cover letter
Confirmation re preliminary prospectus amendment materials
Underwriters undertaking
Amendment to (or amended) preliminary short form prospectus – English
Amendment to (or amended) preliminary short form prospectus – French
Blacklined amended preliminary short form prospectus – English
Blacklined amended preliminary short form prospectus – French
Auditors’ comfort letter regarding audited financial statements
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Consent letter(s) of other expert(s)
Documents affecting rights of securityholders – Trust indentures re debt
Documents affecting rights of securityholders (or amendment thereto)
Underwriting or agency agreement
Personal information form and authorization
Material contracts – Credit agreements
Other supporting documents
Letter (opting out of the PREP procedures)
Letter (opting into the PREP procedures)
Letter (opting into the shelf procedures)
Letter of withdrawal
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Short Form Prospectus (NI 44–101)

Filing Subtype: Final

Document Types:
Cover letter
Confirmation re final materials
Final short form prospectus – English
Final short form prospectus – French
Blacklined final short form prospectus – English
Blacklined final short form prospectus – French
Documents incorporated by reference not previously filed – English
Documents incorporated by reference not previously filed – French
Issuer’s submission to jurisdiction and appointment of agent
Non–issuer’s submission to jurisdiction and appointment of agent
Documents affecting rights of securityholders – Trust indentures re debt
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Credit supporter’s consent
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Oil and gas reports
Other reports and valuations
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Certificate/notice(s) re proceeds of distribution
Form IV (NF)
Evidence of approvals from other regulatory authorities (ON)
Undertaking in respect of credit supporter disclosure
Undertaking in respect of continuous disclosure
Undertaking to file documents and material contracts
Undertaking in respect of restricted securities
Undertaking re breakdown of sales and payment of fees (BC)
Personal information form and authorization
Documents affecting rights of securityholders
Communication with Exchange
Letter (opting out of the PREP procedures)
Other undertakings
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Short Form Prospectus (NI 44–101)

Filing Subtype: Amendment to Final
Document Types:
Cover letter
Confirmation re prospectus amendment materials
Amendment to (or amended) final short form prospectus – English
Amendment to (or amended) final short form prospectus – French
Blacklined amended final short form prospectus – English
Blacklined amended final short form prospectus – French
Documents affecting rights of securityholders – Trust indentures re debt
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Credit supporter’s consent
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/ valuation (other than tech. reports)
Oil and gas reports
Waiver and undertaking re amendment in Quebec
Other supporting documents
Personal information form and authorization
Letter (opting out of the PREP procedures)
Material contracts – Credit agreements
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Short Form Prospectus (NI 44–101)

Filing Subtype: Supplemented Short Form PREP Prospectus (NI 44–103)

Document Types:
Cover letter
Supplemented short form PREP prospectus – English
Supplemented short form PREP prospectus – French
Documents incorporated by reference not previously filed – English
Documents incorporated by reference not previously filed – French
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Documents affecting rights of securityholders – Trust indentures re debt
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contracts not previously filed
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

**Filing Type: Shelf Prospectus (NI 44–102)**

**Filing Subtype: Preliminary**

**Document Types:**

- Cover letter
- Confirmation re preliminary materials
- Underwriters undertaking
- Preliminary short form prospectus – English
- Preliminary short form prospectus – French
- Qualification certificate
- Documents incorporated by reference not previously filed – English
- Documents incorporated by reference not previously filed – French
- Auditors’ comfort letter regarding audited financial statements
- Documents affecting rights of securityholders – Trust indentures re debt
- Documents affecting rights of securityholders (or amendment thereto)
- Underwriting or agency agreement
- Material contracts – Credit agreements
- Other material contract(s)
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Oil and gas reports
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Consent letter(s) of other expert(s)
- Report/valuation (other than tech. reports)
- Personal information form and authorization
- Letter (opting out of the shelf procedures)
- Letter of withdrawal
- Other
Filing Type: Shelf Prospectus (NI 44–102)

Filing Subtype: Amendment to Preliminary

Document Types:
- Cover letter
- Confirmation re preliminary prospectus amendment materials
- Underwriters undertaking
- Amendment to (or amended) preliminary short form prospectus – English
- Amendment to (or amended) preliminary short form prospectus – French
- Blacklined amended preliminary short form prospectus – English
- Blacklined amended preliminary short form prospectus – French
- Auditors’ comfort letter regarding audited financial statements
- Documents affecting rights of securityholders – Trust indentures re debt
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Report/valuation (other than tech. reports)
- Oil and gas reports
- Underwriting or agency agreement
- Material contracts – Credit agreements
- Other material contract(s)
- Consent letter(s) of other expert(s)
- Other supporting documents
- Personal information form and authorization
- Letter (opting out of the shelf procedures)
- Letter of withdrawal
- Other

Filing Subtype: Final

Document Types:
- Cover letter
- Confirmation re final materials
- Final short form prospectus – English
- Final short form prospectus – French
- Blacklined final short form prospectus – English
- Blacklined final short form prospectus – French
- Documents incorporated by reference not previously filed – English
- Documents incorporated by reference not previously filed – French
- Undertaking in respect of credit supporter disclosure
- Undertaking to file documents and material contracts
- Undertaking in respect of restricted securities
- Undertaking re novel derivatives or asset-backed securities
- Issuer’s submission to jurisdiction and appointment of agent
- Non–issuer’s submission to jurisdiction and appointment of agent
- Documents affecting rights of securityholders – Trust indentures re debt
- Auditors’ consent letter
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shelf Prospectus (NI 44–102)

Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Credit supporter’s consent
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Oil and gas reports
Report/valuation (other than tech. reports)
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Certificate/notice(s) re proceeds of distribution
Form IV (NF)
Evidence of approvals from other regulatory authorities (ON)
Undertaking re breakdown of sales and payment of fees (BC)
Communication with Exchange
Documents affecting rights of securityholders
Personal information form and authorization
Other undertakings
Other
**Category of Filer: Other Issuers**
**Category of Filing: Securities Offerings**
*All filing types are Private except where noted as Public. Refer to list of documents with Private-nonpublic access on page 5*

**Filing Type: Shelf Prospectus (NI 44–102)**

**Filing Subtype: Amendment to Final**

**Document Types:**
- Cover letter
- Confirmation re prospectus amendment materials
- Amendment to (or amended) final short form prospectus – English
- Amendment to (or amended) final short form prospectus – French
- Blacklined amended final short form prospectus – English
- Blacklined amended final short form prospectus – French
- Documents affecting rights of securityholders – Trust indentures re debt
- Auditors’ consent letter
- Consent letter of issuer’s legal counsel
- Consent letter of underwriters’ legal counsel
- Consent letter(s) of other legal counsel
- Consent letter(s) of other expert(s)
- Credit supporter’s consent
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Report/valuation (other than tech. reports)
- Oil and gas reports
- Waiver and undertaking re amendment in Quebec
- Material contracts – Credit agreements
- Other supporting documents
- Personal information form and authorization
- Other
Filing Type: Shelf Prospectus (NI 44–102)

Document Types:

Cover letter
Prospectus (non pricing) supplement – English
Prospectus (non pricing) supplement – French
Amendment to prospectus (non pricing) supplement – English
Amendment to prospectus (non pricing) supplement – French
Non–offering prospectus product supplement – English
Non–offering prospectus product supplement – French
Amendment to non–offering prospectus product supplement – English
Amendment to non–offering prospectus product supplement – French
Pricing supplement (other than specified derivative) – English
Pricing supplement (other than specified derivative) – French
Amendment to pricing supplement (other than specified derivative) – English
Amendment to pricing supplement (other than specified derivative) – French
Pricing supplement (specified derivative) – English
Pricing supplement (specified derivative) – French
Amendment to pricing supplement (specified derivative) – English
Amendment to pricing supplement (specified derivative) – French
Novel (per NI 44–102) pricing supplement – English
Novel (per NI 44–102) pricing supplement – French
Draft shelf prospectus supplement – English
Draft shelf prospectus supplement – French
Documents incorporated by reference not previously filed – English
Documents incorporated by reference not previously filed – French
Documents affecting rights of security holders – Trust indentures re debt
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Credit supporter’s consent
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports)
Oil and gas reports
Certificate/notice(s) re proceeds of distribution
Underwriting or agency agreements (or amendment thereto)
Material contracts – Credit agreements
Other material contract(s)
Undertaking re breakdown of sales and payment of fees (BC)
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Preliminary

Document Types:
Cover letter
Preliminary prospectus – English
Preliminary prospectus – French
Documents incorporated by reference
Eligibility certificate
U.S. registration statement and exhibits
Documents affecting rights of securityholders – Trust indentures re debt
Consent letter(s) of expert(s)
Powers of attorney
Confirmation re preliminary materials
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports)
Oil and gas reports
Consent letter(s) of other experts
“Green sheet” (ON, QC)
Letter of withdrawal
Material contracts – Credit agreements
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public.
Refer to list of documents with Private-nonpublic access on page 5.

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Amendment to Preliminary

Document Types:
Cover letter
Amendment to (or amended) preliminary prospectus – English
Amendment to (or amended) preliminary prospectus – French
Auditors’ comfort letter regarding audited financial statements
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Documents affecting rights of securityholders – Trust indentures re debt
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports)
Oil and gas reports
Powers of attorney
Confirmation re preliminary prospectus amendment materials
Letter of withdrawal
Material contracts – Credit agreements
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Final

Document Types:
Cover letter
Final prospectus – English
Final prospectus – French
Blacklined final prospectus – English
Blacklined final prospectus – French
Auditors’ comfort letter regarding audited financial statements
Documents affecting rights of securityholders – Trust indentures re debt
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports)
Oil and gas reports
Powers of attorney
Issuer’s submission to jurisdiction and appointment of agent
Underwriters’ certificate
Certificate re effective use of U.S. registration statement
Underwriting or agency agreement
Material contracts – Credit agreements
Other material contract(s)
Certificate/notice(s) re proceeds of distribution
Confirmation re final materials
Undertaking re breakdown of sales and payment of fees (BC)
Undertaking to pay fee (NS)
Other undertakings
Other
Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Amendment to Final

Document Types:
Cover letter
Amendment to (or amended) final prospectus – English
Amendment to (or amended) final prospectus – French
Auditors’ comfort letter regarding audited financial statements
Documents affecting rights of securityholders – Trust indentures re debt
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Consent letter(s) of other expert(s)
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports)
Oil and gas reports
Powers of attorney
Confirmation re prospectus amendment materials
Waiver and undertaking re amendment in Quebec
Material contracts – Credit agreements
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Prospectus – MJDS (NI 71–101)

Filing Subtype: Prospectus Supplement
Document Types:
- Cover letter
- Prospectus supplement – English
- Prospectus supplement – French
- Documents affecting rights of securityholders – Trust indentures re debt
- Auditors’ consent letter
- Consent letter of issuer’s legal counsel
- Consent letter of underwriters’ legal counsel
- Consent letter(s) of other legal counsel
- Consent letter(s) of other expert(s)
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Report/valuation (other than tech. reports)
- Oil and gas reports
- Underwriting or agency agreement
- Other material contract(s)
- Powers of attorney
- Material contracts – Credit agreements
- Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Filing Subtype: Preliminary
Document Types:
- Cover letter
- Confirmation re preliminary materials
- Preliminary long form prospectus – English
- Preliminary long form prospectus – French
- Preliminary plan summary scholarship plan – English
- Preliminary plan summary scholarship plan – French
- Preliminary detailed plan disclosure – scholarship plan – English
- Preliminary detailed plan disclosure – scholarship plan – French
- Auditors’ comfort letter regarding audited financial statements
- Draft escrow agreement
- Documents affecting rights of securityholders – Trust indentures re debt
- Documents affecting rights of securityholders (or amendment thereto)
- Underwriting or agency agreement
- Material contracts – Credit agreements
- Other material contract(s)
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Oil and gas reports
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Consent letter(s) of other expert(s)
- Report/valuation (other than tech. reports)
- Personal information form and authorization
- “Green sheet” (QC)
- Letter (opting out of the PREP procedures)
- Letter (opting into the PREP procedures)
- Letter of withdrawal
- Other
**Category of Filer: Other Issuers**  
**Category of Filing: Securities Offerings**  
*All filing types are Private except where noted as Public [P]*  
*Refer to list of documents with Private-nonpublic access on page 5*

