

# SEDAR® Subscriber Update

July 23, 2012

## Code Update (Version 008.020.000)

A SEDAR code update is scheduled for Monday July 30, 2012.

You are encouraged to obtain the code update as soon as possible on or after July 30, 2012 by following these procedures:

1. Start the SEDAR program;
2. Select File menu; and
3. From the File menu, select Receive items from SEDAR server.

This method will help make sure that the application is updated and ready for your use before you actually have to perform any business functions.

This code update will bring the SEDAR client application up to Version 008.020.000.

Once the code update has been downloaded, close the SEDAR program and follow the instructions below to execute the code update:

1. Click the Start button located at the bottom left corner of the screen;
2. Select Programs; and
3. From the Program menu, select SEDAR and then select Code Update.

## TMP Filings

All filings that have been saved but not submitted (shown as TMP on your Filing Management screen) should be either submitted by Friday, July 27, 2012 or deleted. To delete any TMP filings, highlight the project and select File/ Remove Unsubmitted Filing(s).

## Summary of Code Update Changes

### 1. Point of Sale “Fund Facts”

#### SEDAR Changes under the Filing Types:

##### **Simplified Prospectus, Annual Information Form and Fund Facts (NI 81-101)**

SEDAR has been updated to no longer allow the following Fund Facts document types to be filed under the subtype Final:

- Initial fund facts - English
- Initial fund facts - French

##### **Initial Fund Facts**

SEDAR has been updated to no longer allow for any new filings under the filing type “*Initial Fund Facts*”.

### 2. OTC Reporting Issuers

#### **New Filing Types**

The following filing types have been added to the Continuous Disclosure / General folder for Other Issuers:

- *OTC Issuer Registration Statement MI 51-105*
- *Notice OTC Issuer Ceases to be an OTC Reporting Issuer 51-105F1*
- *Notice of Promotional Activities 51-105F2*
- *Personal Information Form and Authorization 51-105F3A*
- *Personal Information Form and Authorization 51-105F3B*
- *Notice Issuer Ceases to be an OTC Reporting Issuer 51-105F4*

The above new filing types replace the previous OTC filing types listed below. These filing types remain on SEDAR to all issuers to transition from the filing requirements in BCI 51-509 to MI 51-105.

- *OTC Issuer Notice of Investor Relations (BCI 51-509)*
- *OTC Issuer Registration Statement (BCI 51-509)*
- *OTC Issuer Personal Information Form and Authorization (BCI 51-509)*
- *OTC Issuer Ceases to be a Reporting Issuer (BCI 51-509)*

#### **Issuer Profiles**

We have amended the wording on the mandatory field “*OTC reporting issuer per MI 51-105*”. The field should only be set to “Yes” by issuers who are OTC Reporting Issuers under *MI 51-105 Quoted in the U.S. Over-the-Counter Markets*.

All other issuers should select the “*Not applicable*” field.

**For more information about MI 51-105 Issuers Quoted in the U.S. Over-the-Counter Markets** please see the BC Securities Commission website.

<http://www.bsc.bc.ca/policy.aspx?id=6683&cat=BC%20Notices>

### **New Stock Exchanges**

The list of *Stock Exchanges (or Markets)* available on the Other Issuer profile is being updated as follows.

New stock exchange:           Over-the-counter markets

Deleted stock exchange:     Pink Sheets

Filers should review the list of stock exchanges currently selected on their Other Issuer profile and make any updates necessary.

### **3. Attaching Documents under Multiple Filing Subtypes**

SEDAR has been updated to no longer allow the attachment of documents under more than one subtype per submission within the Prospectus filing types.\*

For example, filers can attach more than one document under the “Preliminary” subtype but can not also attach documents under the “Pro Forma” subtype on that same submission; a subsequent submission must be created on the project.

**Note: This change also applies to Formal Correspondence filings.**

*\* The “Rights Offering Material” and “NI 44-101 Notice of Intent” filing types will also be subject to this change.*

### **4. Commission Fee Description Changes**

#### Prince Edward Island

Effective July 31, 2012, Prince Edward Island is implementing a fee increase as authorized by *An Act to Amend the Securities Act S.P.E.I. 2012, Cap. 33* enacted on May 30, 2012. Please refer to [www.gov.pe.ca/securities](http://www.gov.pe.ca/securities) for details.

## 5. Amended Documents

Document types associated with both the Interim and Annual Financial Statements filing types have been placed under subfolders for easier reference.

For example, within the “*Annual Financial Statements*” filing type, there are now two subfolders: “Annual Financial Statements” and “Amended Annual Financial Statements”.

Also, the document type “Notice (amended)” has been added to the “*Change in Corporate Structure Filings*” and “*Change in Status Filings*” filing types.

## 6. SEDAR.com

Documents filed using the new OTC issuer filing types will be available under the Public Companies / Search Database function:

- OTC Issuer Filings

As of Monday, July 30, 2012, updated lists of recipient agency fees and all SEDAR filing types and associated document types will be available on our web site [www.sedar.com/sedar/sedar\\_en.htm](http://www.sedar.com/sedar/sedar_en.htm).

**For more information, please contact your local SEDAR Relationship Manager or the CDS INC. Technical Services Desk at 1-800-219-5381.**