**Filing Type: Long Form Prospectus**

**Filing Subtype: Pro Forma**

**Document Types:**
- Cover letter
- Pro forma long form prospectus – English
- Pro forma long form prospectus – French
- Blacklined pro forma long form prospectus – English
- Blacklined pro forma long form prospectus – French
- Pro forma plan summary – scholarship plan – English
- Pro forma plan summary – scholarship plan – French
- Blacklined pro forma plan summary – scholarship plan – English
- Blacklined pro forma plan summary – scholarship plan – French
- Pro forma detailed plan disclosure – scholarship plan – English
- Pro forma detailed plan disclosure – scholarship plan – French
- Blacklined pro forma detailed plan disclosure – scholarship plan – English
- Blacklined pro forma detailed plan disclosure – scholarship plan – French
- Auditors’ comfort letter regarding audited financial statements
- Documents affecting rights of securityholders – Trust indentures re debt
- Documents affecting rights of securityholders (or amendment thereto)
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Consent letter(s) of other expert(s)
- Material contracts – Credit agreements
- Other material contract(s)
- Seasoned prospectus certificate
- Report/valuation (other than tech. reports)
- Certificate/notice(s) re proceeds of distribution
- Personal information form and authorization
- “Green sheet” (QC)
- Other
Filing Type: Long Form Prospectus

Filing Subtype: Amendment to Preliminary

Document Types:
Cover letter
Amendment to (or amended) preliminary long form prospectus – English
Amendment to (or amended) preliminary long form prospectus – French
Blacklined amended preliminary long form prospectus – English
Blacklined amended preliminary long form prospectus – French
Amendment to (or amended) preliminary plan summary – scholarship plan – E
Amendment to (or amended) preliminary plan summary – scholarship plan – F
Blacklined amended preliminary plan summary – scholarship plan – E
Blacklined amended preliminary plan summary – scholarship plan – F
Amend. to (or amended) prelim. detailed plan disclosure – schol. plan – E
Amend. to (or amended) prelim. detailed plan disclosure – schol. plan – F
Blacklined amend. prelim. detailed plan disclosure – schol. plan – E
Blacklined amend. prelim. detailed plan disclosure – schol. plan – F
Auditors’ comfort letter regarding audited financial statements
Documents affecting rights of securityholders – Trust indentures re debt
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports)
Consent letter(s) of other expert(s)
Confirmation re preliminary prospectus amendment materials
Personal information form and authorization
Letter (opting out of the PREP procedures)
Letter (opting into the PREP procedures)
Letter of withdrawal
Material contracts – Credit agreements
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Filing Subtype: Final

Document Types:
Cover letter
Confirmation re final materials
Final long form prospectus – English
Final long form prospectus – French
Blacklined final long form prospectus – English
Blacklined final long form prospectus – French
Documents affecting rights of securityholders – Trust indentures re debt
Documents affecting rights of securityholders (or amendment thereto)
Final plan summary – scholarship plan – English
Final plan summary scholarship plan – French
Blacklined final plan summary – scholarship plan – English
Blacklined final plan summary – scholarship plan – French
Final detailed plan disclosure – scholarship plan – English
Final detailed plan disclosure – scholarship plan – French
Blacklined final detailed plan disclosure – scholarship plan – English
Blacklined final detailed plan disclosure – scholarship plan – French
Auditors’ comfort letter regarding audited financial statements
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Credit supporter’s consent
Consent letter(s) of other expert(s)
Underwriters’ certificate
Underwriting or agency agreement
Escrow agreement
Material contracts – Credit agreements
Other material contract(s)
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Oil and gas reports
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports) Certificate/notice(s) re proceeds of distribution
Issuer’s submission to jurisdiction and appointment of agent
Non–issuer’s submission to jurisdiction and appointment of agent
Ruling on QSSP eligibility (QC)
Undertaking re breakdown of sales and payment of fees (BC)
Undertaking in respect of credit supporter disclosure
Undertaking in respect of continuous disclosure
Undertaking to file documents and material contracts
Undertaking in respect of restricted securities
Communication with Exchange
Filing Type: Long Form Prospectus

Personal information form and authorization
Letter (opting into the PREP procedures)
Letter (opting out of the PREP procedures)
Other undertakings
Other

Filing Subtype: Amendment to Final

Document Types:
Cover letter
Amendment to (or amended) final long form prospectus – English
Amendment to (or amended) final long form prospectus – French
Blacklined amended final long form prospectus – English
Blacklined amended final long form prospectus – French
Amended and restated final plan summary – scholarship plan – English
Amended and restated final plan summary – scholarship plan – French
Blacklined amended final plan summary – scholarship plan – English
Blacklined amended final plan summary – scholarship plan – French
Amend. to (or amended) final detailed plan disclosure – schol. plan – E
Amend. to (or amended) final detailed plan disclosure – schol. plan – F
Blacklined amend. final detailed plan disclosure – schol. plan – E
Blacklined amend. final detailed plan disclosure – schol. plan – F
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Report/valuation (other than tech. reports)
Oil and gas reports
Auditors’ comfort letter regarding audited financial statements
Documents affecting rights of securityholders – Trust indentures re debt
Auditors’ consent letter
Consent letter of issuer’s legal counsel
Consent letter of underwriters’ legal counsel
Consent letter(s) of other legal counsel
Credit supporter’s consent
Consent letter(s) of other expert(s)
Confirmation re prospectus amendment materials
Waiver and undertaking re amendment in Quebec
Personal information form and authorization
Letter (opting out of the PREP procedures)
Material contracts – Credit agreements
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Long Form Prospectus

Filing Subtype: Supplemented Long Form PREP Prospectus (NI 44–103)

Document Types:
- Cover letter
- Supplemented long form PREP prospectus – English
- Supplemented long form PREP prospectus – French
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Documents affecting rights of securityholders – Trust indentures re debt
- Auditors’ consent letter
- Consent letter of issuer’s legal counsel
- Consent letter of underwriters’ legal counsel
- Consent letter(s) of other legal counsel
- Consent letter(s) of other expert(s)
- Underwriters’ certificate
- Underwriting or agency agreement
- Undertaking re breakdown of sales and payment of fees (BC)
- “Green sheet” (QC)
- Material contracts – Credit agreements
- Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public  
Refer to list of documents with Private-nonpublic access on page 5

**Filing Type: NI 44–101 Notice of Intent**

**Filing Subtype: NI 44–101 Notice of Intent to Qualify**

**Document Types:**
- Cover letter
- Letter concerning recipient agency
- NI 44–101 Notice of intent to qualify – English
- NI 44–101 Notice of intent to qualify – French
- NI 44–101 Notice of intent to qualify (amended) – English
- NI 44–101 Notice of intent to qualify (amended) – French
- Other

**Filing Subtype: NI 44–101 Withdrawal Notice**

**Document Types:**
- Cover letter
- Letter concerning recipient agency
- NI 44–101 Withdrawal notice – English
- NI 44–101 Withdrawal notice – French
- NI 44–101 Withdrawal notice (amended) – English
- NI 44–101 Withdrawal notice (amended) – French
- Other

**Filing Type: Rights Offering Material**

**Filing Subtype: Initial Filing**

**Document Types:**
- Cover letter
- Letter concerning recipient agency
- Notice of Rights Offering (Form 45–106F14) – English
- Notice of Rights Offering (Form 45–106F14) – French
- Rights Offering Circular (Form 45–106F15) – English
- Rights Offering Circular (Form 45–106F15) – French
- News Release – English
- News Release – French
- Managing Dealer Agreement
- Standby Commitment Agreement
- Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Rights Offering Material

Filing Subtype: Amended Initial Filing
Document Types:

- Cover letter
- Letter concerning recipient agency
- Notice of Rights Offering (Form 45–106F14) (amended) – English
- Notice of Rights Offering (Form 45–106F14) (amended) – French
- Rights Offering Circular (Form 45–106F15) (amended) – English
- Rights Offering Circular (Form 45–106F15) (amended) – French
- News Release (amended) – English
- News Release (amended) – French
- Managing Dealer Agreement (or amendment thereto)
- Standby Commitment Agreement (or amendment thereto)
- Other

Filing Subtype: Closing Filing
Document Types:

- Cover letter
- Letter concerning recipient agency
- Closing News Release – English
- Closing News Release – French
- Other

Filing Subtype: Amended Closing Filing
Document Types:

- Cover letter
- Letter concerning recipient agency
- Closing News Release (amended) – English
- Closing News Release (amended) – French
- Other

Filing Type: Rights Offering – Minimal Connection

Filing Subtype: Rights Offering – Minimal Connection
Document Types:

- Cover letter
- Letter concerning recipient agency
- Notice of Minimal Connection – English
- Notice of Minimal Connection – French
- Certificate of Issuer – English
- Certificate of Issuer – French
- Materials Sent to Securityholders – English
- Materials Sent to Securityholders – French
- Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Rights Offering – Minimal Connection

Filing Subtype: Amended Rights Offering – Minimal Connection

Document Types:
- Cover letter
- Letter concerning recipient agency
- Notice of Minimal Connection (amended) – English
- Notice of Minimal Connection (amended) – French
- Certificate of Issuer (amended) – English
- Certificate of Issuer (amended) – French
- Materials Sent to Securityholders (amended) – English
- Materials Sent to Securityholders (amended) – French
- Other

Filing Type: Other Filings

Document Types:
- Other

Filing Type: New Company Listing or Quotation

Document Types:
- Cover letter

Filing Subtype: Prospectus and Offerings

Document Types:
- Preliminary prospectus – English
- Preliminary prospectus – French
- Asset and earnings coverage calculations
- Dilution calculation
- Amendment to (or amended) prospectus – English
- Amendment to (or amended) prospectus – French
- Auditors’ negative assurance letter
- Auditors’ consent letter
- Final prospectus – English
- Final prospectus – French
- Offering memorandum
  “Green sheet”
- Other – Prospectus and offerings
Filing Type: New Company Listing or Quotation

Filing Subtype: Material Contracts and Reports

Document Types:
Bonus plan
Business plan
Coattail agreement
Dividend reinvestment plan
Escrow agreement
Geological or engineering report
Independent evaluation or appraisal
Investor relations agreement
Management agreement
Pooling agreement
Property acquisition agreement
Purchase agreement.
Share option plan
Share option subscription agreement
Share purchase plan
Shareholder agreement
Sponsorship agreement
Trust agreement
Trust indenture
Underwriting or agency agreement
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:
Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Audited financial forecast
Form of proxy – English
Form of proxy – French
Interim financial statements – English
Interim financial statements – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Pro forma financial statements
Other – Financial statements and information documents

Filing Subtype: Resolutions and Articles

Document Types:
Banking resolution
Board resolution
Certificate and Articles of Amendment
Certificate and Articles of Incorporation
Company By–Laws
Shareholders resolution
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public. Refer to list of documents with Private-nonpublic access on page 5

Filing Type: New Company Listing or Quotation

Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Listing agreement
Listing statement/listing application
Personal information forms
Share distribution form
Statutory declaration
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms
Document Types:
Receipt from Securities Commissions
Other – Commission documents and forms

Filing Subtype: General
Document Types:
Certificate of good standing
Fairness opinion
Letter from CDS confirming CUSIP number
Letter from company (confirmation, undertaking, etc.)
Letter from registrar
Letter from transfer agent
Letter from trustee confirming shares held in escrow
Letter from underwriter (undertaking, minimum distribution, etc.)
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Sponsorship acknowledgment letter
Other – General
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public.
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: New Security Issue (Supplemental Listing)

Document Types:
Cover letter

Filing Subtype: Prospectus and Offerings
Document Types:
Preliminary prospectus – English
Preliminary prospectus – French
Asset and earnings coverage calculations
Dilution calculation
Amendment to (or amended) prospectus – English
Amendment to (or amended) prospectus – French
Auditors’ negative assurance letter
Auditors’ consent letter
Final prospectus – English
Final prospectus – French
Rights offering circular – English
Rights offering circular – French
“Green sheet”
Other – Prospectus and offerings

Filing Subtype: Material Contracts and Reports
Document Types:
Coattail agreement
Escrow agreement
Geological or engineering report
Shareholder agreement
Sponsorship agreement
Trust agreement
Trust indenture
Underwriting or agency agreement
Voting trust agreement
Warrant indenture
Other – Material contracts and reports.

Filing Subtype: Resolutions and Articles
Document Types:
Banking resolution
Board resolution
Certificate and Articles of Amendment
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Personal information forms
Share distribution form
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms
Document Types:
Receipt from Securities Commissions
Other – Commission documents and forms
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: New Security Issue (Supplemental Listing)

Filing Subtype: General
Document Types:
Letter from CDS confirming CUSIP number
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
Letter from underwriter (undertaking, minimum distribution, etc.)
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Sponsorship acknowledgment letter
Other – General

Filing Type: Additional Listing by Prospectus

Document Types:
Cover letter

Filing Subtype: Prospectus and Offerings
Document Types:
Preliminary prospectus – English
Preliminary prospectus – French
Amendment to (or amended) prospectus – English
Amendment to (or amended) prospectus – French
Auditors’ negative assurance letter
Auditors’ consent letter
Asset and earnings coverage calculations
Dilution calculation
Final prospectus – English
Final prospectus – French
“Green sheet”
Other – Prospectus and offerings

Filing Subtype: Material Contracts and Reports
Document Types:
Coattail agreement
Escrow agreement
Geological or engineering report
Pooling agreement
Property acquisition agreement
Purchase agreement
Shareholder agreement
Sponsorship agreement
Trust agreement
Trust indenture
Underwriting or agency agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Resolutions
Document Types:
Board resolution
Shareholders resolution
Special resolution
Other – Resolutions
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Additional Listing by Prospectus

Filing Subtype: Market Centre Documents and Forms
Document Types:
Personal information forms
Share distribution form
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms
Document Types:
Receipt from Securities Commissions
Other – Commission documents and forms

Filing Subtype: General
Document Types:
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
Letter from underwriter (undertaking, minimum distribution, etc.)
Opinion of legal counsel
Other – General

Filing Type: Private Placement

Document Types:
Cover letter

Filing Subtype: Prospectus and Offerings
Document Types:
Preliminary prospectus – English
Preliminary prospectus – French
Asset and earnings coverage calculations
Dilution calculation
Amendment to (or amended) prospectus – English
Amendment to (or amended) prospectus – French
Auditors’ negative assurance letter
Auditors’ consent letter
Final prospectus – English
Final prospectus – French
Offering memorandum
Term sheet
Other – Prospectus and offerings
Filing Type: Private Placement

Filing Subtype: Material Contracts and Reports

Document Types:
- Coattail agreement
- Escrow agreement
- Finders fee agreement
- Geological or engineering report
- Management agreement
- Pooling agreement
- Property acquisition agreement
- Purchase agreement
- Shareholder agreement
- Special warrant indenture
- Sponsorship agreement
- Subscription agreement
- Trust agreement
- Trust indenture
- Underwriting or agency agreement
- Voting trust agreement
- Warrant indenture
- Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:
- Annual report – English
- Annual report – French
- Audited annual financial statements – English
- Audited annual financial statements – French
- Audited financial forecast
- Form of proxy – English
- Form of proxy – French
- Interim financial statements – English
- Interim financial statements – French
- Management proxy/information circular – English
- Management proxy/information circular – French
- Notice of meeting – English
- Notice of meeting – French
- Pro forma financial statements
- Other – Financial statements and information documents

Filing Subtype: Resolutions and Articles

Document Types:
- Board resolution
- Certificate and Articles of Amendment
- Shareholders resolution
- Special resolution
- Other – Resolutions and Articles

Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Private Placement

Filing Subtype: Market Centre Documents and Forms
Document Types:
- Amendment of warrant terms (TSX–V)
- Corporate placee registration form
- Declaration of certified filing (TSX–V)
- Expedited private placement filing form
- Notice of private placement
- Personal information forms
- Prior notice of private placement (Price protection)
- Private placement questionnaire & undertaking
- Private placement summary form (TSX–V)
- Request to reset share limit for expedited filings
- Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms
Document Types:
- Receipt from Securities Commissions
- Other – Commission documents and forms

Filing Subtype: General
Document Types:
- Fairness opinion
- Independent evaluation or appraisal
- Letter from company (confirmation, undertaking, etc.)
- Opinion of legal counsel
- Other – General
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shares for Debt (TSX–V)

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports
Document Types:
Debt settlement agreement
Escrow agreement
Other – Material contracts and reports

Filing Subtype: Financial Statements
Document Types:
Annual report
Audited annual financial statements
Audited financial forecast
Current accounts payable list
Interim financial statements
Pro forma financial statements
Other – Financial statements

Filing Subtype: Market Centre Documents and Forms
Document Types:
Shares for debt filing form
Declaration of certified filing
Certification
Other – Market Centre documents and forms

Filing Subtype: General
Document Types:
Fairness opinion
Independent evaluation or appraisal
Other – General
Filing Type: Exchange of Securities – Amalgamation or Reorganization

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:
Bonus plan
Business plan
Coattail agreement
Escrow agreement
Geological or engineering report
Independent evaluation or appraisal
Investor relations agreement
Management agreement
Pooling agreement
Property acquisition agreement
Purchase agreement
Share option plan
Share option subscription agreement
Share purchase plan
Shareholder agreement
Sponsorship agreement
Sponsor report
Trust agreement
Trust indenture
Underwriting or agency agreement
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:
Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Audited financial forecast
Filing statement
Form of proxy – English
Form of proxy – French
Interim financial statements – English
Interim financial statements – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of guaranteed delivery – English
Notice of guaranteed delivery – French
Notice of meeting – English
Notice of meeting – French
Plan of arrangement circular – English
Plan of arrangement circular – French
Other – Financial statements and information documents.
Filing Type: Exchange of Securities – Amalgamation or Reorganization

Filing Subtype: Resolutions and Articles
Document Types:
Banking resolution
Board resolution
Certificate and Articles of Amalgamation
Certificate and Articles of Amendment
Certificate and Articles of Incorporation
Company By–Laws
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Listing agreement
Listing statement/listing application
Personal information forms
Share distribution form
Statutory declaration
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms
Document Types:
Receipt from Securities Commissions
Other – Commission documents and forms

Filing Subtype: General
Document Types:
Certificate of good standing
Fairness opinion
Letter from CDS confirming CUSIP number
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
Letter from underwriter (undertaking, minimum distribution, etc.)
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Sponsorship acknowledgment letter
Other – General
Filing Type: Acquisition/Disposition of Assets – Share Transactions (TSX–V)

Filing Subtype: Major Acquisition/Disposition (TSX–V)

Document Types:
- Cover letter
- Annual report
- Audited financial statements for acquisition target
- Audited financial forecast
- Auditors’ consent letter
- Board resolution
- Consent letter from board of acquisition target
- Consent letter(s) of other expert(s)
- Escrow agreement
- Fairness opinion
- Filing statement
- Financial plan
- Finders fee agreement
- Geological or engineering report
- Independent evaluation or appraisal
- Interim financial statements
- Material contracts
- Opinion of legal counsel
- Pro forma financial statements
- Property acquisition agreement
- Purchase agreement
- Scrutineer’s report
- Shareholders consents
- Special resolution
- Sponsor report
- Title opinion
- Transaction summary form
- Other

Filing Subtype: Minor Acquisition/Disposition (TSX–V)

Document Types:
- Cover letter
- Board resolution
- Directors’ resolutions
- Expedited acquisition filing form
- Fairness opinion
- Filing statement
- Finders fee agreement
- Geological or engineering report
- Property acquisition agreement
- Purchase agreement
- Request to reset share limit for expedited filings
- Special resolution
- Transaction summary form
- Other
Filing Type: Acquisition/Disposition of Assets – Share Transactions (TSX-V)

Filing Subtype: CPC Qualifying Transactions (TSX-V)

Document Types:
Cover letter
Financial statements for acquisition target
Auditors’ consent letter
Business plan
Confirmation of mailing
Consent letter from board of acquisition target
Consent letter(s) of other expert(s)
Directors’ resolutions
Escrow agreement
Fairness opinion
Information circular
Material contracts
Opinion of legal counsel
Pro forma balance sheet
Sponsorship acknowledgement form
Sponsor report
Technical reports and certifications of qualification
Other

Filing Type: CPC Prospectus (TSX-V)

Filing Subtype: Preliminary

Document Types:
Cover letter
Preliminary prospectus – English
Preliminary prospectus – French
Auditors’ comfort letter regarding audited financial statements
Material contracts
Auditors’ consent letter
Statutory declaration
Draft escrow agreement
Personal information form and authorization
Documents affecting rights of securityholders – Trust indentures re debt
Documents affecting rights of securityholders (or amendments thereto)
Underwriting or agency agreement
Confirmation re preliminary materials
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Letter of withdrawal
Material contracts – Credit agreements
Other

Filing Type: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5
Filing Type: CPC Prospectus (TSX–V)

Filing Subtype: Amendment to Preliminary

Document Types:
- Cover letter
- Amendment to (amended) preliminary prospectus – English
- Amendment to (amended) preliminary prospectus – French
- Blacklined amended preliminary prospectus – English
- Blacklined amended preliminary prospectus – French
- Documents affecting rights of securityholders – Trust indentures re debt
- Auditors’ consent letter
- Auditors’ comfort letter regarding audited financial statements
- Material contracts
- Material contracts – Credit agreements
- Statutory declaration
- Consent letter(s) of issuer’s legal counsel
- Consent letter(s) of underwriters'/agents’ legal counsel
- Consent letter(s) of other legal counsel
- Consent letter(s) of other expert(s)
- Confirmation re preliminary prospectus amendment materials
- Personal information form and authorization
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Letter of withdrawal
- Other

Filing Subtype: Final

Document Types:
- Cover letter
- Final prospectus – English
- Final prospectus – French
- Blacklined final prospectus – English
- Blacklined final prospectus – French
- Auditors’ consent letter
- Consent letter(s) of issuer’s legal counsel
- Consent letter(s) of underwriters'/agents’ legal counsel
- Consent letter(s) of other legal counsel
- Consent letter(s) of other expert(s)
- Directors’ resolutions
- Underwriters’ certificate
- Documents affecting rights of securityholders – Trust indentures re debt
- Documents affecting rights of securityholders (or amendments thereto)
- Underwriting or agency agreement
- Escrow agreement
- Material contracts – Credit agreements
- Other material contracts
- Company’s undertaking to provide material contracts on request
- Undertaking to pay fees (BC)
- Certificate/notice(s) re proceeds of distribution
- Confirmation re final materials
- Personal information form and authorization

Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: CPC Prospectus (TSX–V)
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Other undertakings
Other

Filing Subtype: Amendment to Final
Document Types:
Cover letter
Amendment to (or amended) final prospectus – English
Amendment to (or amended) final prospectus – French
Blacklined amended final prospectus – English
Blacklined amended final prospectus – French
Documents affecting rights of securityholders – Trust indentures re debt
Auditors’ consent letter
Consent letter(s) of issuer’s legal counsel
Consent letter(s) of underwriters’/agents’ legal counsel
Consent letter(s) of other legal counsel
Material contracts
Material contracts – Credit agreements
Statutory declaration
Confirmation re prospectus amendment materials
Personal information form and authorization
Marketing materials – English
Marketing materials – French
Confidential marketing materials – English
Confidential marketing materials – French
Other

Filing Subtype: Market Centre Documents (TSX–V)
Document Types:
Cover letter
Undertaking
Application for listing (TSX–V)
Confirmation of trustee holding shares under escrow agreement
Escrow undertaking (second tier escrow)
Letter from transfer agent
Listing agreement (TSX–V)
Certificate and Articles of Incorporation
Documents affecting rights of securityholders – Trust indentures re debt
Material contracts
Material contracts – Credit agreements
Opinion of legal counsel
Public distribution summary (TSX–V)
Sponsor report
Securityholders list
Stock option plan and specimen stock option agreement
Other
Category of Filer: Other Issuers
Category of Filing: Securities Offerings
Folder for Filing Type: Québec

All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers
Category of Filing: Securities Offerings
Folder for Filing Type: Québec

**Filing Type: Prospectus – Distribution Outside Québec (QC SEC. 12 ACT)**

**Document Types:**
- Cover letter
- Preliminary prospectus – English
- Preliminary prospectus – French
- Information document (QC sec. 115 Reg.)
- Prospectus – English
- Prospectus – French
- Marketing materials – English
- Marketing materials – French
- Confidential marketing materials – English
- Confidential marketing materials – French
- Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Continuous Disclosure

Folder for Filing Type: General

Filing Type: Material Change Report
Document Types:
- Cover letter
- Letter concerning recipient agency
- Material change report – letter from foreign issuer
- Material change report – English
- Material change report – French
- Material change report – foreign language
- Material change report (amended) – English
- Material change report (amended) – French
- Material change report (amended) – Foreign language
- Translation certificate
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Qualification certificate(s)
- Consent letter(s)
- Material document(s)
- Material documents(s) (amended)
- Other

Filing Type: News Releases
Document Types:
- Cover letter
- Letter concerning recipient agency
- News release – English
- News release – French
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Qualification certificate(s)
- Consent letter(s)
- Offering material – English
- Offering material – French
- Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Interim Financial Statements/Report

Filing Subtype: Interim Financial Statements/Report
Document Types:
Cover letter
Letter concerning recipient agency
Interim financial statements/report – letter from foreign issuer
Interim financial statements/report – English
Interim financial statements/report – French
Interim financial statements/report – foreign language
Translation certificate
Financial statements of operating entity
Financial statements of RTO acquirer
Calculation of earnings coverage
Other

Filing Subtype: Amended Interim Financial Statements/Report
Document Types:
Cover letter
Letter concerning recipient agency
Interim financial statements/report (amended) – English
Interim financial statements/report (amended) – French
Interim financial statements/report (amended) – foreign language
Translation certificate
Other

Filing Type: Interim Financial Statements/Report – XBRL

Document Types:
Cover letter
Letter concerning recipient agency
Interim Financial Statements/report – XBRL – Instance document
Interim Financial Statements/report – XBRL – Calculation linkbase
Interim Financial Statements/report – XBRL – Presentation linkbase
Interim Financial Statements/report – XBRL – Label linkbase
Interim Financial Statements/report – XBRL – Taxonomy extension
Interim Financial Statements/report – XBRL – Reference linkbase
Interim Financial Statements/report – XBRL – Definition linkbase
Other
Filing Type: Interim Certificates (NI 52–109)

Filing Subtype: Interim certificates (52–109F2)

Document Types:
Cover letter
Letter concerning recipient agency
52–109F2 – Certification of interim filings – CEO (E)
52–109F2 – Certification of interim filings – CFO (E)
52–109F2 – Certification of interim filings – CEO F
52–109F2 – Certification of interim filings – CFO (F)
Other

Filing Subtype: Interim certificates (52–109FV2)

Document Types:
Cover letter
Letter concerning recipient agency
52–109FV2 – Certification of interim filings – CEO (E)
52–109FV2 – Certification of interim filings – CFO (E)
52–109FV2 – Certification of interim filings – CEO F
52–109FV2 – Certification of interim filings – CFO (F)
Other

Filing Subtype: Refiled interim filings (52–109F2R)

Document Types:
Cover letter
Letter concerning recipient agency
52–109F2R – Certification of refiled interim filings – CEO (E)
52–109F2R – Certification of refiled interim filings – CFO (E)
52–109F2R – Certification of refiled interim filings – CEO F
52–109F2R – Certification of refiled interim filings – CFO (F)
Other

Filing Subtype: Interim certificates (52–109F2–IPO/RTO)

Document Types:
Cover letter
Letter concerning recipient agency
52–109F2–IPO/RTO – IPO/RTO/ Becoming Non-venture issuer – CEO (E)
52–109F2–IPO/RTO – IPO/RTO/ Becoming Non-venture issuer – CFO (E)
52–109F2–IPO/RTO – IPO/RTO/ Becoming Non-venture issuer – CEO (F)
52–109F2–IPO/RTO – IPO/RTO/ Becoming Non-venture issuer – CFO (F)
Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Interim MD & A

Filing Subtype: Interim MD & A
Document Types:
Cover letter
Letter concerning recipient agency
MD&A – letter from foreign issuer
MD&A – English
MD&A – French
MD&A supplement – English
MD&A supplement – French
MD&A – foreign language
MD&A of an operating entity
Translation certificate
Other

Filing Subtype: Amended Interim MD & A
Cover letter
Letter concerning recipient agency
MD&A (amended) – letter from foreign issuer
MD&A (amended) – English
MD&A (amended) – French
MD&A supplement (amended) – English
MD&A supplement (amended) – French
MD&A (amended) – foreign language
Translation certificate
Other
Filing Type: Interim Management Report of Fund Performance

Filing Subtype: Interim Management Report of Fund Performance

Document Types:
- Cover letter
- Letter concerning recipient agency
- Management report of fund performance – English
- Management report of fund performance – French
- Other

Filing Subtype: Amended Interim Management Report of Fund Performance

Document Types:
- Cover letter
- Letter concerning recipient agency
- Management report of fund performance (amended) – English
- Management report of fund performance (amended) – French
- Other

Filing Type: Annual Financial Statements

Filing Subtype: Annual Financial Statements

Document Types:
- Cover letter
- Letter concerning recipient agency
- Annual financial statements – letter from foreign issuer
- Audited annual financial statements – English
- Audited annual financial statements – French
- Audited annual financial statements – foreign language
- Translation certificate
- AB Form 13–501F1 (Class 1 and 3B Reporting Issuers – Participation Fee)
- AB Form 13–501F2 (Class 2 Reporting Issuers – Participation Fee)
- AB Form 13–501F3 (Adjustment of Fee for Class 2 Reporting Issuers)
- AB Form 13–501F4 (Class 3A Reporting Issuers – Participation Fee)
- AB Form 13–501F5 (Investment Fund – Participation Fee)
- AB Form 13–501F6 Subsidiary Exemption Notice
- ON Form 13–502F1 (Class 1 and 3B Reporting Issuers – Participation Fee)
- ON Form 13–502F2 (Class 2 Reporting Issuers – Participation Fee)
- ON Form 13–502F2A (Adjustment of Fee for Class 2 Reporting Issuers)
- ON Form 13–502F3A (Class 3A Reporting Issuers – Participation Fee)
- ON Form 13–502F6 Subsidiary Entity Exemption Notice
- Financial statements of operating entity
- Financial statements of RTO acquirer
- Valuation report(s) for labour sponsored funds
- Calculation of earnings coverage
- Other

Filing Subtype: Amended Annual Financial Statements

Document Types:
- Cover letter
- Letter concerning recipient agency
- Audited annual financial statements (amended) – English
- Audited annual financial statements (amended) – French
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Audited annual financial statements (amended) – foreign language
Translation certificate
Valuation report(s) for labour sponsored funds (amended)
Other

Filing Type: Annual Financial Statements – XBRL

Document Types:
Cover letter
Letter concerning recipient agency
Annual Financial Statements – XBRL – Instance document
Annual Financial Statements – XBRL – Calculation linkbase
Annual Financial Statements – XBRL – Presentation linkbase
Annual Financial Statements – XBRL – Label linkbase
Annual Financial Statements – XBRL – Taxonomy extension
Annual Financial Statements – XBRL – Reference linkbase
Annual Financial Statements – XBRL – Definition linkbase
Other

Filing Type: Annual Certificates (NI 52–109)

Filing Subtype: Annual certificates (52–109F1)

Document Types:
Cover letter
Letter concerning recipient agency
52–109F1 – Certification of annual filings – CEO (E)
52–109F1 – Certification of annual filings – CFO (E)
52–109F1 – Certification of annual filings – CEO (F)
52–109F1 – Certification of annual filings – CFO (F)
Other

Filing Subtype: Annual certificates (52–109FV1)

Document Types:
Cover letter
Letter concerning recipient agency
52–109FV1 – Certification of annual filings – CEO (E)
52–109FV1 – Certification of annual filings – CFO (E)
52–109FV1 – Certification of annual filings – CEO (F)
52–109FV1 – Certification of annual filings – CFO (F)
Other

Filing Subtype: Refiled annual filings (52–109F1R)

Document Types:
Cover letter
Letter concerning recipient agency
52–109F1R – Certification of refiled annual filings – CEO (E)
52–109F1R – Certification of refiled annual filings – CFO (E)
52–109F1R – Certification of refiled annual filings – CEO (F)
52–109F1R – Certification of refiled annual filings – CFO (F)
Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Subtype: Annual certificates (52–109F1–AIF)
**Document Types:**
Cover letter
Letter concerning recipient agency
52–109F1–AIF – Certification of filings with voluntarily filed AIF – CEO (E)
52–109F1–AIF – Certification of filings with voluntarily filed AIF – CFO (E)
52–109F1–AIF – Certification of filings with voluntarily filed AIF – CEO (F)
52–109F1–AIF – Certification of filings with voluntarily filed AIF – CFO (F)
Other

Filing Subtype: Annual certificates (52–109F1–IPO/RTO)
**Document Types:**
Cover letter
Letter concerning recipient agency
52–109F1–IPO/RTO – IPO/RTO/ Becoming Non–venture issuer – CEO (E)
52–109F1–IPO/RTO – IPO/RTO/ Becoming Non–venture issuer – CFO (E)
52–109F1–IPO/RTO – IPO/RTO/ Becoming Non–venture issuer – CEO (F)
52–109F1–IPO/RTO – IPO/RTO/ Becoming Non–venture issuer – CFO (F)
Other

Filing Type: Annual MD & A

Filing Subtype: Annual MD & A
**Document Types:**
Cover letter
Letter concerning recipient agency
MD&A – letter from foreign issuer
MD&A – English
MD&A – French
MD&A supplement – English
MD&A supplement – French
MD&A – foreign language
MD&A of an operating entity
Translation certificate
Other

Filing Subtype: Amended Annual MD & A
**Document Types:**
Cover letter
Letter concerning recipient agency
MD&A (amended) – letter from foreign issuer
MD&A (amended) – English
MD&A (amended) – French
MD&A supplement (amended) – English
MD&A supplement (amended) – French
MD&A (amended) – foreign language
Translation certificate
Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Management Report of Fund Performance

Filing Subtype: Annual Management Report of Fund Performance
Document Types:
Cover letter
Letter concerning recipient agency
Management report of fund performance – English
Management report of fund performance – French
Other

Filing Subtype: Amended Annual Management Report of Fund Performance
Document Types:
Cover letter
Letter concerning recipient agency
Management report of fund performance (amended) – English
Management report of fund performance (amended) – French
Other

Filing Type: Annual Report

Document Types:
Cover letter
Letter concerning recipient agency
Annual report – letter from foreign issuer
Annual report – English
Annual report – French
Annual report (amended) – English
Annual report (amended) – French
Annual report – foreign language
Annual report (amended) – foreign language
Translation certificate
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s)
Other
Filing Type: Annual Information Forms

Filing Subtype: Annual Information Forms

Document Types:
Cover letter
Letter concerning recipient agency
Annual information form – letter from foreign issuer
Annual information form – English
Annual information form – French
Annual report on Form 10–K – English
Annual report on Form 10–K – French
Annual report on Form 10–KSB – English
Annual report on Form 10–KSB – French
Annual report on Form 20–F – English
Annual report on Form 20–F – French
Annual information form – foreign language
Translation certificate
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s)
Material document(s)
Documents incorporated by reference not previously filed
Other

Filing Subtype: Revised Annual Information Forms

Document Types:
Cover letter
Letter concerning recipient agency
Revised annual information form – letter from foreign issuer
Revised annual information form – English
Revised annual information form – French
Revised annual report on Form 10–K – English
Revised annual report on Form 10–K – French
Revised annual report on Form 10–KSB – English
Revised annual report on Form 10–KSB – French
Revised annual report on Form 20–F – English
Revised annual report on Form 20–F – French
Revised annual information form – foreign language
Translation certificate
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Information Forms

Filing Subtype: Revised Annual Information Forms
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s)
Material document(s)
Documents incorporated by reference not previously filed
Other

Filing Type: Annual Information Form (NI 81–106)

Filing Subtype: Annual Information Form
Document Types:
Cover letter
Letter concerning recipient agency
Annual information form – English
Annual information form – French
Material documents
Documents incorporated by reference not previously filed
Other

Filing Subtype: Revised Annual Information Form
Document Types:
Cover letter
Letter concerning recipient agency
Annual information form (revised) – English
Annual information form (revised) – French
Material documents
Documents incorporated by reference not previously filed
Other

Filing Type: Compliance Reports (NI 41–101)

Document Types:
Cover letter
Letter concerning recipient agency
Custodian report(s) – section 14.6
Other

Filing Type: Compliance Reports (NI 81–102)

Document Types:
Cover letter
Letter concerning recipient agency
Custodian report(s) – section 6.7
Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Resale of Securities (MI 45–102)

Filing Subtype: Notice of Intention to Distribute Form 45–102F1

Document Types:
Cover letter
Letter concerning recipient agency
Notice Form 45–102F1
Other

Filing Type: Technical Report(s) (NI 43–101)

Filing Subtype: Technical Report

Document Types:
Cover letter
Letter concerning recipient agency
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Other

Filing Subtype: Amended & Restated Technical Report

Document Types:
Cover letter
Letter concerning recipient agency
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Oil and Gas Annual Disclosure (NI 51–101)

Filing Subtype: Oil and Gas Annual Disclosure Filing
Document Types:
Cover letter
Letter concerning recipient agency
Oil and gas annual disclosure filing (Forms 51–101 F1, F2 & F3)
Oil and gas annual disclosure filing (Form 51–101 F1)
Oil and gas annual disclosure filing (Form 51–101 F2)
Oil and gas annual disclosure filing (Form 51–101 F3)
Notice of filing of 51–101 F1 Information (Form 51–101 F4)
Notice of Ceasing to Engage in Oil and Gas Activities (Form 51–101F5)
Other

Filing Subtype: Revised Oil and Gas Annual Disclosure Filing

Document Types:
Cover letter
Letter concerning recipient agency
Revised oil and gas annual disclosure filing (Forms 51–101 F1, F2 & F3)
Revised oil and gas annual disclosure filing (Form 51–101 F1)
Revised oil and gas annual disclosure filing (Form 51–101 F2)
Revised oil and gas annual disclosure filing (Form 51–101 F3)
Other

Filing Subtype: Oil and Gas Interim Filing

Document Types:
Cover letter
Letter concerning recipient agency
Interim oil and gas disclosure filing
Other

Filing Subtype: Summary Report

Document Types:
Cover letter
Letter concerning recipient agency
Summary of oil and gas report
Other
Filing Type: Notice of the Meeting and Record Date

Document Types:
Cover letter
Letter concerning recipient agency
Notice of the meeting and record date – English
Notice of the meeting and record date – French
Notice of the meeting and record date (amended) – English
Notice of the meeting and record date (amended) – French
Other

Filing Type: Notice of Securities Granted to Insiders NI 55-101

Document Types:
Cover letter
Letter concerning recipient agency
Notice of securities granted to insiders – English
Notice of securities granted to insiders – French
Notice of securities granted to insiders (amended) – English
Notice of securities granted to insiders (amended) – French
Other

Filing Type: OTC Issuer Registration Statement MI 51-105

Document Types:
Cover letter
Letter concerning recipient agency
OTC issuer registration statement MI 51-105 – English
OTC issuer registration statement MI 51-105 – French
OTC issuer registration statement MI 51-105 (amended) – English
OTC issuer registration statement MI 51-105 (amended) – French
Other

Filing Type: Notice OTC Issuer Ceases to be an OTC Reporting Issuer 51-105F1

Document Types:
Cover letter
Letter concerning recipient agency
Notice OTC issuer ceases to be a reporting issuer 51-105F1 – English
Notice OTC issuer ceases to be a reporting issuer 51-105F1 – French
Notice OTC issuer ceases to be a reporting issuer 51-105F1 (amended) – E
Notice OTC issuer ceases to be a reporting issuer 51-105F1 (amended) – F
Other
**Category of Filer: Other Issuers**

**Category of Filing: Continuous Disclosure**

All filing types are Auto-Public except where noted as Private

Refer to list of documents with Private-nonpublic access on page 5

**Filing Type: Notice of Promotional Activities 51–105F2**

**Document Types:*
Cover letter
Letter concerning recipient agency
Notice of promotional activities 51–105F2 – English
Notice of promotional activities 51–105F2 – French
Notice of promotional activities 51–105F2 (amended) – English
Notice of promotional activities 51–105F2 (amended) – French
Other

**Filing Type: Personal Information Form and Authorization 51–105F3A**

**Document Types:*
Cover letter
Letter concerning recipient agency
Personal information form and authorization 51–105F3A – English
Personal information form and authorization 51–105F3A – French
Personal information form and authorization 51–105F3A (amended) – English
Personal information form and authorization 51–105F3A (amended) – French
Other

**Filing Type: Personal Information Form and Authorization 51–105F3B**

**Document Types:*
Cover letter
Letter concerning recipient agency
Personal information form and authorization 51–105F3B – English
Personal information form and authorization 51–105F3B – French
Personal information form and authorization 51–105F3B (amended) – English
Personal information form and authorization 51–105F3B (amended) – French
Other

**Filing Type: Notice Issuer Ceases to be an OTC Reporting Issuer 51–105F4**

**Document Types:*
Cover letter
Letter concerning recipient agency
Notice issuer ceases to be an OTC reporting issuer 51–105F4 – English
Notice issuer ceases to be an OTC reporting issuer 51–105F4 – French
Notice issuer ceases to be an OTC reporting issuer 51–105F4 (amended) – E
Notice issuer ceases to be an OTC reporting issuer 51–105F4 (amended) – F
Other
Filing Type: Statement of Executive Compensation

**Document Types:**
- Cover letter
- Letter concerning recipient agency
- Statement of Executive Compensation (Form 51–102F6) – English
- Statement of Executive Compensation (Form 51–102F6) – French
- Statement of Executive Compensation (Form 51–102F6V) – English
- Statement of Executive Compensation (Form 51–102F6V) – French
- Other

Filing Type: Management Proxy Materials

**Document Types:**
- Cover letter
- Letter concerning recipient agency
- Management proxy materials – letter from foreign issuer
- Notice of meeting – English
- Notice of meeting – French
- Notice of meeting (amended) – English
- Notice of meeting (amended) – French
- Management information circular – English
- Management information circular – French
- Management information circular (amended) – English
- Management information circular (amended) – French
- Form of proxy – English
- Form of proxy – French
- Management proxy materials – foreign language
- Management proxy materials (amended) – foreign language
- Translation certificate
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Qualification certificate(s)
- Consent letter(s)
- Certificate re dissemination to shareholders
- Report of voting results
- Report of voting results (amended)
- Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Change of Auditor Filings

Document Types:
Cover letter
Letter concerning recipient agency
Notice
Letter from former auditor
Letter from successor auditor
Other

Filing Type: Code of Conduct

Document Types:
Cover letter
Letter concerning recipient agency
Code of conduct
Other

Filing Type: Change in Year End Filings

Document Types:
Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Supplement to the notice
Supplement to the notice (amended)
Other

Filing Type: Change in Corporate Structure Filings

Document Types:
Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Other
Category of Filer: Other Issuers  
Category of Filing: Continuous Disclosure  
All filing types are Auto-Public except where noted as Private  
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Change in Legal Structure Filings

Document Types:
Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Other

Filing Type: Change in Status Filings

Document Types:
Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Other

Filing Type: Business Acquisition Report

Document Types:
Cover letter
Letter concerning recipient agency
Business acquisition report – letter from foreign issuer
Business acquisition report – English
Business acquisition report – French
Business acquisition report – foreign language
Translation certificate
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s)
Other
Filing Type: Documents Affecting the Rights of Security Holders /Material Contracts

Filing Subtype: Documents Affecting Rights of Security Holders

Document Types:
Cover letter
Letter concerning recipient agency
Documents affecting rights of securityholders – Trust indentures re debt
Other security holders documents – English
Other security holders documents – French
Other security holders documents – foreign language
Other

Filing Subtype: Material Contracts

Document Types:
Cover letter
Letter concerning recipient agency
Material contracts – Credit agreements
Other material contracts – English
Other material contracts – French
Other material contracts – foreign language
Other material contracts (amended) – English
Other material contracts (amended) – French
Other material contracts (amended) – foreign language
Translation certificate
Other

Filing Type: Short Form Offering Document

Document Types:
Cover letter
Letter concerning recipient agency
Short form offering document – English
Short form offering document – French
Amendment to (or amended) short form offering document – English
Amendment to (or amended) short form offering document – French
Underwriting or agency agreement
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Report of Management Company

Document Types:
Cover letter
Letter concerning recipient agency
Form (AB, ON–Form 38, BC–Form 81–903F, SK–Form 36, NS–Form 39, NF–Form 37)
Form (amended) (AB,ON–Form38,BC–Form81–903F,SK–Form36,NS–Form39,NF–Form37)
Other

Filing Type: Proxy Voting Record of an Investment Fund

Document Types:
Cover letter
Letter concerning recipient agency
Proxy voting record of an investment fund Proxy voting record of an investment fund
Proxy voting record of an investment fund Proxy voting record of an investment fund (amended)
Other

Filing Type: Reports under NI 81–107

Document Types:
Cover letter
Letter concerning recipient agency
Report by independent review committee – English
Report by independent review committee – French
Report by independent review committee (amended) – English
Report by independent review committee (amended) – French
Manager – transactions in securities of related issuers – English
Manager – transactions in securities of related issuers – French
Manager – transactions in securities of related issuers (amended) – English
Manager – transactions in securities of related issuers (amended) – French
Manager – transactions under part 4 of NI 81–102 – English
Manager – transactions under part 4 of NI 81–102 – French
Manager – transactions under part 4 of NI 81–102 (amended) – English
Manager – transactions under part 4 of NI 81–102 (amended) – French
Manager – notification under part 5 of NI 81–107 – English
Manager – notification under part 5 of NI 81–107 – French
Manager – notification under part 5 of NI 81–107 (amended) – English
Manager – notification under part 5 of NI 81–107 (amended) – French
Other
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: For Regulator’s Use Only

Document Types:
Cover letter
Letter concerning recipient agency
Other

Filing Type: Other Filings

Document Types:
Other

Filing Type: Change in Transfer Agent Filings

Document Types:
Cover letter

Filing Subtype: General

Document Types:
Letter from transfer agent
Other – General

Filing Type: Change in Address Filings (Head Office, Executive Office, Internet)

Document Types:
Cover letter

Filing Subtype: General

Document Types:
Other – General

Filing Type: Change in Solicitor Filings

Document Types:
Cover letter

Filing Subtype: General

Document Types:
Other – General
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Dividend Declaration

Filing Subtype: Cash Dividend
Document Types:
Cover letter
Notice of declaration of cash dividend
Other

Filing Subtype: Stock Dividend
Document Types:
Cover letter
Notice of declaration of stock dividend
Other

Filing Type: Filing Statement

Document Types:
Cover letter
Filing statement – English
Filing statement – French
Other

Filing Type: Listing Application

Document Types:
Cover letter
Letter concerning recipient agency
Listing application – English
Listing application – French
Listing application (amended) – English
Listing application (amended) – French
Other

Filing Type: Promotional Material

Document Types:
Cover letter

Filing Subtype: Information Documents
Document Types:
Documents sent to shareholders – English
Documents sent to shareholders – French
Invitation – road show
Invitation – shareholders meeting
Other – Information documents

Filing Subtype: General
Document Types:
Other – General
Category of Filer: Other Issuers
Category of Filing: Continuous Disclosure
All filing types are Auto-Public except where noted as Private
Refer to list of documents with Private-nonpublic access on page 5

Folder for Filing Type: Québec

Filing Type: Transparency Measures in the Mining, Oil and Gas Industries

Filing Subtype: Annual Statement of Payments
Document Types:
Cover letter
Annual statement of payments – English
Annual statement of payments – French
Other

Filing Subtype: Amended Annual Statement of Payments
Document Types:
Cover letter
Annual statement of payments (amended) – English
Annual statement of payments (amended) – French
Other
Category of Filer: Other Issuers

Category of Filing: Exemptions and Other Applications

Folder for Filing Type: General

Filing Type: Applications (NI 81–102)

Document Types:
Cover letter
Letter concerning recipient agency
Application letter
Exhibits and other supporting material
Statement of verification
Draft decision document(s) – English
Draft decision document(s) – French
Draft order(s)
Other

Filing Type: Exemptions and Other Applications – In Connection with a Prospectus Filing

Document Types:
Cover letter
Application letter
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Exhibits and other supporting material
Statement of verification
Draft order(s)
Other
Category of Filer: Other Issuers

Category of Filing: Going Private / Related Party Transactions

Folder for Filing Type: General

Filing Type: Going Private Transaction Filings

Document Types:
- Cover letter
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Qualification certificate(s)
- Consent letter(s) of other expert(s)
- Formal valuation
- Prior valuation
- Report of going private transaction (NS–Form 33)
- Letter outlining exemptions relied upon (ON, QC)
- Other

Filing Type: Related Party Transaction Filings

Document Types:
- Cover letter
- Material change report – English
- Material change report – French
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Qualification certificate(s)
- Consent letter(s) of other expert(s)
- Formal valuation
- Prior valuation
- Letter outlining exemptions relied upon (ON, QC)
- Other

Filing Type: Other Filings

Document Types:
- Other
Category of Filer: Other Issuers
Category of Filing: Securities Acquisitions
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page

Category of Filer: Other Issuers

Category of Filing: Securities Acquisitions

Folder for Filing Type: General

Filing Type: Formal Issuer Bid Filings

Filing Subtype: Issuer Bid Circular
Document Types:
Cover letter
Issuer bid circular – English
Issuer bid circular – French
Letter of transmittal – English
Letter of transmittal – French
Notice of guaranteed delivery – English
Notice of guaranteed delivery – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification of certificate(s)
Consent letter(s) of other expert(s)
Formal valuation
Prior valuation
Letter outlining exemptions relied upon (ON, QC)
Other

Filing Subtype: Notice of Change or Variation
Document Types:
Cover letter
Notice of change or variation – English
Notice of change or variation – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification of certificate(s)
Consent letter(s) of other expert(s)
Formal valuation
Other

91
Category of Filer: Other Issuers
Category of Filing: Securities Acquisitions
All filing types are Private except where noted as Public. Refer to list of documents with Private-nonpublic access on page

Filing Type: Exempt Issuer Bid Filings

Document Types:
- Cover letter
- Notice of intention
- Exempt issuer bid material
- News release (section 4.8 of MI 62–104)
- Other

Filing Type: Other Filings

Document Types:
- Other

Filing Type: Normal Course Issuer Bid

Document Types:
- Cover letter

Filing Subtype: Financial Statements and Information Documents

Document Types:
- Annual report – English
- Annual report – French
- Interim financial statements – English
- Interim financial statements – French
- Management proxy/information circular – English
- Management proxy/information circular – French
- Press release – English
- Press release – French
- Other – Financial statements and information documents

Filing Subtype: Market Centre Documents and Forms

Document Types:
- Broker and registered representative name
- Notice of intention to make a normal course issuer bid
- Public float calculation
- Other – Market Centre documents and forms

Filing Subtype: General

Document Types:
- Undertaking of purchase and cancellation
- Other – General
Filing Type: Small Shareholder Selling and Purchase Arrangement

Document Types:
Cover letter

Filing Subtype: Information Documents
Document Types:
Letter of transmittal – English
Letter of transmittal – French
Press release – English
Press release – French
Selling/Purchase arrangement circular – English
Selling/Purchase arrangement circular – French
Selling/Purchase arrangement circular – English (renewal)
Selling/Purchase arrangement circular – French (renewal)
Other – Information documents

Filing Subtype: Resolutions
Document Types:
Board resolution
Directors’ resolutions
Other – Resolutions

Filing Subtype: General
Document Types:
Attestation from member firms (beneficial owner)
Other – General

Filing Type: Redemption or Retraction of Securities

Document Types:
Cover letter

Filing Subtype: Information Documents
Document Types:
Letter of transmittal – English
Letter of transmittal – French
Notice of redemption or retraction sent to shareholders – English
Notice of redemption or retraction sent to shareholders – French
Other – Information documents

Filing Subtype: General
Document Types:
Letter from transfer agent
List of shareholders from registrar (Trust company)
Other – General
**Category of Filer:** Other Issuers  
**Category of Filing:** Securities Acquisitions  
All filing types are Private except where noted as Public. Refer to list of documents with Private-nonpublic access on page.

**Filing Type:** Substantial Issuer Bid Filings (Stock Exchange or By Circular)  
**Document Types:**  
Cover letter

**Filing Subtype:** Offering Documents  
**Document Types:**  
Letter of transmittal – English  
Letter of transmittal – French  
Notice of guaranteed delivery – English  
Notice of guaranteed delivery – French  
Stock exchange take-over bid notice – English  
Stock exchange take-over bid notice – French  
Take-over bid circular – English  
Take-over bid circular – French  
Valuation (MI 61–101)  
Other – Offering documents

**Filing Subtype:** Information Documents and Notices  
**Document Types:**  
Notice indicating result of take–over bid – English  
Notice indicating result of take–over bid – French  
Notice of change or variation – English  
Notice of change or variation – French  
Notice of compulsory acquisition – English  
Notice of compulsory acquisition – French  
Other – Information documents and notices

**Filing Subtype:** Material Contracts and Reports  
**Document Types:**  
Escrow agreement  
Lock–up agreement  
Management agreement  
Pooling agreement  
Purchase agreement  
Shareholder agreement  
Shareholder rights plan  
Voting trust agreement  
Other – Material contracts and reports.

**Filing Subtype:** Resolutions and Articles  
**Document Types:**  
Board resolution  
Special resolution  
Other – Resolutions and Articles

**Filing Subtype:** Market Centre Documents and Forms  
**Document Types:**  
Notice of proof of financing (TSX–V)  
Share distribution form  
Other – Market Centre documents and forms
Category of Filer: Other Issuers
Category of Filing: Securities Acquisitions
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page

Filing Type: Substantial Issuer Bid Filings (Stock Exchange or By Circular)

Filing Subtype: Commission Documents and Forms
Document Types:
Valuation (ON 61–501, QC Q–27)
Other – Commission documents and forms

Filing Subtype: General
Document Types:
Certificate of good standing
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
Liquidity opinion
List of shareholders from registrar (Trust company)
Other – General
Category of Filer: Other Issuers

Category of Filing: Other Reviewable Transactions (Market Centres)

Folder for Filing Type: General

Filing Type: Material Change Policy Filings (TSX–V)

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:
Business plan
Coattail agreement
Escrow agreement
Finders fee agreement
Geological or engineering report
Independent evaluation or appraisal
Management agreement
Pooling agreement
Property acquisition agreement
Purchase agreement
Shareholder agreement
Sponsorship agreement
Trust agreement
Trust indenture
Underwriting or agency agreement
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:
Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Audited financial forecast
Form of proxy – English
Form of proxy – French
Interim financial statements – English
Interim financial statements – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Pro forma financial statements
Other – Financial statements and information documents
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Material Change Policy Filings (TSX-V)

Filing Subtype: Resolutions and Articles
Document Types:
Banking resolution
Board resolution
Certificate and Articles of Amendment
Certificate and Articles of Incorporation
Company By–Laws
Shareholder resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Personal information forms
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms
Document Types:
Receipt from Securities Commissions
Valuation (MI 61–101)
Other – Commission documents and forms

Filing Subtype: General
Document Types:
Certificate of good standing
Fairness opinion
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Sponsorship acknowledgment letter
Other – General
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Stock Option / Share Purchase Arrangement

Document Types:

Filing Subtype: Material Contracts and Reports

Document Types:
Bonus plan
Employment contract
Escrow agreement
Management agreement
Pooling agreement
Share option plan
Share option subscription agreement
Share purchase plan
Shareholder agreement
Voting trust agreement
Other – Material contracts and reports

Filing Subtype: Information Documents

Document Types:
Form of proxy – English
Form of proxy – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions

Document Types:
Board resolution
Shareholders resolution
Other – Resolutions

Filing Subtype: Market Centre Documents and Forms

Document Types:
Certificate and undertaking of corporate optionee (TSX–V)
Declaration (TSX–V)
Notice of filing expedited stock option (TSX–V)
Notification of exercise of stock option (TSX–V)
Personal information forms
Summary form (TSX–V)
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms

Document Types:
Receipt from Securities Commissions
Other – Commission documents and forms

Filing Subtype: General

Document Types:
Letter from company (confirmation, undertaking, etc.)
Opinion of legal counsel
Other – General
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Listed Capital Reporting Filings (Monthly Issued Shares and Option Grants)

Document Types:
Cover letter

Filing Subtype: Market Centre Documents and Forms
Document Types:
Monthly issued capital report
Option grant, exercise and cancellation report
Share cancellation report (NCIB)
Other – Market Centre documents and forms

Filing Subtype: General
Document Types:
Other – General

Filing Type: Dividend Reinvestment Plan/Stock Dividend

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports
Document Types:
Dividend reinvestment plan – English
Dividend reinvestment plan – French
Other – Material contracts and reports

Filing Subtype: Information Documents
Document Types:
Form of proxy – English
Form of proxy – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions
Document Types:
Board resolution
Special resolution
Other – Resolutions

Filing Subtype: General
Document Types:
Letter from transfer agent
Other – General
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Stock Split

Document Types:
Cover letter

Filing Subtype: Information Documents
Document Types:
Form of proxy – English
Form of proxy – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles
Document Types:
Banking resolution
Board resolution
Certificate and Articles of Amendment
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: General
Document Types:
Letter from CDS confirming CUSIP number
Letter from transfer agent
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Other – General
Category of Filer: Other Issuers  
Category of Filing: Other Reviewable Transactions (Market Centres)  
All filings are Private  
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Consolidation

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:
Coattail agreement  
Escrow agreement  
Shareholder agreement  
Trust agreement  
Trust indenture  
Voting trust agreement  
Warrant indenture  
Other – Material contracts and reports

Filing Subtype: Financial Statements and Information Documents

Document Types:
Annual report – English  
Annual report – French  
Audited annual financial statements – English  
Audited annual financial statements – French  
Form of proxy – English  
Form of proxy – French  
Interim financial statements – English  
Interim financial statements – French  
Letter of transmittal – English  
Letter of transmittal – French  
Management proxy/information circular – English  
Management proxy/information circular – French  
Notice of meeting – English  
Notice of meeting – French  
Pro forma financial statements  
Other – Financial statements and information documents

Filing Subtype: Resolutions and Articles

Document Types:
Board resolution  
Certificate and Articles of Amendment  
Shareholders resolution  
Special resolution  
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms

Document Types:
Consolidation checklist (TSX–V)  
Name reservation (TSX–V)  
Share distribution form  
Other – Market Centre documents and forms
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Consolidation

Filing Subtype: General
Document Types:
Letter from CDS confirming CUSIP number
Letter from intermediaries (TSX–V)
Letter from transfer agent (TSX–V)
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Proof of company name reservation with registrar (TSX–V)
Other – General

Filing Type: Change in Name

Document Types:
Cover letter

Filing Subtype: Information Documents
Document Types:
Form of proxy – English
Form of proxy – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles
Document Types:
Board resolution
Certificate and Articles of Amendment
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Name change checklist (TSX–V)
Name reservation (TSX–V)
Share distribution form
Other – Market Centre documents and forms

Filing Subtype: General
Document Types:
Letter from CDS confirming CUSIP number
Letter from company (confirmation, undertaking, etc.)
Letter from intermediaries (TSX–V)
Letter from transfer agent (TSX–V)
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Proof of company name reservation with registrar (TSX–V)
Other – General
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Reclassification or Redesignation of Shares

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports
Document Types:
Bonus plan
Coattail agreement
Escrow agreement
Management agreement
Pooling agreement
Share option plan
Share purchase plan
Shareholder agreement
Trust agreement
Trust indenture
Voting trust agreement
Warrant indenture
Other – Material contracts and reports

Filing Subtype: Information Documents
Document Types:
Form of proxy – English
Form of proxy – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles
Document Types:
Board resolution
Certificate and Articles of Amendment
Company By–Laws
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Share distribution form
Other – Market Centre documents and forms
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Subtype: General
Document Types:
Letter from CDS confirming CUSIP number
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
List of shareholders from registrar (Trust company)
Opinion of legal counsel
Other – General

Filing Type: Restricted Shares and Coattails Policy Filings

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports
Document Types:
Coattail agreement
Escrow agreement
Management agreement
Shareholder agreement
Trust agreement
Voting agreement
Other – Material contracts and reports

Filing Subtype: Information Documents
Document Types:
Form of proxy – English
Form of proxy – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles
Document Types:
Board resolution
Certificate and Articles of Amendment
Certificate and Articles of Incorporation
Company By–Laws
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: General
Document Types:
Other – General
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Shareholder Rights Plan Filings

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports
Document Types:
Shareholder rights plan
Other – Material contracts and reports

Filing Subtype: Information Documents
Document Types:
Form of proxy – English
Form of proxy – French
Letter of transmittal – English
Letter of transmittal – French
Management proxy-information circular – English
Management proxy-information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles
Document Types:
Board resolution
Certificate and Articles of Amendment
Company By–Laws
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Commission Documents and Forms
Document Types:
Receipt from Securities Commissions
Other – Commission documents and forms

Filing Subtype: General
Document Types:
Letter from company (confirmation, undertaking, etc.)
Opinion of legal counsel
Other – General
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Change in Articles / Memorandum

Document Types:
Cover letter

Filing Subtype: Information Documents
Document Types:
Form of proxy – English
Form of proxy – French
Management proxy/information circular – English
Management proxy/information circular – French
Notice of meeting – English
Notice of meeting – French
Other – Information documents

Filing Subtype: Resolutions and Articles
Document Types:
Board resolution
Certificate and Articles of Amendment
Certificate and Articles of Incorporation
Company By-Laws
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: General
Document Types:
Other – General
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Voluntary Delisting or Dequotation

Document Types:
Cover letter

Filing Subtype: Resolutions and Articles
Document Types:
Board resolution
Shareholders resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Share distribution form
Other – Market Centre documents and forms

Filing Subtype: General
Document Types:
Letter from transfer agent
List of shareholders from registrar (Trust company)
Other – General
Filing Type: Escrowed Shares – Release or Transfer

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports
Document Types:
Escrow agreement
Pooling agreement
Shareholder agreement
Transfer agreement
Trust agreement
Voting trust agreement
Other – Material contracts and reports

Filing Subtype: Financial Statements
Document Types:
Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Interim financial statements – English
Interim financial statements – French
Other – Financial statements

Filing Subtype: Resolutions
Document Types:
Board resolution
Special resolution
Other – Resolutions

Filing Subtype: Market Centre Documents and Forms
Document Types:
Auditors’ calculation (TSX–V)
Corporate undertaking (TSX–V)
Personal information forms
Registrar of company certificate (TSX–V)
Statutory declaration (TSX–V)
Other – Market Centre documents and forms

Filing Subtype: Commission Documents and Forms
Document Types:
Receipt from Securities Commissions
Other – Commission documents and forms

Filing Subtype: General
Document Types:
Other – General
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Transfer of Shares to Non-Residents

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports

Document Types:
Escrow agreement
Non-resident agreement
Pooling agreement
Trust agreement
Voting trust agreement
Other – Material contracts and reports

Filing Subtype: General

Document Types:
Other – General

Filing Type: Change in Directors/Officers Filings

Document Types:
Cover letter

Filing Subtype: Market Centre Documents and Forms

Document Types:
Personal information forms
Other – Market Centre documents and forms

Filing Subtype: General

Document Types:
Other – General

Filing Type: Sustaining Fee Payment

Document Types:
Cover letter
Other
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Change in Market Centre Status

Filing Subtype: Application for Change in Tier Status (TSX-V)

Document Types:
Cover letter
Annual report – English
Annual report – French
Audited annual financial statements – English
Audited annual financial statements – French
Geological or engineering report
Independent evaluation or appraisal
Interim financial statements – English
Interim financial statements – French
Other

Filing Type: Acquisition/Disposition of Assets – Non–Share Transactions (TSX-V)

Filing Subtype: Major Acquisition/Disposition (TSX-V)

Document Types:
Cover letter
Annual report
Audited financial statements for acquisition target
Audited financial forecast
Auditors’ consent letter
Board resolution
Consent letter from board of acquisition target
Consent letter(s) of other expert(s)
Escrow agreement
Fairness opinion
Filing statement
Financial plan
Finders fee agreement
Geological or engineering report
Independent evaluation or appraisal
Interim financial statements
Material contracts
Opinion of legal counsel
Pro forma financial statements
Property acquisition agreement
Purchase agreement
Scrutineer’s report
Shareholders consents
Special resolution
Sponsor report
Title opinion
Transaction summary form
Other
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
All filings are Private
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Acquisition/Disposition of Assets – Non–Share Transactions (TSX–V)

Filing Subtype: Minor Acquisition/Disposition (TSX–V)
Document Types:
Cover letter
Board resolution
Directors’ resolutions
 Expedited acquisition Filing Form
 Fairness opinion
 Filing statement
 Finders fee agreement.
 Geological or engineering report
 Property acquisition agreement
 Purchase agreement
 Special resolution
 Transaction summary form
 Other

Filing Subtype: CPC Qualifying Transactions (TSX–V)
Document Types:
Cover letter
Financial statements for acquisition target
Auditors’ consent letter
Business plan
 Confirmation of mailing
 Consent letter from board of acquisition target
 Consent letter(s) of other expert(s)
 Directors’ resolution
 Escrow agreement
 Fairness opinion
 Information circular
 Material contracts
 Opinion of legal counsel
 Pro forma balance sheet
 Sponsorship acknowledgement form
 Sponsor report
 Technical reports and certificates of qualification
 Other
Category of Filer: Other Issuers
Category of Filing: Other Reviewable Transactions (Market Centres)
Folder for Filing Type: British Columbia
All filing types are Private
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Other Issuers

Category of Filing: Other Reviewable Transactions (Market Centres)

Folder for Filing Type: British Columbia

Filing Type: Loans/Bonus (TSX–V)

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports
Document Types:
Bonus agreement
Escrow agreement
Loan agreement
Other – Material contracts and reports

Filing Subtype: General
Document Types:
Other – General

Filing Type: Management Remuneration / Investor Relations Agreements (TSX–V)

Document Types:
Cover letter

Filing Subtype: Material Contracts and Reports
Document Types:
Investor relations document
Management remuneration contracts
Other – Material contracts and reports

Filing Subtype: Information Documents
Document Types:
Form of proxy
Management proxy/information circular – English
Notice of meeting
Other – Information documents

Filing Subtype: General
Document Types:
Other – General
Category of Filer: Other Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private except where noted as Public.
Refer to list of documents with Private-nonpublic access on page 5

Category of Filing: Exempt Market Offerings and Disclosure

Folder for Filing Type: General

Filing Type: Report of Exempt Distribution (NI 45–106)

Filing Subtype: Report of Exempt Distribution (45–106F1)

Document Types:

- Cover letter
- Letter concerning recipient agency
- Report of exempt distribution excluding Schedule 1 of 45–106F1
- Schedule 1 of report of exempt distribution (45–106F1)
- Schedule 2 of report of exempt distribution (45–106F1)
- Other

Filing Subtype: Amended Report of Exempt Distribution (45–106F1)

Document Types:

- Cover letter
- Letter concerning recipient agency
- Report of exempt distribution excluding Schedule 1 of 45–106F1 (amended)
- Schedule 1 of report of exempt distribution (45–106F1) (amended)
- Schedule 2 of report of exempt distribution (45–106F1) (amended)
- Other

Filing Type: Offering Memorandum (2.9 of NI 45–106)

Filing Subtype: Offering Memorandum (2.9 of NI 45–106)

Document Types:

- Cover letter
- Letter concerning recipient agency
- Offering memorandum (2.9 of NI 45–106) – English
- Offering memorandum (2.9 of NI 45–106) – French
- Technical report (NI 43–101) – English
- Technical report (NI 43–101) – French
- Certificate of qualified person (NI 43–101) – English
- Certificate of qualified person (NI 43–101) – French
- Consent of qualified person (NI 43–101) – English
- Consent of qualified person (NI 43–101) – French
- Amended & restated technical report (NI 43–101) – English
- Amended & restated technical report (NI 43–101) – French
- Consent letter(s)
- Other

Filing Subtype: Amended Offering Memorandum (2.9 of NI 45–106)

Document Types:

- Cover letter
- Letter concerning recipient agency
- Offering memorandum (2.9 of NI 45–106) (amended) – English
- Offering memorandum (2.9 of NI 45–106) (amended) – French
Category of Filer: Other Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English

Filing Type: Offering Memorandum (2.9 of NI 45–106)
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Consent letter(s)
Other

Filing Type: Marketing Materials (2.9 of NI 45–106)

Filing Subtype: Marketing Materials (2.9 of NI 45–106)
Document Types:
Cover letter
Letter concerning recipient agency
Marketing materials related to offering memorandum – English
Marketing materials related to offering memorandum – French
Other

Filing Subtype: Amended Marketing Materials (2.9 of NI 45–106)
Document Types:
Cover letter
Letter concerning recipient agency
Marketing materials related to offering memorandum (amended) – English
Marketing materials related to offering memorandum (amended) – French
Other

Filing Type: Real Estate Offering Document

Filing Subtype: Real Estate Offering Document
Document Types:
Cover letter
Letter concerning recipient agency
Real estate offering document – English
Real estate offering document – French
Other

Filing Subtype: Amended Marketing Materials (2.9 of NI 45–106)
Document Types:
Cover letter
Letter concerning recipient agency
Real estate offering document (amended) – English
Real estate offering document (amended) – French
Other
Category of Filer: Other Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private except where noted as Public®
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Annual Financial Statements – Non–Reporting Issuers

Filing Subtype: Annual Financial Statements– Non–Reporting Issuers
Document Types:
Cover letter
Letter concerning recipient agency
Annual financial statements – English
Annual financial statements – French
Other

Filing Subtype: Amended Annual Financial Statements– Non–Reporting Issuers
Document Types:
Cover letter
Letter concerning recipient agency
Annual financial statements (amended) – English
Annual financial statements (amended) – French
Other

Filing Type: Notice of Use of Proceeds

Filing Subtype: Notice of Use of Proceeds
Document Types:
Cover letter
Letter concerning recipient agency
Notice of use of proceeds – English
Notice of use of proceeds – French
Other

Filing Subtype: Amended Notice of Use of Proceeds
Document Types:
Cover letter
Letter concerning recipient agency
Notice of use of proceeds (amended) – English
Notice of use of proceeds (amended) – French
Other

Filing Type: Change in Year End Filings – Non–Reporting Issuers

Filing Subtype: Change in Year End Filings – Non–Reporting Issuers

Document Types:
Cover letter
Letter concerning recipient agency
Notice
Notice (amended)
Supplement to the notice
Supplement to the notice (amended)
Other
Category of Filer: Other Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private except where noted as Public.
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Crowdfunding – Offering Document (MI 45–108)

Filing Subtype: Crowdfunding – Offering Document (MI 45–108)

Document Types:
Cover letter
Letter concerning recipient agency
Crowdfunding Offering Document (MI 45–108) – English
Crowdfunding Offering Document (MI 45–108) – French
Other distribution materials (MI 45–108) – English
Other distribution materials (MI 45–108) – French
Other

Filing Subtype: Amended Crowdfunding – Offering Document (MI 45–108)

Document Types:
Cover letter
Letter concerning recipient agency
Crowdfunding Offering Document (MI 45–108) (amended) – English
Crowdfunding Offering Document (MI 45–108) (amended) – French
Other distribution materials (MI 45–108) (amended) – English
Other distribution materials (MI 45–108) (amended) – French
Other

Filing Type: Start–Up Crowdfunding – Offering Document

Filing Subtype: Start–Up Crowdfunding – Offering Document

Document Types:
Cover letter
Letter concerning recipient agency
Offering document – English
Offering document – French
Other

Filing Subtype: Amended Start–Up Crowdfunding – Offering Document

Document Types:
Cover letter
Letter concerning recipient agency
Offering document (amended) – English
Offering document (amended) – French
Other

Filing Type: Start–Up Crowdfunding – Report of Exempt Distribution (Form 5)

Filing Subtype: Start–Up Crowdfunding – Report of Exempt Distribution (Form 5)

Document Types:
Cover letter
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of Form 5
Schedule 1 of report of exempt distribution Form 5
Other
Category of Filer: Other Issuers
Category of Filing: Exempt Market Offerings and Disclosure
All filing types are Private except where noted as Public
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Start-Up Crowdfunding – Report of Exempt Distribution (Form 5)

Filing Subtype: Amended Start-Up Crowdfunding – Report of Exempt Distribution (Form 5)
Document Types:
Cover letter
Letter concerning recipient agency
Report of exempt distribution excluding Schedule 1 of Form 5 (amended)
Schedule 1 of report of exempt distribution Form 5 (amended)
Other

Filing Type: Start-Up Business – Offering Document

Filing Subtype: Start-Up Business – Offering Document
Document Types:
Cover letter
Letter concerning recipient agency
Offering document – English
Offering document – French
Other

Filing Subtype: Amended Start-Up Business – Offering Document
Document Types:
Cover letter
Letter concerning recipient agency
Offering document (amended) – English
Offering document (amended) – French
Other
Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
All filing types are Auto Public©
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Third Party Filers

Category of Filing: Third Party Filings
Folder for Filing Type: General
Filing Type: Take–Over Bid Filings
Filing Subtype: Take–over Bid Circular

Document Types:
Cover letter
Advertisement – English
Advertisement – French
Take–over bid circular – English
Take–over bid circular – French
Letter of transmittal – English
Letter of transmittal – French
Notice of guaranteed delivery – English
Notice of guaranteed delivery – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Formal valuation
Prior valuation
Agreements (MI 62–104)
Letter outlining exemptions relied upon (ON, QC)
Other

Filing Subtype: Directors’ Circular

Document Types:
Cover letter
Directors’ circular – English
Directors’ circular – French
Notice of change – Directors’ circular – English
Notice of change – Directors’ circular – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Valuation material
Directors’ recommendation – English
Directors’ recommendation – French
Other

118
Filing Type: Take-Over Bid Filings

Filing Subtype: Director’s or Officer’s Circular (Individual)

Document Types:
Cover letter
Director’s or officer’s circular – English
Director’s or officer’s circular – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Other

Filing Subtype: Notice of Change or Variation

Document Types:
Cover letter
Notice of change or variation – English
Notice of change or variation – French
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Formal valuation
Other
Filing Type: Exempt Take–Over Bid Filings

**Document Types:**
Cover letter
Exempt take–over bid material
Technical report (NI 43–101) – English
Technical report (NI 43–101) – French
Certificate of qualified person (NI 43–101) – English
Certificate of qualified person (NI 43–101) – French
Consent of qualified person (NI 43–101) – English
Consent of qualified person (NI 43–101) – French
Amended & restated technical report (NI 43–101) – English
Amended & restated technical report (NI 43–101) – French
Qualification certificate(s)
Consent letter(s) of other expert(s)
Report of exempt take–over bid (QC–s.189.1.2 Reg.)
Other

Filing Type: Securities Acquisition Filings (Early Warning)

**Filing Subtype: Press Release**
**Document Types:**
Cover letter
Press release – English
Press release – French
Other

**Filing Subtype: Early Warning Report**
**Document Types:**
Cover letter
Early warning report
Other

**Filing Subtype: Disqualification Report**
**Document Types:**
Cover letter
Disqualification report
Other

Filing Type: Securities Acquisition Filings (Alternative Monthly Report)

**Document Types:**
Cover letter
Alternative monthly report
Other
Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
All filing types are Auto Public
 Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Proxy Solicitation Materials

Document Types:
Cover letter
Information circular – English
Information circular – French
Form of proxy – English
Form of proxy – French
Proxy solicitation – information – English
Proxy solicitation – information – French
Proxy solicitation – proposed transaction – English
Proxy solicitation – proposed transaction – French
Proxy solicitation – nominee for election – English
Proxy solicitation – nominee for election – French
Other

Filing Type: Take–Over Bid Filings (Stock Exchange or By Circular)

Document Types:
Cover letter

Filing Subtype: Offering Documents

Document Types:
Director’s or officer’s circular – English
Director’s or officer’s circular – French
Directors’ circular – English
Directors’ circular – French
Directors’ recommendation – English
Directors’ recommendation – French
Letter of transmittal – English
Letter of transmittal – French
Notice of guaranteed delivery – English
Notice of guaranteed delivery – French
Stock exchange take–over bid notice – English
Stock exchange take–over bid notice – French
Take–over bid circular – English
Take–over bid circular – French
Valuation or appraisal opinion/report
Other – Offering documents

Filing Subtype: Information Documents and Notices

Document Types:
Notice indicating result of take–over bid – English
Notice indicating result of take–over bid – French
Notice of change or variation – English
Notice of change or variation – French
Notice of compulsory acquisition – English
Notice of compulsory acquisition – French
Other – Information documents and notices

121
Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
All filing types are Auto Public®
Refer to list of documents with Private-nonpublic access on page 5

Filing Type: Take–Over Bid Filings (Stock Exchange or By Circular)

Filing Subtype: Material Contracts and Reports
Document Types:
Coattail agreement
Escrow agreement
Lock–up agreement
Management agreement
Pooling agreement
Purchase agreement
Shareholder agreement
Shareholder rights plan
Voting Trust agreement
Other – Material contracts and reports

Filing Subtype: Resolutions and Articles
Document Types:
Board resolution
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Notice of proof of financing (TSX–V)
Share distribution form
Other – Market Centre documents and forms.

Filing Subtype: Commission Documents and Forms
Document Types:
Valuation (MI 61–101)
Other – Commission documents and forms

Filing Subtype: General
Document Types:
Certificate of good standing
Letter from company (confirmation, undertaking, etc.)
Letter from transfer agent
List of shareholders from registrar (Trust company)
Other – General
Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
All filing types are Auto Public®
Refer to list of documents with Private-nonpublic access on page 5

**Filing Type: Sale from Control Block**

*Document Types:*
Cover letter

**Filing Subtype: Material Contracts and Reports**

*Document Types:*
Coattail agreement
Escrow agreement
Pooling agreement
Purchase agreement
Trust agreement
Voting trust agreement
Other – Material contracts and reports

**Filing Subtype: Resolutions**

*Document Types:*
Board resolutions
Directors’ resolutions
Special resolution
Other – Resolutions

**Filing Subtype: Market Centre Documents and Forms**

*Document Types:*
Personal information forms
Other – Market Centre documents and forms

**Filing Subtype: Commission Documents and Forms**

*Document Types:*
Notice of intention to distribute securities (QC–Q–12; ON–Form 23)
Other – Commission documents and forms

**Filing Subtype: General**

*Document Types:*
Letter from member firm (regarding distribution)
Other – General
Category of Filer: Third Party Filers
Category of Filing: Third Party Filings
Folder for Filing Type: British Columbia
All filing types are Auto-Public.
Refer to list of documents with Private-nonpublic access on page 5

Category of Filer: Third Party Filers

Category of Filing: Third Party Filings

Folder for Filing Type: British Columbia

Filing Type: Acquisition of Shares (TSX-V)

Document Types:
Cover letter

Filing Subtype: Resolutions and Articles
Document Types:
Board resolution
Directors’ resolutions
Special resolution
Other – Resolutions and Articles

Filing Subtype: Market Centre Documents and Forms
Document Types:
Declaration
Other – Market Centre documents and forms

Filing Subtype: General
Document Types:
Other – General